

Mycotoxin incidents associated with cereals: lessons learnt and risk reduction strategies

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35 probable. Table 1 summarises the human diseases, major fungal species that can give rise to
36 mycotoxins in foods,, typical food sources and symptoms.

37

38 Insert Table 1 here

39

40 The aim of the paper is to explore the occurrence and impact of mycotoxins linked to cereals
41 and their indirect impact on human food safety. Epidemiological cases, both historic and
42 contemporary, are used to evaluate the impact of mycotoxins on food and feed supply chains.
43 Human exposure to mycotoxins can be the result of consuming plant derived foods that have
44 been contaminated (CAST 2003) or from animal derived products where the animal has
45 consumed contaminated plant materials (Boudra *et al.* 2007; Coffey *et al.*, 2009); exposure
46 may also come from the surrounding environment if air and dust is contaminated with toxins
47 (Jarvis 2002) where it is sometimes referred to as ‘sick building syndrome’. The Food and
48 Agriculture Organization (FAO) estimated that 25% of the world’s cereal production is likely
49 to be contaminated with mycotoxins leading to an estimated 1 billion metric tonnes of annual
50 losses in food and feed (Maestroni and Cannavan, 2011). Meanwhile, Binder *et al.* (2007)
51 reported on a two year survey of animal feeds and feed raw materials, of those mycotoxins
52 known to have an impact on animals (e.g. *Fusarium* mycotoxins deoxynivalenol [DON], T-2
53 Toxin, Zearalerone, Fumonisin B₁, B₂ and B₃); in addition, samples were screened for
54 ochratoxin A and aflatoxin B₁ as there is evidence of interactions between these toxins. The
55 results of some 3,000 samples showed that more than half of European samples were
56 contaminated with one or more mycotoxins and one third of Asian and Pacific samples also
57 had measurable concentrations. Global occurrence data on the incidences of mycotoxins in
58 raw cereal grains were reported as 55% for AF, 29% for OTA, 61% for FUM, 58% for DON
59 and 46% for ZEA (Lee and Ryu, 2017).

60

61 Under ideal conditions the determination of mycotoxicoses in human and animal subjects
62 should depend on the presence of the toxin in suspected food or feed and the patient(s) along
63 with the presence of the fungus and the absence of other disease agents that can cause similar
64 effects (Richard and Thurston 1986). In other words, it is not sufficient to isolate and identify
65 the suspected fungus as it is the concentration of the toxin that is important both in the food
66 source and in the individuals affected. However, such analytical approaches are confounded
67 by a number of factors including:

68

- 69 • the large number of mycotoxins identified to date and their varied bio-chemical
70 structures;
- 71 • the non-uniform distribution of toxins in bulk foods and feed during storage, making
72 sampling a significant challenge;
- 73 • expensive laboratory assay procedures, though more recent bio-assay kits are able to
74 qualitatively identify specific toxins;
- 75 • low level exposure over time can result in chronic conditions that can be mistaken for
76 other diseases, especially in developing countries where public health resources are
77 limited and mycotoxins are prevalent in food systems, and
- 78 • the often sporadic nature of cases making it difficult for health professionals to isolate
79 suspect foods when cases present.

80 It is interesting to note that most evidence in developing countries today reflect incidents that
81 occurred in developed economies in previous centuries. However, contemporary staple diets
82 are shown to contain mycotoxins and the incidence of human disorders associated with these
83 toxins are prevalent in developing countries, but often the symptoms in the consuming
84 population are not treated as public health cases (Wild and Gong 2010). The diverse nature of
85 mycotoxin contamination is reflected in the wide array of evidence associated with human
86 incidents of mycotoxin related disease collated in Table 2.

87
88 Table 2 here

89
90 This summary of incidents illustrates a number of points peculiar to mycotoxin poisoning and
91 associated human diseases. It is clear that the majority of human cases identified in the
92 literature, some of which are reported here, have occurred in the developing world. There are
93 a number of factors contributing to this. In tropical conditions of high temperatures and high
94 moisture, including monsoons and flash floods, fungal growth proliferates as does the
95 production of mycotoxins. At the same time, crops are often grown for home consumption
96 under subsistence farming systems with crops often stored in sub-optimal conditions.
97 Furthermore, surplus crops may be sold locally in informal markets with little or no
98 inspection or regulation from public authorities. Such short supply chains make it difficult for
99 government agencies to monitor the health impacts of mycotoxins unless acute cases occur
100 and post disease case studies are carried out. The incidents in Table 2 also demonstrate the
101 relative toxicity of mycotoxins in causing human fatalities; in particular, the high mortality

102 rates reported for aflatoxin contaminations and the ergot poisoning incident in Ethiopia lead
103 to vascular restrictions and subsequently gangrene. It is also interesting to note the
104 associations between aflatoxins, Reyes disease and Kwashiorkor. In studies where case and
105 control groups were evaluated, both showed these diseases and the control groups
106 demonstrated the presence of a range of aflatoxins in a number of individuals screened. To
107 further illustrate the challenges in determining whether mycotoxins are indeed the cause of a
108 number of human conditions and diseases; one historic and two contemporary case studies
109 linked to human disease are presented. One contemporary study is from the developing world
110 and the other from the developed world.

111

112 **Case 1: Salem 1692**

113 The challenge of mycotoxins to human health has been known since time immemorial with
114 issues such as ‘Witchcraft or mycotoxin?’, as noted by Woolf (2000), the Dead Sea Scrolls
115 referring to the destruction of ‘houses of mildew’ and that one of the ten plagues on Egypt
116 was attributed to humans and animals succumbing to contaminated stored grain (Marr and
117 Malloy 1996).. However, perhaps the most infamous incident in the early history of
118 mycotoxins was the Witch Trials in 1692 in Salem, Massachusetts. How does this historic
119 incident stand up to epidemiological case review? In Europe and the United States (US) in
120 the Middle Ages bread, often made with rye, was an important staple especially during the
121 winter months. In early 1692 a number of girls in Salem suffered violent fits, convulsions and
122 complained of itchy skin before lapsing into incoherent rants and hallucinations. Finding no
123 physical cause for these symptoms, the local doctor considered the incidents to be witchcraft.
124 By September of that year, 140 suspected witches had been arrested and 19 executed. We can
125 derive from this, other cases and related evidence point to a causal agent. On the one hand the
126 incidents stopped in the summer months of 1692 which was an unusually dry period.
127 Secondly, the preceding summer was recorded as a warm and damp season which was ideal
128 for fungal growth. Finally, most of the cases were from the west of the village, which was a
129 marshy area and thus more prone to fungal growth (Caporael 1976). To add to this case,
130 historians are aware of several incidents of mass insanity in medieval Europe (often termed
131 St. Anthony’s Fire) (Lee, 2009). However, it was not until nearly three hundred years later
132 that a plausible link was postulated for the Salem case by Caporael (1976). More recently,
133 toxicologists have identified that a number of grasses and cereals including rye can be
134 infected by species from the genera *Claviceps* whose complex life cycle results in developing
135 plant ovaries becoming masses of fungal tissue which harden into sclerotia, similar to hard

136 tubers (Eadie, 2003; Schiff, 2006). Sclerotia can be harvested along with the grain and if not
137 removed e.g. by beating, sieving or other separation process, can then contaminate the food
138 chain (Dellafiora et al., 2015; Eadie, 2003). Sclerotia contain ergot alkaloids that can cause
139 gangrenous ergotism with symptoms such as circulation disorders and convulsive ergotism
140 causing nervous disorders, spasms, and hallucinations (see Hulvova et al., 2013; Mulac and
141 Humpf, 2011). The witchcraft trials of 1692 in Salem and in Finnmark, Norway in the 17th
142 century have been studied retrospectively and revealed that ergot alkaloids from *Claviceps*
143 *purpurea* were responsible for the ergotism disease in humans (Alm, 2003; Dellafiora et al.,
144 2015; Dellafiora and Dall'Asta, 2017). In seeking to retrospectively determine the cause of
145 food poisoning incidents, evidence presented can lead researchers to consider progressing
146 from suspected causal agent, through probable causal agent to finally confirm the agent
147 responsible. How does the evidence from the Salem case stand up to this scrutiny? The case
148 definitions of Belson *et al.* (2005) have been adapted to the mycotoxin outbreak in Salem:

- 149 • *Suspected* ~ a case in which a potentially exposed person is being evaluated by
150 health-care workers or public health officials for poisoning by a particular chemical
151 agent (Belson *et al.* 2005). In the Salem case the exposed people were evaluated by a
152 doctor; however, no agent was suspected or determined and an alternative narrative
153 was postulated and believed by the community.
- 154 • *Probable* ~ a clinically compatible case in which a high index of suspicion exists for
155 chemical agent exposure or an epidemiologic link exists between this case and a
156 laboratory-confirmed case (Belson *et al.* 2005). By piecing the evidence together
157 retrospectively then it can be argued that there was a high probability of ergot
158 infestation of rye in Salem, especially in the western marshy fields due to the warm
159 and wet summer of 1691 In addition, the symptoms recorded in the trials and times of
160 ingestion are consistent with ergot poisoning from stored grain. Finally, the use of
161 new grain in the dry summer of 1692 was less likely to have been infected.
- 162 • *Confirmed* ~ a clinically compatible case in which laboratory tests of environmental
163 samples have confirmed exposure (Belson *et al.* 2005). This is not possible in the
164 Salem case as no samples of rye or bread for cross referencing were taken or stored
165 and no food diaries were logged.

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167
168

169 **Case 2: Aflatoxin Poisoning in the Eastern and Central Provinces of Kenya, January –**
170 **July 2004**

171 This aflatoxin outbreak in Kenya was one of the most severe cases globally with 317 case
172 patients in seven districts and 125 deaths (CDC 2004). The outbreak was caused by the S
173 strain of *Aspergillus flavus* (Probst et al., 2007). In this case, maize harvested in the off-
174 season, with early rains was implicated. During preliminary examinations of food collected,
175 aflatoxins were found at high levels especially in locally grown maize. A joint Kenyan and
176 Centre for Disease Control and Prevention (CDC) team then conducted patient interviews and
177 reviewed medical records in health facilities dating back to January of the same year. Any
178 case presenting acute jaundice after January in the affected provinces were listed as potential
179 aflatoxin poisoning. In addition, any patient diagnosed with jaundice at Kenyatta National
180 Hospital that had not got a history of chronic liver disease or other causes of jaundice were
181 also listed as suspected cases (CDC 2004). Reported cases increased during April and
182 continued through to mid-July. Age data was collected on just over 300 patients and showed
183 that 22% were under 5 and 29% were 5-14 years; in other words, almost half of those
184 affected were children and juveniles.

185

186 The study also carried out a case control study on 80 controls (healthy) and 40 cases in the
187 same districts. This highlighted that those individuals showing symptoms of jaundice were
188 associated with a number of environmental factors linked to increased aflatoxin growth in
189 maize.. These included reported home storage of discoloured home grown maize,
190 consumption of cooked maize kernels as well as home and damp storage of maize. Food
191 samples were also collected from households in May of the same year which included maize
192 flour, dry maize cobs and grains, de-hulled maize, millet, sorghum and beans. Of these, half
193 of the samples had aflatoxin B₁ significantly above regulatory levels. Further market samples
194 in the districts also showed that over 53% of samples exceeded regulatory levels for
195 aflatoxins. The case control study revealed that aflatoxin concentration found in homegrown
196 maize kernels from case households were 8 times higher compared to control households.
197 Case patients were also more likely to store wet maize in their homes and reported higher rate
198 of pet deaths (Azziz-Baumgartner et al., 2005).

199

200 In response to this outbreak, the Kenyan Government provided replacement food to affected
201 districts and advised residents not to eat maize and other foods suspected of being mouldy.
202 Food inspections were carried out and any suspected foods were removed, destroyed and

203 replaced. Following on from this, surveillance for aflatoxin poisoning had been extended to
204 other parts of Kenya by the Ministry of Health and screening of maize in store for aflatoxins
205 has been increased (CDC 2004). As an aside to the public response, some concerns were
206 raised over the safety of alternative maize provided by the government as samples taken at
207 the time showed that 55% of publicly stored grain had aflatoxin levels above 20 µg/kg (Lewis
208 et al., 2005; Muture and Ogana, 2005) and 35% had levels above 100 µg/kg. However, these
209 were significantly lower than those of local markets in the affected districts that were in some
210 cases in excess of 8000 µg/kg (Lewis et al., 2005).

211

212 What lessons can be learned from this case? Maize is the major staple food in Kenya and
213 accounted for 40% of the population's daily food intake. This means that Kenyans are
214 potentially exposed to regular doses of aflatoxins through their staple diet (Probst et al.,
215 2010). High levels of aflatoxin was found in maize samples (some in excess of 8,000 µg/kg
216 when the regulatory level is 20 µg/kg). The outbreak was caused by the S strain of *A. flavus* –
217 a strain that was not previously found in Africa. The S strain consistently produced larger
218 amount of aflatoxin (Probst et al., 2007). Both the high amount of aflatoxin and regular doses
219 of maize summatively led to patients consuming higher concentrations of aflatoxin. Evidence
220 of clinical illness was grounded in the use of a sound rule base to separate out other factors
221 that may have caused jaundice; clusters of cases were identified within households who
222 would have consumed the same samples of maize; case patients and controls were
223 interviewed and samples of blood and foods were taken for analysis; and there were also
224 reports of animal deaths where they had consumed the same maize as affected householders.
225 The government implemented a corrective action strategy to remove contaminated food and
226 replace this with safer foods and from a preventative point of view, the government of Kenya
227 implemented screening for aflatoxins symptoms through public health facilities and also
228 increased screening of stored maize. Maize from affected regions are destroyed and replaced
229 (with grains from less affected regions). Public health authorities should be aware of potential
230 contaminated maize entering the distribution system leading to continuous exposure to
231 aflatoxin (Lewis et al., 2005).

232

233 In epidemiological terms, this case would be classed as being a confirmed case. A final
234 benefit that accrued as a result of this case was the willingness of national and international
235 bodies to co-operate in building capacity and the outbreak provided valuable field training for
236 Kenyan public health workers under the mentorship of the CDC. The incident and subsequent

237 investigations also provided case workers with an opportunity to trial novel approaches to
238 epidemiological studies.

239

240 **Case 3. Gastrointestinal Illness in US School Children Linked to Eating Burritos**

241 Between October 1997 and March 1998, three outbreaks of gastrointestinal illness in schools
242 were traced back to one company and were linked to burritos containing either: chicken and
243 bean, pork sausage and egg or beef. A further 13 outbreaks in schools from between May
244 and October 1998 were traced back to a second company producing beef and pinto bean
245 burritos (CDC 1999). Both companies used wheat flour to make the tortillas; furthermore, all
246 burritos were distributed to six of the seven affected States as frozen pre-packed product apart
247 from Florida where the fillings were prepared locally. The outbreaks affected 1908 persons
248 from 125 schools (Steinberg et al., 2006) Symptoms include nausea, vomiting, headache and
249 abdominal cramps and occurred within an hour of consuming a burrito. Although no one was
250 hospitalised and no one died, this group of incidents showed how epidemiological patient
251 studies can be used to determine the likely cause of such illnesses. Even when links to a given
252 source are not statistically proven, it is important that government and industries work
253 together to reduce food safety risks. As part of the epidemiological investigation case control
254 studies were set up. The first school showed that 57% of case and 13% of control cases ate
255 burritos. In a second school, 85% of case and 33% of control cases ate burritos. In both
256 schools, the fillings were made locally and only the tortillas were common to one of the
257 companies under suspicion. The case study also had to identify possible causes of the
258 symptoms presented as a number of agents could be responsible and had to be eliminated. For
259 example, *Staphylococcus aureus* and *Bacillus cereus* both produce toxins linked to food
260 poisoning; however, headaches are not normally associated with these and the incubation
261 periods were longer compared to the observed outbreak.. Evidently, food samples from 5
262 outbreaks were also negative for the pathogens.. Heavy metal contamination could have also
263 caused some of the symptoms; however, none of these were at high levels in the burritos
264 sampled. Previous outbreaks due to ingestion of cereal grains contaminated with DON
265 occurred in China between 1961 – 1985. Patients suffered from similar clinical
266 manifestations such as nausea, vomiting, headache, dizziness and abdominal cramps (Luo,
267 1988a). Another outbreak in India were caused by consumption of bread made with wheat
268 contaminated with trichothecene mycotoxins. Patients also suffered from abdominal cramps
269 within 15 minutes to an hour after consumption of the bread (Bhat et al., 1989). This led the
270 US investigators to suspect natural toxins, in particular DON as other studies had shown such

271 links. Sampled burritos showed DON levels to be within the FDA advisory limit of 1 ppm
272 for finished wheat products; however, children are more vulnerable to such toxins since they
273 consume more of the suspect food than adults when expressed as amount of food consumed
274 per kg body weight. This results in higher exposures to potential mycotoxins from eating an
275 equivalent amount (Raiola et al., 2015). The companies implicated in supplying contaminated
276 tortillas, both use different raw material suppliers and no common first line supplier was
277 identified. Therefore, it was not determined whether any ingredients were of common origin
278 or shared in any way. Although the link was not proven, the US Department of Agriculture
279 (USDA) requested that both companies initiate national recalls and as a result some two
280 million lbs of burritos were either withheld from distribution or recalled (CDC 1999).

281

282 What lessons can be learned from this case? Again the approach was based on the patient
283 epidemiological case studies. The incidents of food poisoning in the schools initiated
284 patient-case studies to be carried out; samples of product were collected and analysed
285 though they were found to be within FDA limits for adult consumption. The symptoms
286 displayed were assessed against a range of causal agents and then each was assessed for
287 probability. Traceback studies were carried out to identify companies' that may have
288 supplied contaminated product. Based on lessons learnt from similar outbreaks in other
289 countries i.e. China and India, mycotoxin food poisoning from DON was considered the
290 most likely cause in these cases. From an epidemiological perspective these cases would be
291 classified as 'most' probable. Furthermore, although mycotoxin poisoning was not proven in
292 these cases, it is important to note that the government requested a product recall (a
293 precautionary approach) and the companies in question complied, as not to do so may have
294 harmed their reputations and hence future business.

295

296 In order to carry out such a recall, industry must have effective product trace and recall
297 systems in place that can be embedded into food safety management systems. In this
298 incident, traceability systems and associated records were crucial. Limited shipping records
299 for affected burritos may have hampered further investigation as some lots were not listed
300 (Steinberg et al., 2006). Within the US food industry this may be enforced for high risk
301 foods by legislation mandating the need for hazard analysis critical control point (HACCP)
302 plans or may be a condition of supply under a number of global and national private food
303 standards (Baines 2009). Although HACCP systems are considered to be problematic at the
304 primary production level, particularly the identification of robust critical control points

305 (CCP) for the prevention or reduction of hazards and associated record keeping for measures
306 taken, it is still important to have traceability and recall systems in place. Good Agricultural
307 Practice (GAP) is an integral part of food safety at the primary production level, but
308 continuous food safety issues and contaminations may warrant further investigation. Hence
309 this triggers the question: “Is this issue of mycotoxins a call for HACCP based on-farm food
310 safety management systems?” If yes, this will then lead to the critical question: “Is a true
311 HACCP plan possible?”. Whilst a true HACCP plan may be possible for addressing
312 chemical hazards (MacDonald 2005, Soon *et al.* 2012), HACCP is not only about
313 elimination of hazards, but also emphasises risk reduction of biological, chemical and
314 physical hazards. This will be very much relevant to the risk reduction strategies to be
315 applied at the primary production level for mycotoxin reduction. Given the understanding of
316 the range of mycotoxins and the impacts they can cause on human and animal health shown
317 in this paper, allied to the value of patient case studies to determine actual causes of illness
318 or disease; the next section of this paper considers how the risks associated with mycotoxins
319 can be mitigated.

320

321 **Risk Reduction Strategies**

322 The first step in reducing the risks associated with mycotoxins is to develop standards for the
323 maximum limits of these natural toxins. These standards need to be linked to the best
324 scientific evidence on what concentrations of toxin are acceptable or not acceptable. This is
325 not a simple task as a number of factors have to be taken into account to determine risk levels
326 such as: age and health of individuals; whether ingestion or exposure is at a low level over
327 long periods i.e. accumulative or higher doses in a short time span; the impact of
328 environmental conditions on the presence and growth of fungi; and the availability of
329 technology to separate, reduce or denature toxins before food or feed is consumed. It is also
330 important to remember that exposure may come from environmental exposure to spores and
331 toxins as well as through ingestion.

332

333 Prior to developing and enforcing maximum limits, risk assessment of mycotoxins is the
334 primary scientific basis to determine food safety limits (van Egmond *et al.*, 2007) such as risk
335 assessment of OTA in the US (Mitchell *et al.*, 2017), DON in Norway (Sundheim *et al.*,
336 2017) and various mycotoxins in Spain (Quiles *et al.*, 2016; Saladino *et al.*, 2017). Similarly a
337 number of studies reported risk assessments of mycotoxins in maize in Zimbabwe (Hove *et*
338 *al.*, 2016), groundnuts in Nigeria (Oluwawapelumi *et al.*, 2017) and spices in Sri Lanka

339 (Jacxsens et al., 2016). Risk assessments are carried out for one mycotoxin, but most fungi
340 are able to produce several mycotoxins at the same time. Similarly, food commodities can be
341 contaminated by several fungi or animal feed made from different grains or sources (Streit et
342 al., 2012), further complicating the mechanisms for risk assessment. Humans and animals
343 can be exposed to a combination of low level mycotoxins. These considerations collectively
344 highlight the challenges of risk assessing multiple mycotoxins in food (Assuncao et al., 2016;
345 Grenier and Oswald, 2011). In addition to studying the interactions of multiple mycotoxins,
346 research on modified forms of mycotoxins (also known as masked mycotoxins) has increased
347 (De Saeger and van Egmond, 2012). Masked mycotoxins are metabolites of the parent
348 mycotoxin formed in the fungus or plant e.g. by conjugation with a polar compound
349 (CONTAM, 2014). It occurs when the mycotoxin conjugate was not detected in routine food
350 or feed testing, but contributed to the total mycotoxin content (Gareis et al., 1990). Recent
351 studies by Dellafiora et al. (2017), Gratz et al. (2017) and De Boevre et al. (2013) contribute
352 to toxicological data and setting up of future regulations (Dellafiora and Dall'Asta, 2016).

353

354 As many food and feed raw materials are traded globally, it is important to set minimum rules
355 for mycotoxin levels in line with international trade. This is the responsibility of the Codex
356 Alimentarius Commission (CAC). Established in the early 1960's under the Food and
357 Agriculture and World Health Organisations, Codex's role is to elaborate minimum
358 international food safety regulations and then seek approval for these from member countries
359 (Berg 2003). Risk management associated with chemical contaminants including mycotoxins
360 are dealt with by the Codex Committee for Food Additives and Contaminants (CCFAC), a
361 sub-committee under the CAC. However, the body responsible for the risk assessment
362 component is the Joint Expert Committee on Food Additives (JECFA) who provides
363 scientifically based evidence of the toxicity of chemicals and is charged with establishing
364 safe levels for human consumption. From this information General Standards are developed
365 through a stepwise procedure involving expert committees and national bodies. For
366 mycotoxins the standard is 'The General Standard for Contaminants and Toxins in Food'
367 (CAC, no date) which is updated annually. This standard was accepted by the CAC in 1997
368 (FAO 2000) with annexes to cover:

- 369 • Criteria for the Establishment of Maximum Limits in Food
- 370 • Procedure for Risk Management Decisions
- 371 • Format of the Standard

- 372 • Annotated list of Contaminants and Toxins, and,
- 373 • The Food Categorisation System to be used.

374 Such standards set maximum limits for toxins using the ALARA acronym - ‘As Low As
375 Reasonably Achievable’. International action is based on meeting certain criteria that
376 including that the substance in question is shown to be: in the food or feed at certain levels as
377 determined by reliable analysis; is of toxicological concern at this level; the food or feed is
378 sufficiently important in the potential consumption of the substance; and, the food/feed is
379 traded internationally (Gawalko *et al.* 2009).

380
381 Codex standards are designed to define the minimum legal standards for international trade
382 and are often then used as the basis for national legislation. Furthermore, should member
383 countries be in dispute over whether respective legislation is acting as a trade barrier, Codex
384 standards are often referenced in arbitration, though this process is managed under the World
385 Trade Organisation (WTO). As stated above, national legislation in many countries is based
386 on Codex principles but may be set at more stringent levels depending on the expert evidence
387 put forward by national expert committees or at the Trading block level. As an example,
388 significant legislation has been developed in the European Union and is beyond the scope to
389 reference in full here. However, the European Commission, the Joint Research Centre and the
390 Institute for Reference Materials and Measurements jointly publish summary technical notes
391 for government and industry (Lerda 2011).

392
393 Where food and feeds are produced and used within a particular jurisdiction, then the level of
394 mycotoxin contamination deemed to be acceptable or unacceptable will be clearly defined in
395 legislation and material will be sampled and analysed by public inspection agencies. In some
396 regions this may be supplemented by private standards that are often equivalent or more
397 stringent than those set by legislation. However, when food and feed is traded internationally,
398 then different levels of ‘acceptable contamination limits’ may be enforced. As a rule of
399 thumb, any producer of grains and pulses intended for the international market and any agri-
400 business trading in raw and finished products should be aware of the limits set in the final
401 destination country or trading block. To exemplify this point, the acceptable levels of
402 aflatoxins are compared for the US, the largest exporter of agri-food products, and the EU,
403 the largest importer of agri-food products (Table 3).

404

405 Insert Table 3 here

406

407 This indicative data on mycotoxin limits in cereals, pulses, nuts, milk and animal feed shows
408 that the levels imposed in the EU are more comprehensive and restrictive. In terms of
409 aflatoxins, the US restricts levels of aflatoxin B₁ while the EU refers to both aflatoxin B₁ plus
410 total aflatoxins (B₁, B₂, G₁, G₂). Moreover, the limits set in the EU are 10 fold more
411 restrictive. In terms of aflatoxin M₁ in milk, the EU limits are also 10 fold lower. These lower
412 acceptable levels are also reflected in maximum limits in domestic animal feed with EU
413 levels 2-5 fold lower than the US. In the late 1960s, US FDA set an action level for aflatoxins
414 at 20 µg/kg for all foods including animal feeds. However, animal feeding studies
415 demonstrated that levels of aflatoxins above 20 could be fed to certain food-producing
416 animals without harming the health of these animals and consumers of food derived from the
417 exposed animals. Thus, on the basis of these scientific studies, FDA revised its actions level
418 for animal feed products. There exist stark differences between US and EU standards and this
419 may lead to potential trade implications.

420

421 The notification and enforcement of food and feed legislation in the EU is through the Rapid
422 Alert System for Food and Feed system (RASFF). This provides EU food and feed control
423 authorities with shared information about measures taken in responding to serious risks
424 detected in food or feed. Member States are therefore able to act more rapidly and in a
425 coordinated manner in response to a health threat caused by food or feed. RASFF is made up
426 of clearly identified contact points in the Commission, European Food Safety Authority, and
427 European Environment Agency, and at national level in member countries including port and
428 airport authorities (RASFF 2015). The output of the system is RASFF notifications that
429 report on risks identified in food, feed or food contact materials that are placed on the market
430 in the notifying country or detained at an EU point of entry at the border with an EU
431 neighbouring country. The notifying country reports on the risks it has identified, the product
432 and its traceability and the measures it has taken. After verification by the Commission,
433 notifications are transmitted to all contact points under one of the following types of
434 notifications (RASFF 2015):

435

436• **Alert notifications:** when a food, feed or food contact material presenting a serious risk is on
437 the market and when rapid action is or might be required such as withdrawal or recall. The

438 notification aims at giving all the members of the network the information to verify whether
439 the concerned product is on their market, so that they can take the necessary measures.

440• **Information notifications:** concerns a food, feed or food contact material for which a risk has
441 been identified that does not require rapid action either because the risk is not considered
442 serious or the product is not on the market at the time of notification. The EU defines two types
443 of information notification: information notifications for followup if a product is or may be
444 placed on the market in another member country; and information notifications for attention if
445 a product is present only in the notifying member country; or has not been placed on the market;
446 or is no longer on the market

447• **Border rejection notifications:** concerns consignments of food, feed or food contact material
448 that was refused entry into the Community for reason of a risk to human or animal health or to
449 the environment if it concerns feed.

450

451 The RASFF system also allows for follow up notifications which refer to previously notified
452 consignments in order to add information to the original notification such as information on
453 hazards, product traceability or measures taken. Due to the global scale of cereal and pulse
454 trade and the dominance of northern hemisphere agribusinesses, the levels of mycotoxins set
455 for global trading are effectively those of the US or the EU depending on final destination of
456 shipments. Indeed, shipments destined for the EU that might exceed the more restrictive
457 limits may be diverted to the US or 'dumped' in third countries with less restrictive limits or
458 poorly developed enforcement. This leads us to a questioning of what the size and scale of
459 the problem is in internationally traded cereals and derived products. Imposing stricter
460 regulations would result in economic losses in certain countries. For example, Wu (2004)
461 demonstrated that by implementing an international fumonisin standard < 0.5 ppm would
462 result in US\$300 million export losses by the US, Argentina and China (top corn exporting
463 countries). Stricter limits may also mean that countries may export the best quality crops
464 whilst poor quality crops are kept for domestic consumption hence increasing internal
465 country health risks (Wu, 2004).

466

467 In addition to EFSA and RASFF, the establishment of the European Union Reference
468 Laboratory (EU-RL) and European Standardization Committee (CEN) with validated
469 methods helped to facilitate the implementation of EU legislation in monitoring mycotoxins
470 in food and feed (EU Science Hub, 2016; FAO, 2004). Projects such as BioCop resulted in

471 development of novel methods for early detection of mycotoxins (EC, 2011) whilst MoniQA
472 provided a platform for experts to harmonise worldwide food safety and quality monitoring
473 and control strategies (MoniQA Association, 2017). These pan-European projects are
474 important to ensure the safety and quality of the food and feed supply chain.

475

476 **Risk Reduction Strategies at Supply Chain Level**

477 Given the number of notifications in the EU for example that relate to cereals and
478 mycotoxins, a key challenge is for public administrations and food supply chains to carry out
479 appropriate risk characterisation strategies in order to inform food and feed safety policies
480 and reduce risks and liability in food trade. Characterising food safety risks in order to inform
481 both policy options and supply chain process controls should follow the same steps but with
482 different operational outcomes. These steps include:

- 483 • **Risk assessments:** Systematic evaluation of all relevant information to quantify the
484 magnitude, exposure and probability of a potential food hazard to individuals or
485 populations. This includes hazard identification (mycotoxins), characterisation (effect
486 on humans and animals), exposure assessment (consumption of mycotoxins and dose
487 effects) and risk characterisation (the impact on target consuming population
488 including vulnerable individuals) (Kuiper-Goodman, 2004).
- 489 • **Risk management:** The process of weighing policy or private standard alternatives
490 against the risk assessment in order to set appropriate regulatory measures and control
491 options (Kuiper-Goodman, 1999). In developing options, it is also critical for public
492 administrations to also weigh up public health, economic, social and political
493 consequences; equally, the private sector through various standards will primarily
494 evaluate food safety risks and liability whilst also considering economic and corporate
495 issues. In both cases this contributes to risk characterisation.
- 496 • **Risk communication:** The exchange of relevant information, including uncertainties
497 and precautionary approaches, on risk management decisions taken and the
498 implications for key stakeholders (van Dijk et al., 2008). In the case of public
499 administrations this may include public health officials, industry and consumers; in
500 contrast, the private sector will largely operate through inspection and certification
501 mechanisms to inform business to business communications as opposed to business to
502 consumer communication.

503

504 In order to reduce the economic and health consequences of mycotoxin contamination in
505 cereals and other crops across supply chains, a number of intervention strategies can be
506 employed along with assessment of key risk factors from crop production to final consumer
507 purchase. The aim of such strategies is to ensure that the food or feed product has the lowest
508 practical mycotoxin concentrations. While it is beyond the scope of this review to develop
509 specific mycotoxin strategies, it is important to note that the toxins in question are produced
510 by fungal species that are in turn influenced by local environmental factors, especially in
511 terms of temperature and water availability (a_w) which affect their scope for growth. A
512 number of factors are important in reducing or eliminating such toxins from food and feed at
513 key stages along supply chains (Table 4).

514

515 Insert Table 4 here

516

517 In considering the whole supply chain, it can be seen that there are several key stages where
518 risk assessments and risk reduction strategies should be prioritised where fungal infections
519 can lead to a build up of mycotoxins in raw materials, feed and food. These stages are critical
520 as once grains, food or feed are contaminated then it is difficult if not impossible to
521 economically remove these mycotoxins whether in the developed or developing world. The
522 key risk reduction stages are:

- 523 • **Pre-crop site assessment:** as part of crop rotations, it is critical to evaluate sites in
524 terms of the climatic conditions that may predispose the area to a higher risk of fungal
525 growth. The risk rating would be further increased if previous crops and weeds were
526 susceptible to fungal attack or have been previously infected and where surface trash
527 is not buried through ploughing.
- 528 • **Ear emergence to grain filling:** this is a critical time to try and keep grains and seed
529 heads clean for harvest; therefore, regular crop inspection especially in relation to
530 weather conditions (warm and humid) are important with tactical use of fungicides
531 where thresholds of infection are exceeded.
- 532 • **Harvesting, processing and storage:** harvesting early allied to rapid drying below
533 18% moisture content and cooling to $<15^{\circ}\text{C}$ reduces the initial risks of fungal growth
534 in stores. Further drying and cooling is advocated for longer term storage along with
535 monitoring and pest controls.

536 • **Sampling and assessment:** whether for food or feed, it is essential to sample and
537 determine levels of mycotoxins in relation to legal limits and intended use. This is a
538 major challenge as mycotoxins will not be evenly distributed through grains, feed and
539 food. In the EU for example, guidance is given under Regulation 401/2006. Under
540 this guidance food lots of 500kg – 1 tonne require an aggregate sample of 10
541 incremental samples totalling 1 kg while lots of >10 tonnes to 20 tonnes requires 60
542 samples aggregated and weighing 6 kg (Food Standards Agency 2014).

543 • **Processing:** Thermal processing can denature some mycotoxins to more acceptable
544 levels (see Table 4).

545 Other approaches to reducing the mycotoxin burden have been evaluated including mixing,
546 decontamination through adsorbents, and chemical and biological treatments (Binder 2007,
547 Wagacha and Muthomi 2008). The simplest approach, unless prohibited by legislation,
548 would be to mix contaminated grains or feed with uncontaminated parts to reduce the average
549 contamination level. However mycotoxin concentration in grains is not homogenous and so
550 this is not recommended as it is ineffective. Blending batches containing ,mycotoxin in excess
551 of a limitation established by regulations is not permissible (NebGuide 2003). The most
552 commonly used method, however, is to include various binding agents or adsorbents which
553 reduces mycotoxin uptake and distribution in animals; examples include aluminium silicates,
554 clays and zeolitic minerals (Huwig *et al.* 2001). Other compounds may act as binding agents
555 such as hydrated aluminosilicate which is particularly effective at binding with aflatoxins
556 (Jouany 2007). However, no compounds were found to have binding capacity for a broad
557 range of mycotoxins. For example, cholestyramine appears to be an effective binder for
558 fumonisins and zearalenone *in vitro* while activated carbon was the only compound to bind
559 with DON and nivalenol (Avanttaggiato *et al.* 2006). An alternative strategy is to manipulate
560 existing gut microbes to further denature mycotoxins. For example, some rumen protozoa are
561 known to degrade some mycotoxins (Schatzmayr *et al.* 2006), however they disappear if
562 livestock are fed diets high in fermentable carbohydrates (Jouany 2007, Kiessling *et al.*
563 1984). Finally, potential bio-control agents have been considered where antitoxigenic strains
564 of *A. flavus* and *A. parasiticus* have been introduced to soils to out-compete the toxin
565 producing natural strains for these fungi (Ehrlich 2014). Overall corrective action of this
566 nature is not to recommended.

567

568 **Conclusion**

569 Mycotoxins are a growing public concern and can affect human and animal health. Many are
570 harmful to animals and can lead to poor performance and productivity or even fatalities;
571 human exposure can also lead to illness and death. In order to reduce the impact of
572 mycotoxins, it is necessary to try and prevent their occurrence in the first place and to have
573 robust risk reduction strategies at the key stages in supply chains per. se. Every mycotoxin
574 incident that occurs provides health authorities, regulators, food and farming industry with
575 key lessons. It is essential that these lessons are learnt and considered to prevent and/or
576 control future incidents. Comprehensive food safety programmes are needed that target both
577 farmers and market supply chains. Given this insight into the relationships between food
578 commodities, the environment and supply chains, it is important to consider how such
579 knowledge could be applied to food safety programmes and the challenges facing developing
580 and developed nations.

581

582 With regard to developing countries, mycotoxins are contaminating a large proportion of the
583 world's food including maize, other cereals, groundnuts and other seeds. Many of these
584 commodities are the staple diets of the population in developing countries in Africa, Asia and
585 Latin America (Wild and Gong 2010). This is especially important for small-scale and
586 subsistence farmers and their families where the bulk of their staple food is home grown,
587 stored, prepared and consumed often in sub-optimal conditions. This means that there is little
588 opportunity for public inspection and control as was the case in Kenya in 2004. Furthermore,
589 many developing countries have poorly developed legislation and enforcement along with
590 health services that are often stretched due to the ravages of poverty and malnutrition related
591 illnesses. Indeed, mycotoxins are often not prioritised as a public health issue. At the same
592 time agriculture is seen as an engine for development and governments are looking to export
593 agricultural commodities as part of their development strategies, which is of limited value if
594 products contain significant levels of mycotoxins. This leaves many developing countries
595 with a dilemma of how to improve the health of local people whilst also increasing the export
596 of agricultural commodities. To address this, the following strategies could be considered.
597 Firstly engendering political will to address mycotoxin contamination and the capability to
598 carry out tests for food and feed contamination. This is fundamental to protecting the
599 country's population from mycotoxin exposure in the food and feed supply chain (Milicevic
600 et al., 2015). Secondly building resilience in primary production with appropriate mycotoxin
601 reduction strategies (Table 4) as part of agricultural extension by government agencies. This
602 should include focus on the high risk stages of site selection and home saved seed and crop

603 monitoring, especially at seed emergence, effective drying and storage. Thirdly, grain storage
604 needs to be more robust. Significant contamination occurs in locally stored grains and pulses,
605 especially if stored at home and in makeshift stores. The investment in locally available and
606 well-designed public storage could contribute not only to safer staples but also to the
607 provision of strategic local food reserves for communities. Public health programmes aimed
608 at informing households about the risks of sourcing and storing grains and flours could be
609 combined with food security and health messages. In stating this, there is an opportunity for
610 joint promotion of safer food by health officers working with agricultural extension officers.
611 There are also potential capacity building benefits from developed and developing public
612 health officials working together as was shown in Kenya. In local, national and international
613 value markets small-scale farmers are often seen as a source of new land and labour for
614 formal marketing channels. Under these types of in-grower or out-grower schemes, the
615 technical support and food safety systems are delivered to farmers through private sector
616 agents and through the adoption of farm standards necessary for access to international
617 markets. By including public extension in the model, wider benefits could accrue.
618 Alternatively, both public health regulation and private sector standards are well developed in
619 most first world countries. As such, much of the concern over mycotoxins in developed
620 countries is linked to global sourcing of raw materials for animal feeds and food processing.
621 Although this is no reason for reducing the preventative programmes implemented in the UK
622 to minimise mycotoxin contamination.

623

624 The combination of targeted legislation and efficient enforcement means that mycotoxin
625 incidents in humans are relatively rare. This is further backed up by the risk reduction
626 strategies built into farm assurance schemes and robust food industry standards underpinned
627 by HACCP plans. However, as demand grows for raw materials for feed and food, then more
628 is being sourced from developing countries. Thus, there is a need to ensure both risk
629 reduction strategies and HACCP plans are extended to primary production and processing in
630 extended global supply chains. Research institutions and agricultural departments of
631 developed countries are continuously seeking to develop resistant cultivars. Development and
632 careful selection of cultivars resistant to a broad range of mycotoxins and the sharing of
633 resistant cultivars with producers from developing countries along with further mycotoxin
634 mitigation strategies will help to ensure continued safe and sustainable production of cereal
635 and pulses globally.

636

637

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943 Table 1 Human diseases associated with cereals and pulses contaminated with mycotoxins
 944 and indicative health effects in humans and animals (Adapted from Binder 2007, Bryden
 945 2007, CAST 2003, Etzel 2006, Riley 1998, Sherif *et al.* 2009)

Classic Disease Association	Typical Food Sources	Fungal Group or species	Associated Mycotoxins	Health Effects Associated with Mycotoxins
Akakabio-byo	wheat, barley, oats, rice	<i>Fusarium</i> spp.	<i>Fusarium</i> toxins	Vomiting, central nervous system damage, haemorrhaging cell necrosis associated with inhibition of protein synthesis and elevated CA ²⁺ initiating endonuclease activation – cell apoptosis
Alimentary toxic aleukia (ATA or septic angina)	cereal grains (toxic bread)	<i>Fusarium</i> spp.	T-2 Toxin	
Kashin Beck disease, Urov disease	cereal grains	<i>Fusarium</i> spp.	T-2 Toxin but not proven	
Onyalai	millet	<i>Phoma sorghina</i>	Aetiology unknown possibly <i>Fusarium</i> toxins	
Balkan nephropathy	cereal grains	<i>Penicillium</i> spp., <i>Aspergillus</i> spp.	Ochratoxin A – not proven	Renal cancer. Reduced immune system. Reduced glyconeogenesis – cell death. Inhibition of protein synthesis – cell apoptosis. Disruption of Ca transport – cell deregulation and apoptosis.
Cardiac beriberi	rice	<i>Aspergillus</i> spp., <i>Penicillium</i> spp.	Not specified	
Dendrodochiotoxicosis	fodder (skin contact, inhaled fodder particles)	<i>Dendrodochium toxicum</i>	Possibly Verrucarin A	Oral lesions, diarrhea, hemorrhagic gastroenterocolitis, oedema. Inhibits protein synthesis in cells – cell apoptosis
Ergotism	rye, cereal grains	<i>Claviceps purpurea</i>	Ergotamines – alkaloids produced by plants in response to infection	Nervous disorders (itching skin and nervous convulsions) and gangrene due to vascular restrictions.
Oesophageal tumors	corn	<i>Fusarium verticillioides</i>	Fumonisin	Vomiting, neural tube defects, pulmonary oedema and oesophageal cancer. Disrupted lipid metabolism – cell deregulation – cell apoptosis
Hepatocarcinoma (acute aflatoxicosis)	cereal grains, peanuts	<i>Aspergillus flavus</i> , <i>A. parasiticus</i>	Aflatoxins B ₁ , B ₂ , G ₁ , G ₂	Vomiting, hepatitis, liver disease and cancer (DNA modification – cell deregulation – cell death/transformation)
Reye's syndrome	Cereal grains	<i>Aspergillus</i> spp.	Aflatoxins may play a part in some cases	
Kwashiorkor	cereal grains	<i>Aspergillus flavus</i> , <i>A. parasiticus</i>	Aflatoxins but not a proven link	
Stachybotryotoxicosis	hay, cereal grains, fodder	<i>Stachybotrys chartarum</i>	Trichothecene-satratoxins	Rashes, especially in areas subject to perspiration, dermatitis, pain and

	(skin contact, inhaled hay dust)		(L, D, F, G and H)	inflammation of the mucous membranes, a burning sensation of the eyes and nasal passages, tightness of the chest, cough, bloody rhinitis, fever, headache, and fatigue.
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949 Table 2 Examples of mycotoxicoses in terms of people affected (and deaths), food sources
 950 and toxins identified (Adapted from Peraica *et al.* 1999, Wild and Gong 2010)

Location	Affected (Fatalities)	Source	Toxin	References
India	397 (106)	Maize	Aflatoxin unspecified	Krishnamachari <i>et al.</i> (1975) Bhat and Krishnamachari (1977)
	994 (97)	Maize	Aflatoxin B ₁	Tandon <i>et al.</i> (1977)
	397 (106)	Maize	Aflatoxins not specified	Krishnamachari <i>et al.</i> (1975)
	78 (not available)	Pearl millet	Clavine alkaloids	Krishnamachari and Bhat (1976)
	97 (0)	Wheat	Nivalenol, DON, T-2 Toxin	Bhat <i>et al.</i> (1989) Ramakrishna <i>et al.</i> (1989)
Kenya	20 (12)	Maize	Aflatoxin B ₁ and B ₂	Ngindu <i>et al.</i> (1982)
	12 (5)	Kwashiorkor	Aflatoxin B ₁ , few B ₂ , M ₁ & M ₂	de Vries <i>et al.</i> (1990)
	317 (125)	Maize	Aflatoxins not specified	Azziz-Baumgartner <i>et al.</i> (2005)
USA	1 (0)	Purified Aflatoxin	Aflatoxin B ₁	Willis <i>et al.</i> (1980)
	22 (22) 10 (10)	Reye Syndrome Control	Aflatoxin B ₁ Aflatoxin B ₁	Hogan <i>et al.</i> (1978) Ryan <i>et al.</i> (1979) Ryan <i>et al.</i> (1979)
Czechoslovakia	27 (27)	Reye Syndrome	Aflatoxin B ₁ and M ₁	Dvorackova <i>et al.</i> (1977)
	25 (25)	Non Reye Syndrome	Aflatoxin B ₁ and M ₁	Dvorackova <i>et al.</i> (1979)
New Zealand	2 (2)	Rye Syndrome	Aflatoxin B ₁	Becroft and Webster (1972)
Uganda	1 (1)	Cassava	Aflatoxin unspecified	Serck-Hanssen (1970)
Thailand	23 (23) 15 (15)	Reye Syndrome Control	Aflatoxin B ₁ , B ₂ and M ₁ Aflatoxin B ₁ and B ₂	Shank <i>et al.</i> (1971)
Nigeria	38 (38) 39 (39)	Kwashiorkor Controls	B ₁ , B ₂ , G ₁ , G ₂ , M ₁ , M ₂ in both groups	Oyelami <i>et al.</i> (1995) Oyelami <i>et al.</i> (1997)
Ethiopia	140 (48)	Grain	Ergotamine-ergocristine alkaloid	King (1979)
China 1984-85	463 (0)	Maize, Wheat	DON, Zearalenone	Luo (1988b)

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954 Table 3 A comparison of regulations in the US and the EU for aflatoxins (Adapted from EC
 955 No 1881/2006, Richard 2007, US FDA 2000)

US		EU	
Commodity and intended use	Maximum levels µg/kg (aflatoxin type)	Commodity and intended use	Maximum levels µg/kg (aflatoxin type)
All products except milk for human consumption	20	Groundnuts (peanuts), dried fruit and processed products thereof for direct human consumption	2.0 (B1) 4.0 (Sum of B ₁ , B ₂ , G ₁ and G ₂)
		Almonds, pistachios and apricot kernels intended for direct human consumption	8.0 (B1) 10.0 (Sum of B ₁ , B ₂ , G ₁ and G ₂)
		Hazelnuts and Brazil nuts intended for direct human consumption	5.0 (B1) 10.0 (Sum of B ₁ , B ₂ , G ₁ and G ₂)
		Tree nuts, other than the tree nuts listed above and processed products thereof intended for direct human consumption	2.0 (B1) 4.0 (Sum of B ₁ , B ₂ , G ₁ and G ₂)
		Cereals including maize and processed products thereof	2.0 (B1) 4.0 (Sum of B ₁ , B ₂ , G ₁ and G ₂)
		Processed cereal based-foods and baby foods for infants and young children	0.10 (B1)
Milk	0.5 (M1)	Milk for the manufacture of milk-based products	0.05 (M1)
Feed			
Cottonseed meal as feed ingredient	300	All feed materials except	20 (B1)
Corn and peanut products for finishing beef cattle	300	Complete feedingstuffs for cattle, sheep and goats	20 (B1)
Corn, peanut products, cottonseed meal and other animals feeds for dairy animals	20	Complete feedingstuffs for dairy feed	5 (B1)
Corn and peanut products and other animals feeds (excluding cottonseed meal) for immature animals	20	Complete feedingstuffs for calves and lambs	10 (B1)
Corn or peanut products for finishing swine	200	Complete feedingstuffs for pigs and poultry	20 (B1)

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959 Table 4 Mycotoxin risk reduction actions along cereal supply chain

Supply Chain Stage	Actions	Impact	Notes
Primary production			
Site selection	Evaluate production site in terms of seasonal temperature and precipitation	High	Regions with higher temperatures and high precipitation often have higher fungal growth but this is specific to species e.g. <i>A. flavus</i> is prevalent in hot humid climates while <i>P. parasiticus</i> prefers cooler conditions but both produce aflatoxins
Previous crop and rotations	Avoid sites that have previously grown maize or other susceptible cereal crops, especially if fungal infestations detected in previous season.	High	Intensive cereal rotations or monocultures can lead to carry over of diseases, pests and fungal spores that can lead to infection of subsequent crops.
Crop residue management	If previous crop was susceptible and may hold fungal spores, bury residues by soil inversion	High	Burying previous crop results in a clean seed bed and less risk of fungal infection. See also weeds
Variety choice	Select varieties with higher levels of fungal resistance if available. Also crops with drought, and temperature stress resistance may be more resistant to fungal infection.	Medium	Increased genetic resistance to fungal attack. Earlier ripening varieties can allow harvesting in better weather conditions. See also Harvesting time
Fertiliser inputs	Match inputs to crop requirements and weather conditions	Low	Excessive fertiliser inputs, especially nitrogen, can result in crops lodging. This creates a more humid microclimate conducive to fungal growth. See also growth regulators.
Growth regulators	Dose and timing should be at correct growth stage to ensure stem elongation is reduced	Medium	Growth regulators result in shorter crops that can take up more nitrogen without lodging
Fungicide applications	Detection of outbreaks of ear blight can be controlled by fungicides	Medium	
Weed & Insect Controls	Certain weeds may harbour fungi and insect pests. Insects can cause physical damage allowing a potential route for fungal infection	Low	Weeds can be a source of fungi in a similar way to crop residues while physical damage to the crop by insects can provide a route for fungi to enter crops
Harvesting and drying	Test grain moisture content before harvesting and dry to below safe moisture content before longer term storage. Minimise holding times before drying is completed	Medium	Maize harvested at 25% m.c. with delayed drying to <14% m.c. can have significant growth in fumonisins and zearalernone. Other cereals should be dried to 14-15% m.c.
Harvesting time	Early maturing varieties allow for an earlier start to harvesting in better weather conditions and spread the throughput of crop through drying facilities	Medium	Earlier harvests can reduce risk of fungal infections is weather conditions deteriorate.
Storage			
Store design	Stores should be well designed and maintained with good ventilation and airflow through stored grains	High	Airflow and temperature are critical to maintaining grains under safe storage conditions. Any areas with poor ventilation can become hot-spots for fungal infection.

Harvest and store Hygiene	Cleaning of harvesting, drying and storage equipment can reduce spore carry over between seasons and between crops within a season	High	Poor hygiene can lead to inoculation of clean crops with fungal spores.
Adequate capacity for rapid grain drying	High capacity reduces the risk of a backlog of higher moisture content grain in temporary storage. The aim is to dry below 18% m.c. as quickly as possible.	High	If grain is stored above 18% m.c. then the risk of ochratoxin A is increased during storage.
Rapid cooling	Fungal growth can be inhibited if grains are cooled and maintained cool	High	Rapid cooling to below 15°C reduces fungal activity
Continued drying and cooling	Dry grains to recommended safe storage moisture content. Long term cooling to 5°C	Medium	Other fungi are restricted at lower m.c. and temperature See also <u>Harvesting and drying</u> .
Grain store monitoring	Continued monitoring of temperature, moisture content and insect/mite activity	Low	Monitoring enables any problems to be detected and acted on immediately.
Processing			
Milling	Milling can redistribute mycotoxins into different fractions of the process	Medium	Dry milling results in more fumonisins , zearfalerone, DON, aflatoxins and ochrtixin A in the bran used for animal feed as opposed to fractions used for food. Wet milling results in more fumonisins, zearalernone and aflatoxin in the steep water as opposed to milled products.
Brewing	Mycotoxins may transfer from contaminated grain to the beer during the brewing process	High	Aflatoxins, ochratoxin A, zearalernone, DON and fumonisins can withstand the brewing process including the boiling of the wort (100°C). Reductions in mycotoxins from brewing range from 2-28%.
Thermal processing	Cooking of products can denature some mycotoxins. Processes may include boiling (as with brewing), roasting, bakoing and frying.	High	Aflatoixins may be reduced by cooking (30%), pressure cooking (80%) and frying (35%). Roasting at 150°C for 120 mins reduced aflatoxins by 63%. Ochartoxin A denatured at temps > 250°C Fumonisin denature at tems > 150°C
Extrusion	Extrusion is a process often used for cereals and snack foods which includes thermal processes. This can denature some mycotoxins	High	Extrusion temperatures can reach 160oC or higher for a short period. This can result in denaturing of those mycotoxins that are susceptible to these temperatures. The reduced heating tome may however, reduce the efficacy of this heat process

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