

Use of intelligent applications to reduce household food waste

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1 **Use of intelligent applications to reduce consumer food waste**

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6
7 **Abstract**

8 Household food waste is gaining an increasing emphasis worldwide. Multiple factors have been
9 identified that contribute to household food waste including a lack of consumer understanding
10 of durability coding and expiration dates on food. The aim of research is to review the evolution
11 of date labelling and associated on-pack information, its interrelationship with household food
12 waste, and potential future developments in intelligent applications to address food waste,
13 transparency of communication and food safety. The length of shelf-life influences food waste
14 with a longer shelf-life leading to less waste. Whilst preservatives extend shelf-life, the trend
15 towards “clean labels” means that alternative intelligent approaches may be required that meet
16 the expectations of consumers, improve personal agency in terms of improving product storage
17 conditions, purchasing behaviour to minimise food waste and support effective household
18 inventory management. Intelligent options considered in this paper include: intelligent
19 packaging and also intelligent appliances as part of an internet of things (IoT) enabled “smart
20 kitchen”.

21 **Keywords IoT, internet of things, smart kitchen, smart fridge**

22 **1. Introduction**

23 As a result of profligate human activity, and due to its various social, economic and
24 environmental impacts (European Parliament, 2017), food waste is taking on increasing
25 importance worldwide. In 2011, global food waste represented one third of total food and
26 beverage production, equivalent to 1.3 billion tonnes per year (FAO, 2011). In Europe, the
27 percentage of waste reaches 20% of total production or 88 million tonnes (Stenmarck et al.

28 2016), and in the United Kingdom (UK), annual food and beverage waste was 16 million tonnes
29 (Quested and Parry, 2011). In 2017, the European Parliament set a target of halving food waste
30 by 2030 (European Parliament, 2017) a difficult goal to achieve.

31 Whilst total volumes of food waste are of interest, quantifying food waste per capita gives a
32 more meaningful metric that consumers can both understand and engage with. For example, at
33 retailer and consumer level, food waste for the United States of America (USA) is 188kg per
34 capita/year, in the UK 181 kg per capita/year (Garrone et al. 2014), and in European Union
35 (EU) countries slightly less at 179kg per capita/year (Buzby and Hyman, 2012, O'Connor et al.
36 2014). By reducing or preventing per capita food waste, it will be possible to mitigate the
37 associated negative impacts. First, food waste raises social and political questions (Henderson,
38 2004, Stuart, 2009). Despite the abundance of food products and associated waste, 5.7% of
39 Americans (Coleman-Jensen et al. 2014) and 9.6% of Europeans (European Parliament, 2017)
40 suffer from social disorders associated with food consumption such as malnutrition or
41 difficulties within their immediate food environment e.g. sufficient access to food or poor
42 cooking behaviour. Secondly, waste has a negative impact on the environment. Indeed, the
43 environmental impact involves all stages of the product's life cycle, from production to
44 destruction. As such, wasted products are responsible for an overall carbon footprint of
45 approximately 8% of global anthropogenic greenhouse gas emissions (GHGs) see European
46 Parliament (2017) and 28% of usable resources (Spada et al. 2018) in Europe. GHGs occur
47 during production and also during destruction of food via methane accumulation in landfills
48 (Hogg et al. 2007; Stuart, 2009; Griffin et al. 2009; Mena et al. 2011). In the UK, greenhouse
49 gas emissions from food waste disposal are equivalent to 30% of total consumption-related
50 emissions (Mena et al. 2014). There is a resultant loss of utilised natural resources, such as
51 water, energy and land, for food products that end up in the landfill (Lundqvist et al. 2008;
52 Nellman et al. 2009; Stuart, 2009; Mena et al. 2011). Indeed, the loss of 30% of the food

53 produced implies the use of an additional 50% of water resources for irrigation (European
54 Parliament 2017). Finally, the economic impact comes from the loss related to the production
55 and purchase of a product that will not fulfil its primary function and will therefore be discarded
56 (Mena et al. 2011). Indeed, food waste at European level is estimated at 143 billion euros per
57 annum. Thus reducing waste will reduce economic losses at multiple steps in the food supply
58 chain (Ventour, 2008; Mena et al. 2011). So, more specifically, what are the causes of
59 household food waste?

60 **2. Factors affecting Household Food Waste**

61 In Europe, consumer level food waste is estimated to represent more than 50% of overall
62 food waste post farm (Stancu et al. 2016, European Parliament, 2017). Including the losses
63 within agriculture, in Europe and North America, 20% of food waste occurs in the food supply
64 chain and 10-15% of waste is by the consumer (Osborn, 2016). In the UK, WRAP (2009)
65 estimated that half of overall food waste (around 8 million tonnes) is produced by consumers
66 with a more recent study suggesting consumer food waste can be differentiated as 1.6 million
67 tonnes of unavoidable waste and the rest as avoidable or possibly avoidable (such as peeling)
68 waste (Osborn, 2016). Avoidable waste means that the product was still edible when being
69 discarded. Avoidable and partly avoidable food waste is estimated to cost £480 per year for UK
70 households or about 15% of their total expenditure on food and drinks (WRAP, 2009). By
71 comparison, in the US, avoidable food waste is estimated to be up to \$936 per household per
72 year (Buzby and Hyman, 2012; Blondin et al. 2015). Studies have shown that consumers are
73 unaware of the amount of food waste they produce (Schanes et al. 2018). Furthermore, due to
74 potential bias, studies that are based on self-reported behaviours cannot necessarily provide
75 usable results to assess household food waste as respondents may be motivated to misreport
76 (Møller et al. 2014; Neff et al. 2015).

77 Whilst consumers consider throwing away food as inappropriate behaviour (Schanes et al.
78 2018), there is a gap between intention and actual behaviour to reduce waste food at the
79 household level. Household food waste comes from both the interaction of multiple behaviours
80 and the context in which the consumer is handling food, where handling food includes
81 shopping, storing, preparing and cooking food as synthesized in Figure 1.

82 **Take in Figure 1**

83 Many studies have highlighted the fact that date labelling is considered as a key factor in
84 food waste within the food supply chain, especially at the consumer/household level (Rahelu,
85 2009; Van Boxtael et al. 2014; Osborne, 2016; Hall-Phillips and Shah, 2017; Gaiani et al.
86 2018; Spada et al. 2018). Rahelu (2009) explained that in the UK 410 thousand tonnes of food,
87 that is still safe to eat but has passed the ‘best before’ date, is thrown away each year and a
88 further 220 thousand tonnes of food is thrown away whilst still within the ‘best before’ date”.
89 Other research suggests that wastage linked to food exceeding the stated date code, at the UK
90 consumer level reaches 30% of total food purchases (Ceuppens et al. 2016). By comparison, in
91 Sweden this percentage falls to 9%. Studies have shown that the main issue for consumers with
92 regard to date labelling is the lack of knowledge about how to use the information (Rotfeld,
93 2009; Hall-Phillips and Shah, 2017). It is suggested that 15-35% of the household waste in
94 Europe is due to the lack of clarity of product information, such as the date label.
95 (SANTE/2016/E1/024). The length of shelf-life too influences food waste behaviour at
96 consumer level (Spada et al. 2018). In the context set out here, the aim of paper is to review the
97 evolution of date labelling and associated on-pack information, its interrelationship with
98 household and consumer food waste, and potential future developments in intelligent
99 applications to address food waste, transparency of communication and food safety. The paper
100 is structured as follows: firstly there is an introduction, followed by a contextualisation of the
101 challenge of consumer food waste. The evolution of date coding legislation is outlined and then

102 how food product shelf-life is determined and influenced by a range of processing techniques
103 and product formulation. The use of intelligent applications both to extend shelf-life and as part
104 of a smart kitchen approach via the “smart fridge” is explored.

105 **3. Product duration date coding – a timeline**

106 Since the 1960s, Europe has applied a model of continuous development in consumer law.
107 In 1978, Europe implemented Directive 79/112/EC on the harmonisation of Member States’
108 laws relating to the labelling, presentation and advertising of foodstuffs for sale to the ultimate
109 consumer. This directive defines all the information that must appear on products and also led
110 to the implementation of two labels: "use by" date and "date of minimum durability" or the
111 "best before" date. This Directive was subsequently amended in 1989 and again in 1991 by
112 Directive 89/395/EEC with regard to the indication of the date and the batch number on the
113 labelling of pre-packaged products. It is now mandatory to apply a use-by date for all
114 microbiologically highly perishable foods.

115 In order to protect consumer health and safety, other directives have been implemented, in
116 particular Directive 2001/95/EC on general product safety and Regulation 178/2002 laying
117 down the general principles and requirements of food law, establishing the European Food
118 Safety Authority and laying down procedures in matters of food safety known as the "hygiene
119 regulation". Regulation (EC) No 2073/2005 supports and provides information on
120 microbiological criteria for foodstuffs. The criteria may be used in particular for the
121 determination of the "use by date" or "minimum durability date" by microbiological monitoring.
122 For this legislation to be effective in protecting consumer health and wellbeing there needs to
123 be a clear understanding of the terms and their meaning.

124 More recently, the EU Regulation 1169/2011 on the provision of food information to
125 consumers addressed date coding redefined terms such as: "Minimum durability date" (MDDs)

126 is defined as "the date until which the food retains its specific properties under appropriate
127 storage conditions" and should be replaced by the "use-by date" in the case of "foods which are
128 microbiologically highly perishable and which are therefore likely, after a short period, to
129 present an immediate health hazard". This regulation also proposed a list of foods that may be
130 exempt from MDDs.

131 Milne (2012) describes the evolution of date coding in the UK and the impact of consumer
132 requirements on labelling and the recurrent problem of the level of knowledge about shelf-life
133 and household behaviour. The UK history of date labelling can be determined in four periods:
134 *stock management* in late XIXth and early XXth century, *consumer protection* in the 1960s, *food*
135 *safety* in the mid-1980s and *waste management* in 1989 (Milne, 2012). The interest in
136 formalising the "sell by" date gained importance in Europe, but by 1970 the UK had no
137 mandatory form for such date coding. In the early 1970s, the UK Food Standards Committee
138 was asked to revisit the date coding system to improve the consumer's "right to know". With
139 the support of the government with the creation of the "Steering Group on Food Freshness"
140 (SGFF), the launch of the first form of mandatory coding was based on the product quality.
141 Indeed, common sense was that existing legislation protected consumers enough regarding food
142 safety. At the beginning, dates used on packaging were date stamps, dates of production or "eat
143 by" date. The problem was that the consumer interpreted those terms and the labelling was not
144 clear enough about product freshness. In 1973 with the recommendations of the UK Food
145 Standards Committee, a "sell by" date label was adopted, unlike Europe that had favoured dates
146 aimed at the consumer rather than at businesses. Yet, it was only in 1980 that the Food Labelling
147 Acts were harmonised in the UK with European Regulation 79/112/EC. The UK had obtained
148 a derogation for the use of the 'sell by' date system, instead of the 'best before' date commonly
149 accepted in Europe. Due to the Chernobyl cloud in the mid-1980s, the British food system was
150 subject to an associated food scare. Indeed, many reports denounced invisible chemical hazards

151 and food-borne pathogens. In the next few years, others food crisis occurred such as the bovine
152 spongiform encephalopathy (BSE) crisis and together empowered collective consumer anxiety.
153 In 1989, after an outbreak of *Salmonella*, the Institute of Environmental Health Officers asked
154 for a more safety focused date label such as the “eat by” date. In 1980, the EU directive removed
155 the UK’s derogation for using the “sell by” date and introduced “use by” date coding. This new
156 date labelling system was focused on food safety and introduced new requirements for
157 consumer knowledge and focused on consumer health rather than stock control.

158 In the late 2000s, the worries about food waste at the retailer and household level had
159 gained ground. One of the issues associated with household food waste is the confusion and
160 lack of differentiation by consumers over date labels i.e. that “use by” date is about “safety”
161 and “best before” about “quality” with an estimated quarter of food waste being due to food
162 meeting or being over the expiry date (Ventour, 2008). However, it is important to consider
163 that such consumer behaviour and loss of agency can arise from either a lack of knowledge and
164 understanding or a lack of company transparency in the use of duration dates. It is hard for
165 consumers to use best practices when they are confused especially when within the same
166 product group, the date label can switch in type across the category from “use by” to “best
167 before” or vice versa. (Milne 2012). Although the Department for Environment, Food and
168 Rural Affairs (DEFRA, 2011) guide proposes a "decision tree" for labelling (Figure 2),
169 application is more complicated for companies due to their degree of access to microbiology
170 experts, equipment or situational food safety and integrity risk (Newsome et al. 2014.)

171 **Take in Figure 2**

172 The practices and procedures to be followed for determining duration dates lie within
173 the responsibility of the manufacturer not only to choose the appropriate label but also to carry
174 out the studies necessary to estimate the shelf-life of the product. The decision tree (Figure 2)

175 lays the groundwork for a general guide to determine the key factors leading to the application
176 of label dates. In order to define the appropriate date label, manufacturers have to consider the
177 relevant legislation (Regulation (EC) No 2073/2005) that applies to microbiological risk and
178 focuses on which reference organisms need to be considered. For example, the microorganism
179 of reference for ready-to-eat food is *Listeria monocytogenes* (Ricci et al. 2018).

180 The “use by” date can be used for two different reasons. In the first instance, the limiting
181 factor will relate mainly to the rate of growth of pathogenic microorganisms and spoilage
182 organisms. The second case is limited by quality reasons as in some products growth of spoilage
183 organisms could be quicker than pathogenic bacteria and the food could reach the sensorial
184 spoilage limit before being a food safety risk and still bear a “use-by” date (van Boxtael et al.
185 2014). Thus the duration date or shelf-life needs to be determined for any given food and one
186 element that acts as a mediating factor is the degree of and type of processing that the food has
187 undergone.

188 **4. Determination of shelf-life**

189 Shelf-life is defined as the period during which a food product maintains its microbiological
190 safety and suitability at a specified storage temperature and, where appropriate, in specified
191 storage and handling conditions (Codex Alimentarius, 1999). Thus the shelf-life of an
192 ingredient or food product is influenced by a number of factors often grouped together under
193 the terms Good Manufacturing Practice (GMP) or Good Hygiene Practices (GHP). GMP
194 encompasses the implementation of effective hazard analysis critical control point (HACCP)
195 based food safety procedures, the precautions undertaken to ensure the quality of raw materials,
196 effective management of processing steps, that appropriate packaging is used, there are
197 adequate conditions of distribution, appropriate storage temperatures are maintained, that
198 appropriate specifications are developed that include relevant product attributes and features
199 that influence microbiological safety e.g. pH, aw, salt and sugar concentration, use of

200 preservatives, and consideration of the intended use and the target consumers (DEFRA, 2011).
201 Many methods to extend food product shelf-life have evolved over time from drying and
202 cooking in pre-history to the use of salt and sugar and then to refrigeration, pasteurisation, the
203 use of chemical preservation and more recently the advent of smart and active packaging
204 (Figure 3).

205 **Take in Figure 3**

206 However as a result of increasing health concerns associated with sugar and salt intake and
207 consumption of energy dense foods (van Gunst et al. 2018), there is a strong drive to replace
208 salt (Wyness et al. 2012) and sugars with other alternatives (van der Sman and Renzetti, 2018).
209 Reducing these two preservatives can impact on shelf-life, flavour and functionality (Inguglia
210 et al. 2017). The length of the product's shelf-life does have an influence on consumer food
211 waste as consumers tend to waste less food when the shelf-life of the product is longer than 30
212 days (Spada et al. 2018). Thus shelf-life extension could be a means to reduce the level of
213 consumer food waste and thus environmental impact. Examples include: the addition of
214 rosmarinic acid as an antioxidant to extend the shelf-life of bakery products (Bacenetti et al.
215 2018), use of antifungal peptides, ethanol and plant extract in bread (Axel et al. 2017) and
216 chitosan coating of fresh fruit and vegetables (Romanazzi et al. 2017). However at the same
217 time there is a trend towards clean labels i.e. a reduction in food components that are seen as
218 artificial, unhealthy or unfamiliar and increasing presence of claims such as “free from” (Asioli
219 et al. 2017). Indeed in processed foods components such as energy, salt, sugar and saturated
220 fats and additives (E-numbers) are seen as “negative nutrients” prompting reformulation and a
221 drive for clean labels (van Gunst et al. 2018). Clean labels therefore are those with minimal
222 ingredient lists and a drive for “clean labels” leads to a market and consumer resistance to
223 products containing multiple additives (Buttriss, 2013). This consumer concern also extends
224 towards what is perceived as either replacement ingredients or “unnatural technologies” to

225 replace sodium in food (Regan et al. 2017). Preservation methods themselves can have an
226 “harm-related” impact on the consumer, (Table 1) either directly (e.g. toxicity, blood pressure,
227 dental problems or obesity) or indirectly e.g. decreasing the nutritional value of the food.

228 **Take in Table 1**

229 This means that different methods should be researched that can extend shelf-life. An
230 alternative to either food processing steps to extend shelf-life and/or the addition of
231 preservatives or chemicals is firstly the use of interactive packaging to communicate more
232 effectively about the shelf-life of the product to the consumer. The Internet of Things (IoT) is
233 a means of communication whereby objects (home appliances, cameras, monitoring sensors,
234 actuators, displays, equipment) of everyday life contain technology that allow them to digitally
235 connect and communicate with one another and with their users for the user’s benefit (Deokar
236 et al. 2018). Thus intelligent approaches via the use of packaging could utilise IoT concepts to
237 support consumers to reduce household food waste.

238 **5. Interactive packaging**

239 Packaging is said to be interactive when it ‘performs some role in the preservation of the
240 food other than providing an inert barrier to outside influences’ (Rooney, 1992; Rooney, 2012).
241 There are multiple examples of interactive packaging including antimicrobial and antioxidant
242 films, temperature control indicators, ethylene absorbing materials, oxygen/carbon dioxide
243 absorbents such as iron and ascorbic acid and carbon dioxide generators, ethanol vapour
244 generators and processes such as modified atmosphere packaging (Rooney, 2012) Interactive
245 packaging aims to "extend product shelf-life and to communicate information which has
246 historically been done through the use of product duration codes such as "use by" or "best
247 before"" (Manning, 2018). Thus, this packaging can have an active role in preventing food
248 waste by preserving product quality and safety and ensuring a lower overall ecological impact

249 (Gutierrez et al. 2017). Numerous studies have been conducted to explore the different types of
250 interactive, intelligent and active packaging and their role throughout the food chain (Appendini
251 and Hotchkiss, 2002, Kerry et al. 2006, Realini and Marcos, 2014, Fang et al. 2017, Poyatos-
252 Racionero et al. 2018). This paper will focus on packaging systems that can have a direct impact
253 on food waste at the household level and consider active and also intelligent packaging,
254 sometimes called dynamic packaging or “smart” packaging.

255 **5.1 Active packaging**

256 Active packaging has the advantage of allowing food companies to extend shelf-life and
257 still maintain product quality. With features such as moisture control, absorption of liquid or
258 oxygen, or the release of preservatives and other forms of shelf-life extension, active packaging
259 has a functionality whereby the product, the packaging and the external environment interact to
260 modify the condition of the packed material including its innate microbiological safety
261 (Vermeiren et al. 1999; Fang et al. 2017; Manning, 2018). There are many types of active
262 packaging technologies that include:

- 263 • Addition of sachets/pads containing volatile antimicrobial agents into packages;
- 264 • Incorporation of volatile and non-volatile antimicrobial agents directly into polymers;
- 265 • Coating or adsorbing antimicrobials onto polymer surfaces;
- 266 • Immobilisation of antimicrobials to polymers by ion or covalent linkages; and
- 267 • Use of polymers that are inherently antimicrobial (Appendini and Hotchkiss, 2002).

268 Active packaging technology has been used in various food sectors including meat (Appendini
269 and Hotchkiss, 2002; Kerry et al. 2006; Fang et al. 2017, Poyatos-Racionero et al. 2018); pastry
270 products (Appendini and Hotchkiss, 2002; Gutierrez et al. 2017; Poyatos-Racionero et al. 2018)
271 and fruits and vegetables (Appendini and Hotchkiss, 2002, Poyatos-Racionero et al. 2018).

272 **5.2 Intelligent Packaging**

273 Intelligent Packaging is “a packaging system that is capable of carrying out intelligent functions
274 (like detecting, sensing, recording, tracing, communicating, and applying scientific logic) to
275 facilitate decision making, to extend shelf-life, enhance safety, improve quality, provide
276 information, and warn about possible problems” (Yam et al. 2005). Alternatively, intelligent
277 packaging is considered as packaging which contains sensors or indicators in order to monitor
278 condition of food during its life cycle to communicate information related to the quality of the
279 product. (Heising et al. 2014). There are multiple types of “smart devices” that can be used in
280 intelligent packaging (Table 2) and their functions have been explored by multiple studies
281 (Realini and Marcos, 2014; Zhang et al. 2016; Fang et al. 2017; Poyatos-Racionero et al. 2018).
282 With regard to intelligent packaging, it is important to distinguish between a sensor and an
283 indicator. A sensor measures certain criteria and has to be connected to a separate device, whilst
284 an indicator integrates measurement and the provision of qualitative or semi-quantitative
285 information about quality through a visible change (Heising et al. 2014).

286 **Take in Table 2**

287 Intelligent systems in food packaging can incorporate external discrete components in the
288 final pack with examples being either two dimensional (2D) films or three dimensional (3D)
289 objects (Ghaani et al. 2016). These technologies include:

- 290 1. Sensors (chemical or biosensors) which identify analytes in food;
- 291 2. Indicators that identify to the consumer the presence/absence or level of a substance,
292 or a reaction that has occurred e.g. time temperature changes, gas indicators;
- 293 3. Thermochromatic or photochromatic inks that act as indicators by changing colour
294 within a certain temperature range;
- 295 4. Electronic article surveillance (EAS) anti-counterfeiting, anti-tamper and anti-theft
296 devices such as holograms, micro-tags, tear labels and tapes; and

297 5. Data carriers that carry information for theft protection or counterfeit protection e.g.
298 1D, 2D and QR 2D barcodes and radio-frequency identification (RFID) tags (Han et al.
299 2005; Kerry et al. 2006; López-Gómez et al. 2015; Ghaani et al. 2016; Manning, 2017).
300 Intelligent packaging linked to sensors can inform the use of IoT technology (Yang et al. 2014)
301 and also reduce food waste (Noletto et al. 2015). Intelligent packaging technologies can indicate
302 signs of leakage (López-Gómez et al. 2015), or the presence of glucose, ethanol, volatile gases
303 e.g. amines in fish, bacterial content, colour degradation etc. (Pal and Kant, 2018). Multiple
304 time-temperature indicators (TTI) have been developed into labels that can be used on
305 packaging (López-Gómez et al. 2015). However, a lack of knowledge of intelligent packaging
306 and IoT and the cost of implementation is the greatest barrier to technology implementation
307 (Noletto et al. 2015). Along with the development of intelligent packaging, smart mobile
308 devices and the associated apps, there has been the development of intelligent fridges
309 (Vanderroost et al. 2017) and this is now considered in more detail.

310 **5.3 Intelligent Fridges**

311 Features of fridges that users appreciate are visual aesthetics, size, colour, practicality and
312 usefulness, reliability and efficiency and ease of cleaning (Cotrim, 2016). However an
313 additional solution to reducing household food waste by improving product storage and
314 household inventory management has also emerged in recent years: the intelligent or “smart”
315 fridge. Indeed, since the 1990s, research has been carried out to develop a refrigerator that can
316 actively address the contemporary challenge of food waste (Hebrok and Boks, 2017). Intelligent
317 fridges are appliances where their functionality has been extended to include: measuring the
318 internal environmental conditions of the fridge and regulating the environment to optimise
319 storage conditions; manage supply activities and shopping lists; detecting and monitoring food
320 packages and their content; alerting retailers and consumers about expiration dates, and
321 suggesting recipes to consumers with the food products or packages stored in the fridge

322 (Vanderroost et al. 2017). Therefore an intelligent fridge could provide consumers with
323 updated knowledge of the status of stock in the fridge via the use of barcodes or RFID
324 technology i.e. what is about to expire in the fridge and needs to be used (Osisanwo et al. 2015).
325 If such intelligent applications are integrated into household routines they could address the
326 causes of food waste cited in the literature including food storage, planning, shopping,
327 preparation and consumption (Hebrok and Boks, 2017). Beyond providing information to
328 consumers, it is therefore possible to reduce food waste by improving or facilitating data
329 processing as part of an intelligent application within the household. This approach lends itself
330 to the concept of the enabled “Smart Home” (Deokar et al. 2018), who argue that there is no
331 standard definition of the concept, but the objective is to improve resource use, increase service
332 provision to householders whilst also reducing operational costs. Smart homes (a form of smart
333 systems) allow people to connect with and control their home appliances from remote locations
334 (Minaam et al. 2018). Smart home is not a new term. Fifteen years ago, Aldrich (2003:17)
335 defined a smart home as “a residence equipped with computing and information technology
336 which anticipates and responds to the needs of the occupants, working to promote their comfort,
337 convenience, security and entertainment through the management of technology within the
338 home and connections to the world beyond.” Studies have considered a range of intelligent
339 household devices including: domestic heating, fridges, cookers/ovens, washing machines, and
340 televisions (Mogali, 2015; Singh and Jain, 2016) and these devices can also link to wearable
341 devices and e-health systems (Minaam et al. 2018). Research has considered the barriers to the
342 adoption and diffusion of smart home systems. These include social barriers such as cost,
343 control, privacy and trust dynamics (Balta-Ozkan et al. 2013); time and effort required to learn
344 how to use the technologies (Chan et al. 2009), and that people value technology that saves time
345 and makes household tasks easier but not at the expense of feeling comfort, relaxation and
346 sentiment (Haines et al. 2007) i.e. what it is to be a “home”.

347 Consideration of the “Smart Home” can also reflect on what it is to be a “Smart Kitchen”.

348 The Smart Kitchen has been described as an instrumented environment to automatically

349 capture, share and exploit data (Deokar et al. 2018) via technology such as liquid crystal display

350 (LCD), RFID tags sensors and actuators, quick response (QR) codes, big data analysis, wireless

351 sensor networks (WSD), cloud computing, broadband applications and nanotechnologies

352 (Mogali, 2015; Chatterjee et al. 2018; Khan, 2018; Minaam et al. 2018). The Smart Kitchen as

353 a concept is worthy of wider investigation, especially in terms of assisted living for the elderly

354 (Blasco et al. 2014), improving knowledge and its applicability via improved cooking skills

355 (Hashimoto et al. 2008), cooking and being calorie-aware (Chi et al. 2008) and interaction with

356 features of intelligent packaging (Yam, 2000; Yam et al. 2005), but here we consider the

357 applicability specifically in terms of reducing household food waste (Minaam et al. 2018). Thus

358 an intelligent fridge can not only contain the aforementioned functional features, but also

359 identify buying patterns, speech recognition (Rouillard, 2012), enable control of other IoT

360 items not in the fridge, and aiding the cleaning of the fridge too (Cotrim, 2016). The timeline

361 for the development of intelligent fridges has been explored highlighting features and

362 applications (Table 3).

363 **Take in Table 3**

364 The components of an intelligent fridge within the context of the IoT include:

- 365 • an **individual IP address** that allows the fridge to receive information from a server via
- 366 the internet and allows a user terminal e.g. on a smart phone to access the fridge;
- 367 • a **control unit** or microcontroller to manage the functions of the fridge;
- 368 • the **sensor** devices that measure criteria such as temperature and humidity and then
- 369 convert the measurements into signals that can be read and interpreted by the control
- 370 unit(s); and

371 • the **communication** devices with embedded electronics that interact either wirelessly
372 or through wired networks with other IoT devices and appliances to transform
373 information received to radio waves or signals. Bluetooth or WiFi or RFID technology
374 may be used. If RFID is used then a RFID antenna is installed within the fridge to
375 recognise the data contained within the RFID tag on each product e.g. shelf-life data
376 (Osisanwo et al. 2015).

377 However, RFID technologies require the embedding of RFID tags on every product which is
378 expensive (Bonaccorsi et al. 2017). The equipment itself is expensive, which again is a barrier
379 to adoption with units ranging in the UK depending on size from £1800 to £3800 per appliance
380 (currys.co.uk, nd). Thus whilst there are benefits in terms of reducing food waste through the
381 use of smart technology, comparing the cost of the appliance to the annual household cost of
382 food waste in the WRAP (2009) study of £480 per year shows that the units will have to reduce
383 in price substantially for there to be an economic incentive to increase purchase of intelligent
384 fridges. The benefits and concerns associated with intelligent fridges include on the benefits
385 side remote access especially from smart phones, innovative management of food to reduce
386 household food waste, convenience and monitoring to ensure effective management of the
387 fridge and an opportunity for more effective product recall (Osisanwo et al. 2015).
388 Vulnerabilities that create concern include: hacking and the risk of cyber-attacks, unwanted
389 interaction with manufacturers and concerns over privacy, security and data ownership
390 (Osisanwo et al. 2015; Prapulla et al. 2015; Minaam et al. 2018). Weak elements in some
391 appliances can allow hackers to place malware on the appliance and to attack the whole IoT
392 home system. Between late 2013 and early 2014 hackers accessed 100,000 home appliances
393 including fridges, televisions, wireless speakers and media centres, and then used the appliances
394 to release around 750,000 malicious emails (Zimmerman, 2015). There are further challenges
395 associated with poor internet connectivity and low internet speeds in some areas, and a lack of

396 uniformity with barcodes that link to expiration dates and the high cost of appliances (Prapulla
397 et al. 2015). It is also difficult to create IoT systems if the proposed system is too complex, and
398 factors such as multiple programming languages and communication protocols and an absence
399 of common guidelines have not been addressed (Minaam et al. 2018). Food recognition within
400 a smart fridge relies on a database of logos and text on packaging and subsequent identification
401 by the use of cameras of items in the fridge and this can be affected by point-of-view constraints
402 within the appliance (Bonaccorsi et al. 2017). Khan (2018) proposes a novel cloud-based smart
403 expiry system that sends automatic notifications to a smartphone or IoT device as a means to
404 reduce household food waste. This approach could link the scanning information at the retail
405 checkout to a smartphone app so manual entry is not required, or the use of enabled fridge
406 magnets that can scan QR codes and this is being considered at the prototype stage.

407 The literature cites multiple problems relating to current systems of food date coding
408 and the influence on household food waste in particular their interpretation and degree of
409 understanding by consumers (Rahelu, 2009, Quested et al. 2011; Mena et al. 2014; Newsome
410 et al. 2014; O'Connor et al. 2014; van Boxstael et al. 2014; Osborn, 2016; Hall-Phillips and
411 Shah, 2017; Wilson et al. 2017, Schanes et al. 2018 among others). The intelligent or smart
412 fridge offers a wide range of tools and equipment to help manage food, but there is a risk,
413 especially with the high purchase cost compared to standard fridges that the consumer will
414 characterise it as an "unnecessarily expensive gadget". However due to the various advantages,
415 particularly in terms of managing stock control and as a result reducing food waste, the
416 intelligent fridge has gained interest both in the literature, contemporary research and in the
417 industry. Few studies have considered the degree of consumer acceptability and interest in
418 intelligent approaches to reducing household food waste such as interactive packaging and
419 intelligent fridges. Thus empirical research is required in this area.

420 **6. Discussion**

421 Food waste is a growing problem requiring interventions at all levels of the food supply
422 chain. In developed countries interventions are specifically required to reduce household food
423 waste. Multiple factors have been identified that contribute to household food waste including
424 a lack of understanding by consumers of durability coding and expiration dates on food. These
425 systems have developed over time and evolved into static date coding on packaging, but with
426 the advent of new technologies and applications a smarter approach can be used at consumer
427 level. The length of shelf-life influences food waste with a longer shelf-life leading to less
428 waste. Whilst preservatives extend shelf-life, the trend towards “clean labels” means that
429 alternative intelligent approaches may need to be considered to minimise food waste and deliver
430 effective household inventory management. These approaches must meet the expectations of
431 consumers, increase personal agency over food waste by improving product storage conditions
432 and informing purchasing behaviour

433 Some food ingredients such as preservatives or additives are perceived by consumers as
434 unhealthy (Asioli et al. 2017) even if they effectively and consistently deliver safe food. One
435 option for delivering “clean labels” and reduce food additives is to use herbs and spices that
436 have food preservation attributes as they can be labelled as spices or natural flavours on
437 packaging (Embuscado, 2015). The drive for “clean label” foods means that the cues on the
438 front-of-pack (FOP) and back-of-pack (BOP) take on both objective and subjective
439 characteristics i.e. an ingredients list or nutrition panel can objectively define a the physio-
440 chemical composition of the food but claims or logos (such as free from, organic, natural) can
441 provide a more subjective, perception based assessment of whether as food is clean (Asioli et
442 al. 2017). Thus the use of active packaging with “natural” additives may be of value in reducing
443 food waste and still maintain a clean label approach. Positive consumer perceptions of active
444 packaging may focus on convenience and safety whilst negative reactions may focus on
445 naturalness of interaction with the food, packaging cost, the degree of recyclability of complex

446 packaging, a lack of trust in industry and science and the latter may be a barrier to long-term
447 uptake of new technology (Werner et al. 2017). Intelligent packaging too may have some
448 consumer perception issues regarding recyclability. Aliaga et al. (2011) report that the presence
449 of RFID tags will influence the ability to recycle plastic packaging although printed electronics
450 will have better recyclability. Printed electronics using functional inks will change the
451 production of electronic devices such as RFID tags, displays, sensors on flexible packaging
452 substrates via ink-jet, screen and gravure printing (Vanderroost et al. 2014). Thus low-cost
453 chipless RFID sensors are now being developed (Feng et al. 2015; Wittkopf et al. 2018). Indeed,
454 Wittkopf et al. (2018) argue that: “Chipless RFIDs are a disruptive technology that acts as a
455 moderate solution between conventional barcodes and chipped RFIDs. These devices allow for
456 cost savings compared to chipped RFIDs and can be identified even with an obstructed view of
457 the tag” and are thus of value in future intelligent packaging applications. Milmo (2018) states
458 that costs of such smart technology has reduced to around \$0.10 per pack, but as technology
459 improves further this cost will further reduce.

460 Intelligent packaging offers a clear and quick tool via for example change of colour of
461 ink or on sensors which reduces the risk of misinterpretation. Intelligent packaging also has an
462 increasing role in developing traceability and trust in the food supply chain. Distributed ledger
463 technology, such as Blockchain, can be applied as an approach to integrate data across supply
464 chain food safety management systems using inputs from temperature sensors, global
465 positioning systems (GPS) locators, video cameras, RFID, barcodes or QR codes, and
466 integrating this with product analytical test data, assurance data and site certification
467 information relating to foodstuffs, their packaging, and location (Manning and Wareing, 2018).
468 The use of intelligent applications to extend shelf-life, aid food safety, traceability and as part
469 of a smart kitchen approach via the “smart fridge” has been explored.

470 Intelligent fridges have been developed for several decades and over that time their
471 functionality has improved and diversified. In view of the growing interest in finding a solution
472 to consumer food waste, appliance manufacturers have focused on food management options
473 for stock control (date management and storage conditions) and wider food handling behaviour
474 such as purchasing and cooking. Barriers have been identified to the adoption and diffusion of
475 smart home systems including: cost, control, security, hacking, cyber-attacks and privacy, data
476 ownership and the nature of the interaction with manufacturers and wider trust dynamics (Balta-
477 Ozkan et al. 2013; Osisanwo et al. 2015; Prapulla et al. 2015; Minaam et al. 2018); the time and
478 effort required to learn how to use the technologies (Chan et al. 2009), and how the technologies
479 influence the aesthetic concept of a home (Haines et al. 2007). Thus in positioning the use of
480 intelligent applications to reduce food waste, whilst clear benefits can be identified, negative
481 impacts must also be considered. The IoT can provide synergistic benefits by connecting
482 people, products, appliances and data and enabling data informed decision-making (Díaz-
483 Nafria and Guarda, 2017). However many users of IoT applications may not fully recognise the
484 pervasiveness of data transactions, as they are largely invisible and whilst some communities
485 can take full advantage of the benefits those who do not have access can become more
486 disadvantaged (Pereira et al. 2013). The success of intelligent appliances to reduce food waste
487 and to gain the degree of household coverage required will depend not only on the functional
488 elements of design and as a result the cost of the equipment, but also on the transparency and
489 trust dynamics of factors such as data use and the protection of privacy, informed consent, and
490 how over time the IoT does or does not inform personal agency and autonomy. Chaudhuri
491 (2017) states that there is the potential to delegate human autonomy and agency to *things* but
492 the benefit may be to increase consumer experience of specific properties and experiences
493 (Hoffman and Novak, 2018). Further others may argue that pro-social nudging or choice
494 architecture, whilst reducing autonomy and choice, may be appropriate if it leads to the greater

495 good, i.e. a reduction in consumer food waste, financial savings for individual families and an
496 overall benefit for society.

497 **7. Conclusion**

498 This work has considered the role of intelligent applications such as intelligent packaging,
499 intelligent fridges and wider IoT solutions to reduce household food waste. These technologies
500 provide opportunities, albeit at a cost, to extend shelf-life and to move the communication of
501 duration and product life from static coding system to more dynamic applications. This can be
502 achieved either through active packaging solutions or through IoT systems in a smart-enabled
503 kitchen environment. Whilst intelligent applications have the opportunity to reduce consumer
504 food waste, as outlined in this paper there are some negative impacts that also need to be
505 considered such as data privacy, the risk of hacking and concerns over whether the opportunity
506 for informed agency will have an impact on actual behaviour in the home, when purchasing or
507 planning food consumption. Further research should be undertaken to explore the socio-
508 technical issues that arise in this paper and how they can be addressed to minimise household
509 food waste.

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Table 1: Summary table of the potential impact of preservation methods on consumer health.

Method of preservation	Principle	Health concern	Sources
Heating	Destruction of microorganisms by application of a time-temperature scale.	Enzyme inhibition and destruction of the most sensitive nutrients including water-soluble vitamins C, B1 and B9 and fat-soluble vitamins A and E and micronutrients. Depending on the time and temperature used spores could survive the heat treatment.	Tessier (2012) Sadecka et al. (2014) Duchene and Gandemer (2017) Trystram (2010)
Cold	Slows down the development of bacterial flora and enzymatic activities. Destroy parasites.	Microbiological health risk in the case of non-compliance with the cold chain. Formation of ice crystals can modify the organoleptic properties of meat products and causing a loss of water-soluble nutrients during thawing or oxidation. Causes losses in some nutrients (e.g. proteins, vitamin C, carotenoids) and anti-nutrients in vegetables. Shelf-life cannot exceed 1 month in order to avoid mineral loss and deterioration.	Armouche (2010) Acho et al. (2015) Gac (1992).
Salt & Sugar	Decreases the water activity by adding sugar and salt as osmotic agents and block the development of pathogenic microorganisms.	Sugar increases the risk of cavities, promotes weight gain and has been implicated in the occurrence and/or complications associated with type 2 diabetes. Salt can cause blood pressure issues, strokes and cardiovascular disease.	Hendriksen et al. (2017) Asaria et al. (2007) Maillot et al. (2017) Te Morenga et al. (2013) Te Morenga (2014) Sonestedt et al. (2012)
Additives	Add preservatives such as antioxidants, acidifiers or packaging gases.	Over-consumption of certain additives can lead to health complications of varying importance.	Brigand et al. (1998) Krifa et al. (1990)
Smoking & Drying	Reduce the water available in the product. Formation of new organic features. Provide volatile compounds including some bacteriostatic and antioxidant from the smoke.	The presence of carcinogenic and hazardous molecules (e.g polycyclic aromatic hydrocarbons or heterocyclic aromatic amines) from the particulate phase of smoke. Loss of water-soluble nutrient and destruction of thermosensitive nutrients.	Knockaert (2002) Gibis (2016) Armouche (2014) Hou et al. (2018)

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Table 2. Examples of smart devices used in intelligent packaging and their principle of operation (Adapted from: Fang et al. 2017; Manning, 2017)

Smart devices	Principle/reagents	Information given	Application
Barcodes	Symbology e.g. through 1D, 2D and QR barcodes	Product and manufacturer information including price, date packed	Product identification, facilitating inventory control, stock allocation, stock reordering, and checkout. Theft protection and anti-counterfeiting
Radio frequency identification(RFID) tags	Radio waves	Product and manufacturer information e.g. shelf-life	Product identification, supply chain management, asset tracking, security control. Information sharing, electronic payment, inventory management, promotions management
Time–temperature indicators	Mechanical, chemical, enzymatic, microbiological e.g. thermochromatic inks	Storage conditions	Foods stored under chilled and frozen conditions
Gas indicators	Redox dyes, pH dyes, enzymes	Storage conditions, package leak	Foods stored in packages with required gas composition
Freshness indicators (e.g. microbial growth)	pH dyes; Dyes reacting with (non-) volatile metabolites	Microbial quality of food (i.e. spoilage)	Perishable foods such as meat, fish and poultry
Pathogen indicators/ biosensors	Various chemical and immunochemical methods reacting with toxins	Specific pathogenic bacteria such as <i>E. coli</i> O157	Perishable foods such as meat, fish and poultry, freshness indicators
General biosensors	Identification of analytes in food, allergenic proteins	Presence of chemicals or allergens	All foods
Electronic Article Surveillance	holograms, micro-tags, tear labels and tapes	Identification mark, location information	Anti-counterfeiting, anti-tamper and anti-theft devices

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Table 3. Timeline for the development of intelligent fridges (Adapted from Osisanwo et al. 2015; Prapulla et al. 2015; Cotrim, 2016; Bonaccorsi et al. 2017)

Year	
1998	First refrigerator connected to the Internet – recorded and transmitted every time the fridge door was opened.
1999	Electrolux Screenfridge designed to allow users to order groceries over the Internet.
2000	Whirlpool Cisco allowed users to watch a celebrity chef on the web-pad and had an integrated web browser to search for recipes that match food items in the household. LG launches Internet Digital DIOS fridge that can identify products stored inside the fridge and track stock.
2002	Whirlpool's fridge was developed into a multimedia communications centre to interact with the Internet, receive emails, listen to radio, watch TV, videos and DVDs and talk on the phone.
2003	LG Digital Multimedia Side-By-Side Fridge Freezer with LCD Display and built in MP3 player that interacted with internet for re-stocking, media updates, email, video mail, built in camera and microphone.
2006	Electrolux Screenfridge updated with 15" touch screen and pop-up keyboard that can connect to internet and TV wirelessly, email, phone, radio, MP3 player, calendar, video messaging.
2007	Whirlpool fridge developed further with satellite radio, web tablet, interactive message board, calendar, digital picture frame, DVD/CD player.
2009	Samsung fridge had a detachable LCD screen and message board
2010	LG developed a fridge that was internet enabled.
2011	Samsung developed the Futuristic RF4289 with 8" touch screen and internet enabled.
2015	LG HomeChat appliances includes internal wide angle camera that takes a picture of the contents of the fridge every time the door is opened or closed. Freshness Tracker software can provide information on products that have passed their expiration date.
2016	Samsung FamilyHub – launch date April 2016 Main features – input app and touch screen interface, music streaming, television, shopping lists, display of photos, writing notes, doodling, shared fridge calendar, three cameras in fridge that take an image every time door closes, tracking of expiration dates. Whirlpool CES 2016 – launch date May 2016 – WiFi connected and can be controlled remotely using an app and can notify user if fridge loses power or needs a filter.
2018	Samsung FamilyHub and LG Smart ThinQ. Main features are: a food stock management tool: a WiFi LCD tablet based screen for information, a camera or glass door to see contents. Shopping help: videos or photos from the inside of the fridge or on other models the ability to purchase directly from the fridge. Shelf-life management by interacting with smart packaging, and warnings on the need to use certain products. Planning cooking: recipes proposed with one or more selected products, and the ability to create a weekly meal plan. The difficulty is that food management systems require manual input from users Android and iOS applications makes the inventory and product expiry data remotely accessible, and a ZigBee radio device enables communication with third-party smart plugs for energy monitoring.

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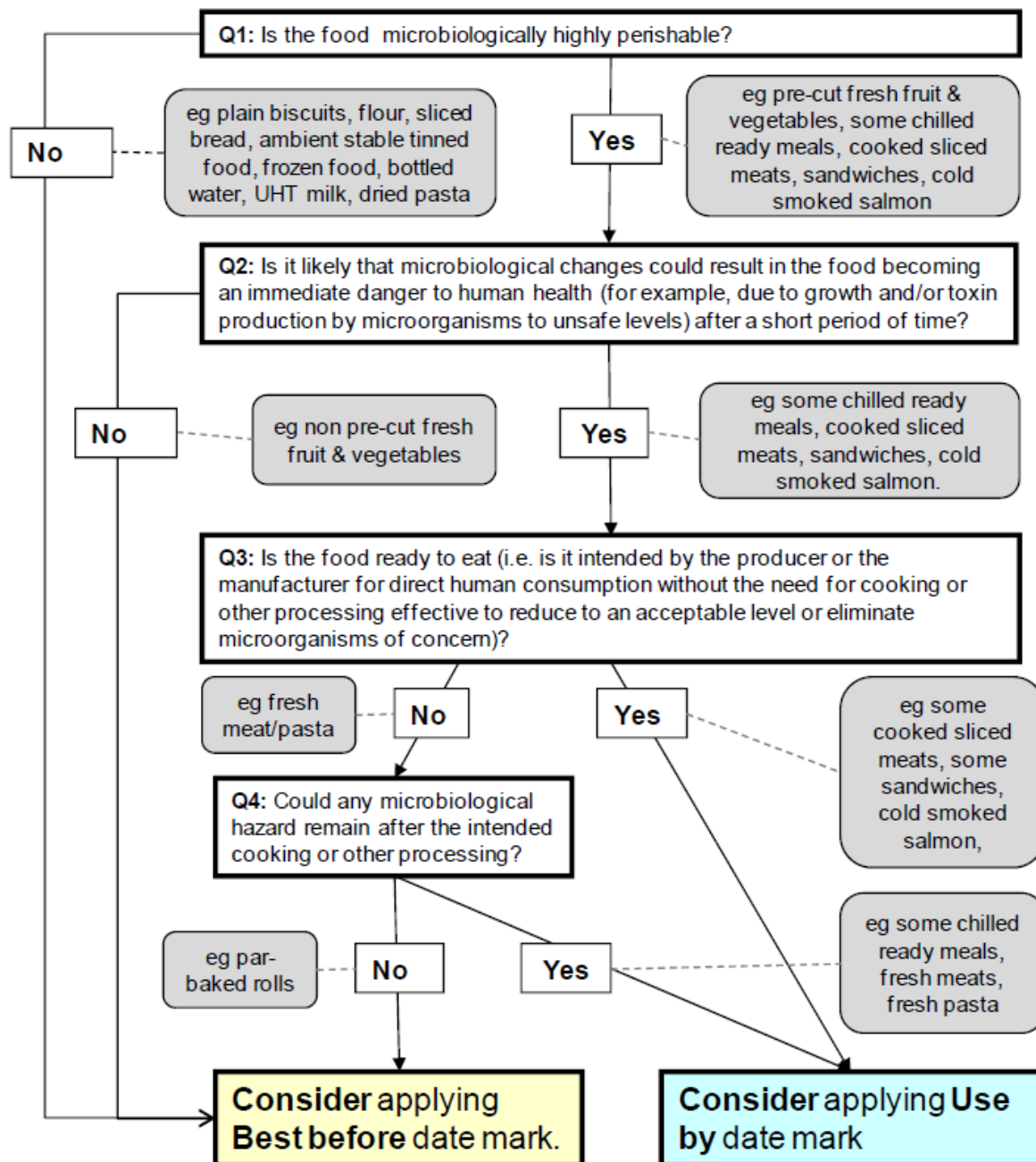
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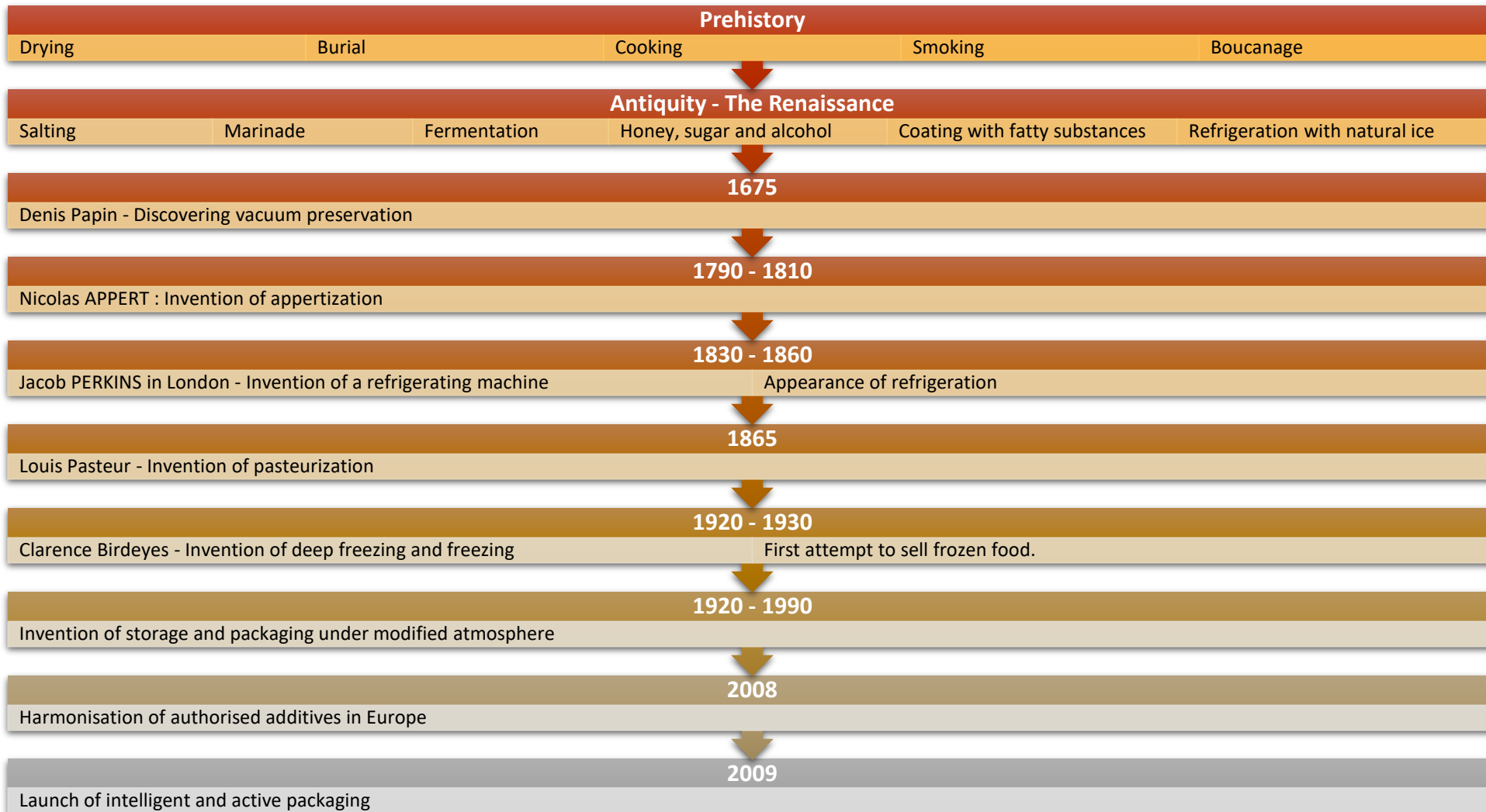
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FIGURE 1 : Main causes of household food waste. (Adapted from Quested et al. 2011; Osborn, 2016; Hebrok and Boks, 2017; Ponis et al. 2017; Gaiani et al 2017; Romani et al. 2018; Schanes et al. 2018).



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877 **Figure 2. Coding Risk Decision Tree (DEFRA, 2011)**



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881 **Figure 3: Timeline of Shelf-life extension technologies (Adapted from: Béné, 2009,**
882 **Ministère de l’agriculture et de l’alimentation, 2014; Hayat, 2016, Fournier, nd)**

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