

Novel dendrochronological modelling demonstrates that decades of reduced stem growth predispose trees to Acute Oak Decline

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Decades of reduced stem growth predispose trees to Acute Oak Decline

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Abstract

Acute Oak Decline (AOD) is devastating thousands of native oaks, *Quercus robur* and *Q. petraea*, in the UK and Europe. The syndrome is principally caused by multiple, interacting bacterial species that degrade inner bark tissues. The level of host predisposition required prior to AOD infection is unknown. The two spotted oak buprestid *Agrilus biguttatus* is strongly associated with AOD, although its role

29 remains unclear. To investigate the nature of predisposition in AOD, to explore the
30 role of the beetle in the syndrome, and to examine growth trends after AOD onset,
31 the stem growth of 243 trees with a range of severity of AOD symptoms was
32 analysed at five sites in England. Novel mixed effects dendrochronological modelling
33 methods were developed. The presence/absence of *A. biguttatus* exit holes in the
34 trees was not specifically linked with reduced stem growth, nor was there evidence of
35 further reductions in growth after the onset of AOD symptoms. Instead, trees with
36 long-term AOD symptoms show significantly reduced growth compared to
37 asymptomatic trees from as far back as the 1930s, following a period of widespread
38 decline in English Oaks. These results suggest that a cohort of oak trees across
39 Britain was permanently damaged in the 1930s and predisposed to develop AOD
40 symptoms decades later. Additionally, correlations with climatic variables suggest
41 that diseased trees are less able to take advantage of good growing conditions in the
42 spring and autumn. This study sheds light on how historical episodes of stress may
43 impact the future resilience of oaks to disturbance, and supports the use of
44 dendrochronological modelling as a technique to study the underlying health status of
45 oak tree populations, and to better understand tree decline episodes.

46

47 Keywords: *Quercus robur*, Acute Oak Decline, *Agrilus biguttatus*, Dendrochronology,
48 Predisposition, Ecological modelling

49

50 Introduction

51

52 Oaks are keystone forest species across the northern hemisphere, and their decline is a
53 subject of increasing international concern ¹. Trees, inherently susceptible due to long-term
54 “predisposing” factors, decline when prolonged, repeated, or severe abiotic and / or biotic
55 stresses reduce their carbon budgets, leaving them unable to mount adequate defences
56 against secondary pests and pathogens which then decrease the vigour of the tree ²⁻⁷.

57

58 Acute Oak Decline (AOD), a specific type of oak decline, characterised by fluid exudation
59 (‘stem bleeding’) from necrotic inner bark lesions, was recently described in the UK, and now
60 affects many thousands of native oaks in England (*Quercus robur* L. and *Q. petraea* (Matt.)
61 Liebl.), of which approximately 1.3% die each year ^{8,9}. Similar decline-diseases of oaks are
62 being investigated in Europe, the Middle East and North America ¹⁰. Multiple, interacting
63 bacterial species damage inner bark tissues, creating necrotic lesions and cavities extending
64 into the sapwood; the damage may be extensive, covering much of the stem ⁸⁻¹¹. Larval
65 galleries of the two-spotted oak buprestid *Agrilus biguttatus* (Fabr.) are almost always found
66 in phloem tissue adjacent to AOD lesions, and larvae appear to be capable of spreading the
67 bacteria along their galleries, potentially initiating new lesions ^{10,12,13}. AOD does not
68 necessarily result in tree mortality; many affected trees are able to isolate lesions and larval
69 galleries with callus-like tissue and enter a state of remission ¹².

70

71 The degree of predisposition required before colonisation by the AOD bacteria and *A.*
72 *biguttatus* remains unknown. At monitored sites, stem bleeds were more common, and more
73 numerous, on trees with poorer crowns ¹². At the same sites, new beetle exit holes, formed
74 by emerging adults, were more likely to appear on previously symptomatic trees, suggesting
75 further weakening was necessary for complete development by the beetle ¹³. The lifecycle of
76 *A. biguttatus* is, however, likely to take at least two years in the UK, and eggs may be laid on

77 trees unsuitable for complete larval development; it is thus difficult to determine the
78 chronology of bacterial and beetle attack from external symptoms^{13–15}.

79

80 Predisposition may occur at the individual tree or stand scale. At the individual scale,
81 predisposing factors may include increasing tree age, severe or successive defoliation by
82 biotic agents, and genotypic variation in resilience^{4,5,16,17}. At the stand scale, poor
83 management may play a role, while commonly cited environmental factors inciting oak
84 decline include drought, fluctuating water tables, and late frost^{4,6,18,19}. A predisposition model
85 correlating environmental variables with AOD found the syndrome occurs at warmer sites,
86 with low rainfall, high levels of nitrogen and base cation deposition, and low levels of sulphur
87 deposition²⁰. The UK distribution of *A. biguttatus* is also limited to warmer areas in England;
88 thus if the beetle is an essential component of AOD, the syndrome must also be limited to
89 these areas^{15,21}.

90

91 Dendrochronology, or the systematic cross-dating of tree rings, allows the study of forest
92 disturbances that influence tree growth²². Tree stem growth may be a useful indicator of
93 vitality because the growth of leaves, roots and buds, and allocations to carbohydrate
94 storage, take priority over stem growth. At times when stress factors reduce a tree's carbon
95 budget, its less important processes are typically limited first^{4,23}. Predisposition may be
96 represented by reduced growth. Many studies of oaks have shown reduced annual stem
97 increment in the years before decline onset or tree death, as compared with the growth of
98 healthy trees^{19,24–30}. Several studies have also shown greater variability in the annual ring
99 widths of declining oaks, suggesting predisposed trees have decreased stability in response
100 to climatic stress^{31,32}. Dendrochronology has also revealed differences in stem growth
101 between oak trees with different levels of colonisation by stem-boring beetles^{33,34}. The
102 effects of AOD upon radial growth are unknown.

103

104 This study aims to examine both recent and long-term stem growth records of trees with and
105 without AOD symptoms, in order to determine whether symptomatic trees show evidence of
106 predisposition to AOD in the form of reduced or declining growth, an important knowledge
107 gap that may influence management of the syndrome. Here we compare the growth of
108 symptomatic trees with and without the exit holes of adult *A. biguttatus*, to analyse the
109 relationship of the beetle with observed stem growth patterns. Finally, by comparing recent
110 growth trends in trees at various stages of decline by AOD, including those in remission or
111 recovery, we determine the impact of AOD on tree growth.

112

113 Methods

114

115 Study trees were selected from woodlands at five sites with AOD (confirmed through tree
116 symptoms and isolation of AOD bacteria (Table SA1)). Four of the sites, Attingham Park,
117 Langdale Wood, Hatchlands Park, and Richmond Park, had been monitored annually for
118 AOD symptoms since 2009 or 2010. Monitoring included annual counts of active AOD
119 lesions and *A. biguttatus* exit holes visible from ground level (up to approximately 3m),
120 annual classifications of crown condition to an ordinal scale (based on % canopy loss and
121 branch dieback), and measurements of diameter at breast height (DBH, 1.3m)¹². At
122 Madingley Wood, where AOD symptoms were observed in 2009-2010 (K. Russell, pers.
123 comm.), all oaks were surveyed in 2014 for occluded, active and recent AOD lesions, and *A.*
124 *biguttatus* exit holes.

125

126 Trees were assigned to one of four AOD categories according to their history of lesion
127 symptoms (Table SA2): 'long-term symptoms', 'new symptoms', 'remission', and
128 'asymptomatic control' (= 'four level AOD category'), and further sub-categorised according
129 to the presence/absence of *A. biguttatus* exit holes on the symptomatic trees (= 'seven level
130 AOD category'). Trees with 'long-term symptoms' had at least one active lesion at the start
131 of the monitoring period. Trees with 'new symptoms' developed at least one active lesion

132 after monitoring began. Trees with long-term and new symptoms had active symptoms in the
133 year during which they were cored, although they may have been in remission (e.g. no
134 active lesions seen) for one or more years during the monitoring period. Trees in 'remission'
135 had at least one active lesion during the monitoring period, but showed no active lesions for
136 at least two years before coring. At Madingley Wood, due to insufficient monitoring history,
137 all trees with active lesions were assigned to the long-term symptoms category because they
138 appeared to be in an advanced state of decline. At each site, up to 14 trees were selected
139 within each of the four-level AOD categories, often comprising almost all available trees.
140 Trees with potentially confounding conditions (unusual growth, extensive mechanical or
141 structural damage, symptoms of infection by root pathogens) were excluded from the
142 sample. Where a choice of trees was available, asymptomatic trees were selected by
143 proximity to symptomatic trees, and similarity in age. Due to the preferences of site
144 managers, the largest asymptomatic trees were avoided. Before coring, diameter at breast
145 height (DBH) was re-measured for each tree.

146

147 Trees were cored in May 2015 (Hatchlands Park, Richmond Park, and Madingley Wood)
148 and January 2017 (Attingham Park and Langdale Wood). Increment cores were collected
149 using 5.15mm Haglof increment borers, 400mm in length. Two cores were taken from each
150 tree at breast height (1.3m), preferentially from the east and west aspects, except where the
151 tree was leaning, on a slope, or adjacent to a footpath, in which case aspects were chosen
152 to minimise these influences. If deformation was present at breast height, cores were taken
153 up to 50cm below or above. AOD lesions were avoided. To minimise the risk of between-tree
154 spread of AOD bacteria, increment borers were thoroughly cleaned with 70% IMS after each
155 use.

156

157 Cores were transported to the laboratory in labelled paper straws and air-dried between
158 sheets of corrugated cardboard. One core per tree was prepared for measurement, with the
159 easterly or northerly cores chosen preferentially. Cores were mounted on wooden blocks

160 and sanded using progressively finer papers, up to 1000 grit, with a handheld orbital Sander
161 (Makita UK, Ltd.). Cores were scanned at 2,400 dpi using an Epson Perfection 4990 photo
162 scanner (Seiko Epson Corporation, Japan) and annual ring widths were digitally measured
163 to 0.01mm in CooRecorder 7.8 ³⁵. Incomplete rings from the current year were not
164 measured. If the first core was damaged, or its most recent rings comprised irregular callus-
165 like tissue, or proved difficult to resolve due to very narrow rings, then the second core was
166 prepared. Ring width series were cross-dated using a combination of visual assessments
167 and comparisons of correlation coefficients (a measure of the covariance between the
168 normalised, overlapping portion of the chronologies) and T-scores (based on the correlation
169 coefficient, but weighted to account for the length of the overlapping segment) in CDendro
170 7.8 ³⁵. To determine and verify the year of each annual ring ²², trees within individual sites
171 were cross-dated with each other first. Mean chronologies from the five study sites were
172 then compared to each other, and to published ring width series from 18 sites in
173 England^{36,37}.

174

175 *Statistical Analysis*

176

177 *Analysis of long-term growth trends*

178

179 All analyses were carried out in R ³⁸, with graphics produced using ggplot2 ³⁹. Ring widths
180 were natural-log transformed, as initial visualisations showed an increase in variance with
181 increasing ring width at all sites. Data were combined across the five sites, and year was
182 centred, based on the range across all individual trees (the year 1960 was used as the zero
183 point, as this fell within the time series of all trees); this aided model fitting and reduced
184 correlation between slopes/intercepts.

185

186 Statistical analysis focussed on producing a single model to replace the multiple stage
187 process frequently used in dendrochronology ⁴⁰ by using linear mixed effects modelling ⁴¹

188 with the basic structure of tree ring width being determined by the fixed effects of final tree
189 age, aspect and the interaction of year and location, with a tree-specific random effect
190 applied to year.
191
192 Appropriate detrending was conducted using a range of n-order polynomials applied to year
193 data (both fixed and random components) to allow for location-level and tree-level turning
194 points; biologically, these turning points were likely to coincide with active management
195 within each compartment/site (e.g. thinning); as such, the model included compartment-
196 specific location fixed effects to account for these changes. The most efficient n-order
197 polynomial was determined using Akaike Information Criteria applied to the maximum
198 likelihood fit.
199
200 Temporal autocorrelation was accounted for in the model using a range of corARMA
201 (autoregressive moving average) models (AR regresses the current ring widths with its
202 previous n values; MA models the error term of the current ring widths based on previous n
203 error terms). Analysis of the (partial) autocorrelation function applied to the model fit
204 indicated that up to two previous years needed to be included within the corARMA structure.
205 All combinations of corARMA structure (up to 2 time points) were therefore applied to the
206 appropriate n- order polynomial and the most efficient model again determined using
207 Akaike's Information Criteria (AIC) applied to the maximum likelihood fits.
208
209 The potential effects of AOD type and beetle exit holes were included within the model.
210 Firstly, models were fit using the seven treatment definition (long-term symptoms \pm exit
211 holes, new symptoms \pm exit holes, remission \pm exit holes, asymptomatic) as a main effect,
212 interaction with year (linear coefficient only) and interaction with the n-order polynomial
213 applied to year. The most efficient model was then further broken down by simplifying the
214 seven treatments to the four treatment model, *Agrius*-only model (with/without beetle exit

215 holes) and four treatment plus *Agrilus* with most efficient model determined using AIC
216 applied to the maximum likelihood fits.

217

218 Having determined most efficient model , predicted tree-level outputs were used to visualise
219 differences across treatments, with further post-hoc tests conducted on fixed effects using
220 marginal means to explore significant differences, using Tukey's HSD for multiple
221 comparison contrast p value estimates; appropriate weighting was applied at the
222 compartment level such that the marginal means were weighted equally by site ⁴².

223

224 *Analysis of recent growth trends*

225

226 Having determined the most efficient model for long-term growth trends, the same model
227 was re-run but restricting the data sets to time points earlier than, and up to 2004 only. This
228 model was then used to forecast tree-specific ring widths up to 2017, to compare with the
229 actual ring width data. To meet model normality assumptions, differences were calculated as
230 $\ln((\text{actual ring widths} - 2004 \text{ forecast ring widths}))$, baselined on the minimum difference,
231 then back-transformed to arithmetic ring width differences for presentation.

232

233 *Analysis of climatic drivers of growth differences*

234

235 In order to determine whether climatic variables were driving growth differences between
236 asymptomatic and symptomatic trees, correlations were examined between the ring widths
237 of asymptomatic and long-term symptomatic trees; median tree ring width differences (long-
238 term symptomatic minus asymptomatic) were determined for these two groups first by
239 compartment, then by site and finally by year (i.e. equal weighting to each site). Year-
240 specific median tree ring width differences were then correlated against historical (post
241 1873) daily precipitation and temperature data for the central England area (defined as the
242 geographic area bounded by the cities of Lancashire, London, and Bristol), averaged by

243 season ⁴³. The influence of very warm years on growth within the four AOD categories was
244 also tested by comparing growth in the year before and during sudden changes in
245 temperature, defined by an increase of > 1°C across at least three seasons (1880, 1889,
246 1957, 1989, 2014), versus 20 randomly selected years post 1873.

247

248

249 Results

250

251 The full dataset, comprising annual ring data from 243 trees, gives a robust view of the
252 historical growth of trees affected by AOD at five sites in England. Table SA3 summarises
253 the ring width data by four-level AOD category and site. Table SA4 shows between-site
254 chronology comparisons (correlation coefficients (CorrC) and T-scores (TT)).

255

256 *Analysis of long-term growth trends*

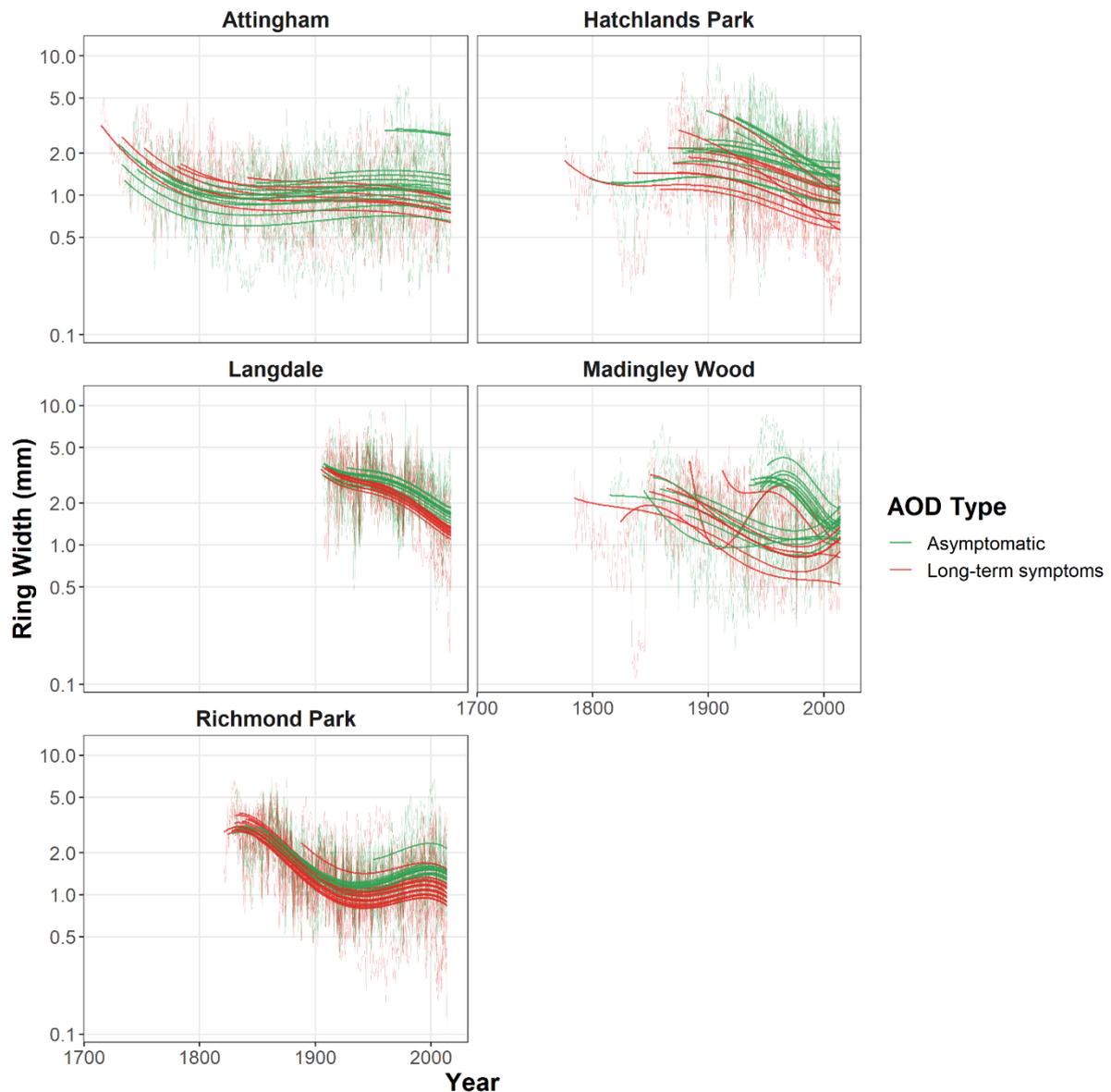
257

258 The most efficient model was a fourth order polynomial (see Figure SA1), with a
259 corARMA(2,1) temporal autocorrelation structure and an interaction between the linear year
260 coefficient and the AOD category. The fit of the seven treatment model (AIC = 21,026) was
261 similar to the four treatment model (AIC =21,026), and the addition of an *Agrilus* main effect
262 with the four treatment model led to a less efficient model (AIC = 21,037), therefore the
263 weight of evidence did not support the inclusion of *Agrilus* as a factor within the model, and
264 the simpler four treatment model was chosen as best fit (see Table SA5 for full results).
265 ANOVA applied to fixed effects supports the AIC results, with significant main effect and
266 interaction for the four treatment model (see Table SA6).

267

268 The significant interaction between four factor AOD type and the linear temperature
269 coefficient indicates that the rate of decline in ring width size was significantly different
270 across these four groups. Plotting the tree-level predictions against the raw data across site

271 and AOD type (see Figure SA2, SA3) shows the predictions to provide a reasonable fit to the
272 data, with the largest differences across types being observed between asymptomatic and
273 long-term symptomatic trees (see Figure 1).



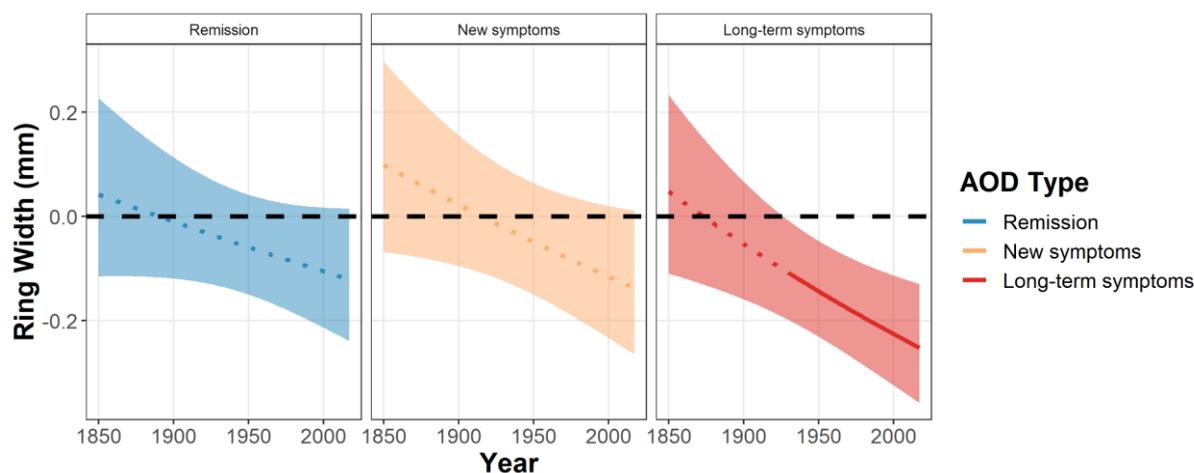
274
275 Figure 1. Raw tree ring width data (thin lines) overlaid with most efficient model by tree (thick lines; asymptomatic
276 and long-term symptomatic only). Note log10 scaling on y axis.

277
278 Figure 1 shows variability across sites and compartments, but a generally consistent pattern
279 can be seen with trees showing similar ring widths early in their lives and greater divergence
280 between symptom types developing over time. This can best be displayed by analysing
281 contrasts between marginal means, corrected for age, site and aspect. Figure 2 shows these

282 contrasts for remission, new symptom and long-term symptomatic trees, with the dashed
 283 horizontal line being equivalent to the predicted growth of asymptomatic trees. The general
 284 trend in all three categories in Figure 2 is one of decline versus asymptomatic trees, but only
 285 long-term symptomatic trees show a significant difference in ring widths versus
 286 asymptomatic trees - from 1931 onwards.

287
 288 The results of the model are matched by DBH measurements; when DBH was corrected for
 289 age, as estimated from the core ring width counts and percentage of the tree's radius
 290 sampled by the core, there was a significant effect of the four level AOD type on tree size (F
 291 $= 4.8$, $df = 3$, $p = 0.003$), but not of site ($F = 1.6$, $df = 2$, $p = 0.20$), with post hoc tests
 292 indicating that trees with long-term symptoms were smaller in girth than asymptomatic
 293 control trees, and trees with new symptoms and in remission were intermediate, but not
 294 significantly different from either (least-square mean \pm SE for long-term symptoms, new
 295 symptoms, remission, asymptomatic control = 0.32 ± 0.01 , 0.33 ± 0.02 , 0.35 ± 0.01 , $0.39 \pm$
 296 0.02 , respectively).

297



298
 299 Figure 2. Estimated marginal mean contrasts between asymptomatic trees (dashed horizontal line) and other tree
 300 categories. Dotted lines indicate non-significant differences (corrected for multiple comparisons); solid lines
 301 indicate significant difference ($p < 0.05$). Error bands show 95% confidence intervals for contrasts, corrected for
 302 multiple comparisons.

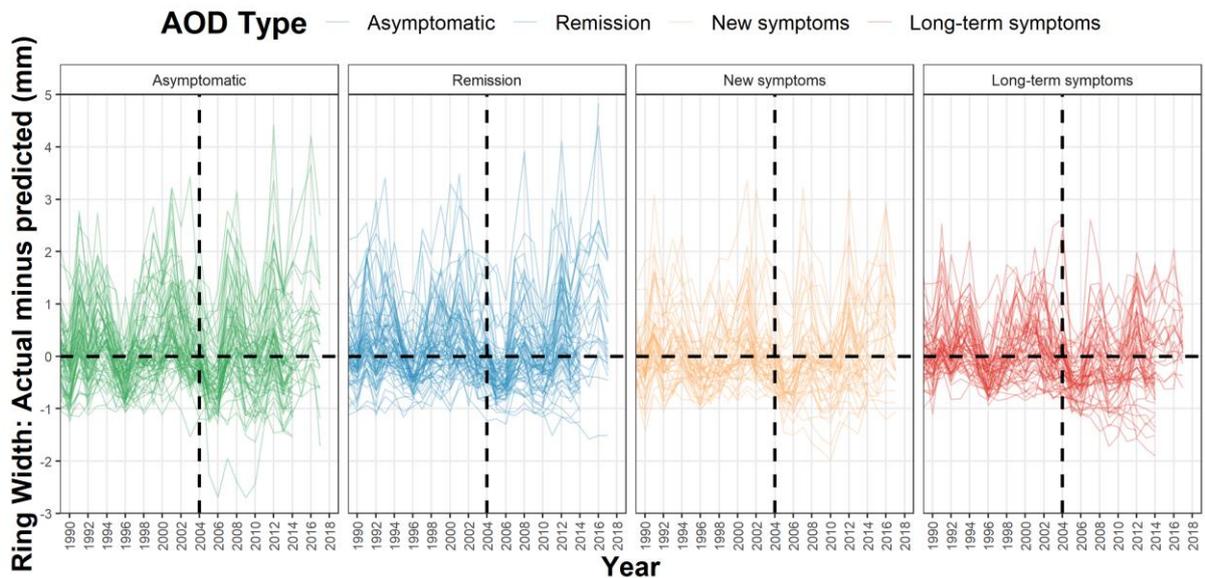
303

304 *Analysis of recent growth trends*

305

306 Figure 3 shows the comparison between the actual ring widths and those predicted using the
307 projected linear mixed effects model limited to data from 2004 and earlier. Figure 3 did not
308 indicate any marked deviation between actual ring widths and those predicted from 2004
309 and earlier. This was supported by tree-level analysis of these absolute differences, which
310 showed no difference by AOD type ($F_{3,199} = 0.73, p = 0.54$). This provides further evidence
311 for the driver of differences in ring widths not only having occurred over the recent past (i.e.
312 ~10 years) but over a longer time period.

313



314

315 **Figure 3.** Comparison between the projected vs actual ring width data from 2004, by AOD category.

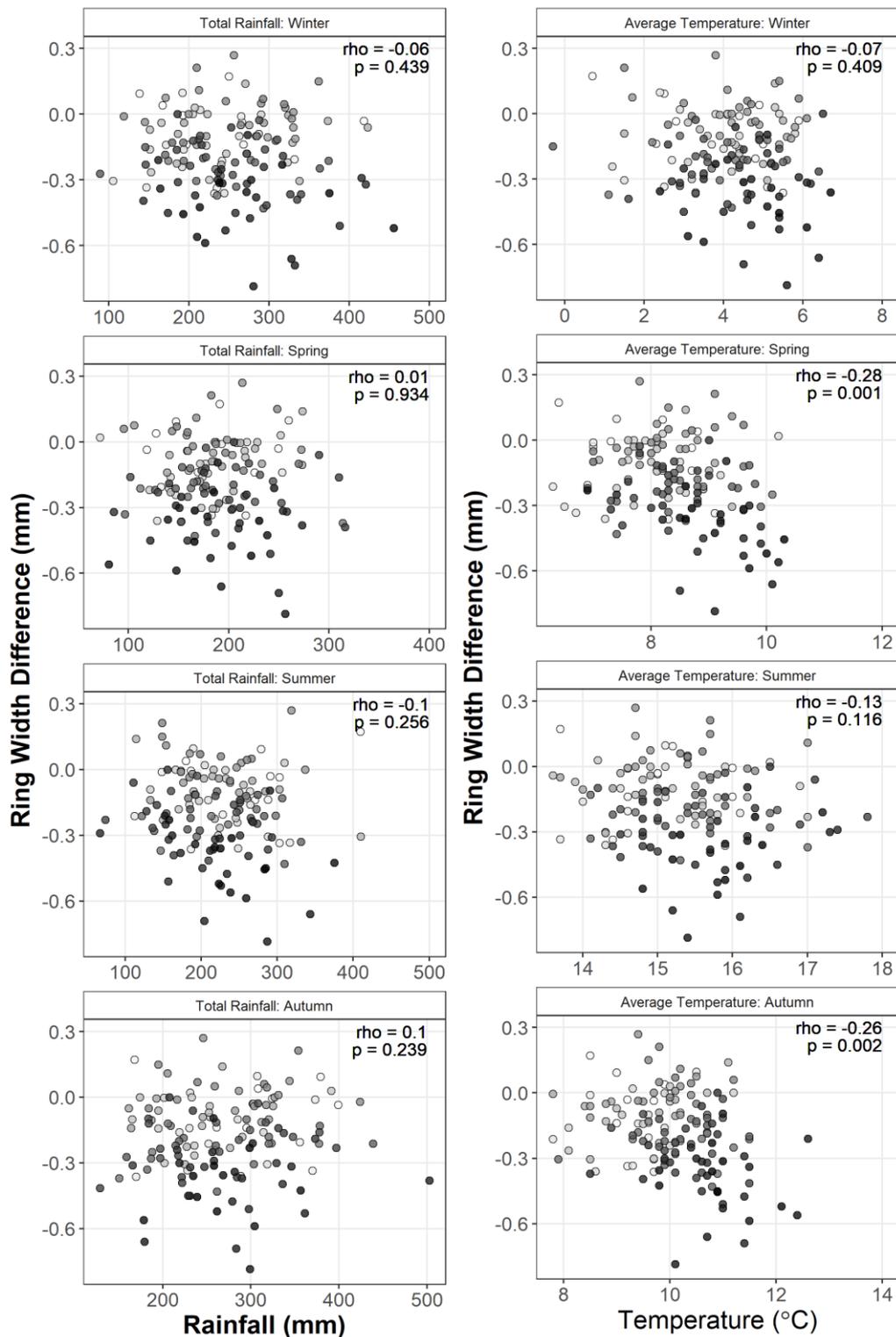
316

317 *Analysis of climatic drivers of growth differences*

318

319 Figure 4 shows the correlation plots of the average difference between long-term
320 symptomatic trees and asymptomatic trees (yearly data from 1873 onwards) and climatic
321 variables by season. There was a significant correlation between the difference in ring
322 widths and seasonal temperatures in Central England for spring and autumn, with larger

323 negative divergences observed at warmer temperatures, suggesting tree responses to
324 warmer temperatures were contributing more to growth differences between asymptomatic
325 and long-term symptomatic trees. Analysis of very warm years on tree growth indicated that
326 ring width size was reduced in unusually warm years (ring widths were smaller in the year
327 following unusual warming as compared to the previous year) across all tree types ($F_{23,4838} =$
328 56.9, $p < 0.001$), suggested acute periods of unusually warm weather are detrimental to all
329 trees.
330



331

332 **Figure 4.** Pairwise contrast of ring widths for asymptomatic and long-term symptomatic trees (median for long-
 333 term symptomatic minus median for asymptomatic: more negative values shows poorer growth in long-term
 334 symptomatic trees) weighted across sites/compartments versus precipitation and temperature data (Central
 335 England data: <https://www.metoffice.gov.uk/hadobs/hadcet/data/download.html>). Point fill shows year (1874-
 336 2014) from white to black. Text shows correlations (Spearman's rho and p values).

337 Discussion

338

339 Trees with long-term AOD symptoms appear to be predisposed to the syndrome, exhibiting
340 reduced vigour in the growth record for many decades before the onset of symptoms.

341 Analysis of long-term growth trends through mixed effects modelling reveals significant
342 growth differences between asymptomatic and long-term symptomatic trees from the early
343 1930s. Trees that only developed AOD symptoms in the last few years (new symptoms), and
344 trees that were able to successfully occlude lesions and enter a state of remission or
345 recovery, had mean stem growth that was intermediate between that of the asymptomatic
346 controls and long-term symptomatic trees, but was not significantly different from either.

347

348 In England, the period from the end of WWI until 1925-6 was marked by widespread oak
349 decline and a high oak death rate⁴⁴⁻⁴⁶. Oaks, potentially also impacted by widespread
350 conifer clearing that altered water tables locally, are thought to have been weakened by
351 successive severe defoliation of spring and lammas growth by lepidopteran larvae and
352 recently-arrived powdery mildew, sequentially, with *Armillaria mellea*, a honey fungus, acting
353 as a key secondary agent contributing to tree death^{44,45}. While a single defoliation event is
354 not usually sufficient to kill oaks, which are highly tolerant of herbivory, the loss of
355 photosynthate production due to successive severe defoliation may result in exhausted
356 carbohydrate stores, reduced fine root production and impaired hydraulic efficiency^{18,47}. In
357 this study, it appears that the trees currently exhibiting long-term AOD symptoms suffered
358 permanent physiological damage in the 1920s, their annual growth correspondingly dropping
359 below previous levels^{26,48}. Other studies of declining European oaks have shown a similar
360 pattern, wherein after severe defoliation events weakened cohorts continue to grow at a
361 reduced annual growth increment. Such trees remain vulnerable, and may be killed when a
362 woodland is affected by secondary pests and pathogens decades later^{19,24,27,49}.

363

364 Intense competition for resources in over-stocked stands may also have predisposed some
365 of the study trees to AOD through growth suppression. For some sites, a number of the long-
366 term symptomatic trees exhibit relatively poor growth from near the beginning of the stem
367 growth record (Figure 1). Genotypic or microhabitat differences may have resulted in poorer
368 initial growth in some trees that continued under dense management regimes. Physiological
369 differences and lower carbohydrate reserves in suppressed trees may increase their
370 susceptibility to stress ⁴. Development of decline in suppressed trees is consistent with a
371 recent study examining the ring widths of mature *Quercus robur* diagnosed with Chronic Oak
372 Decline (COD), which showed reduced growth in the declining trees throughout most of their
373 lifespans, before the development of COD symptoms ²⁹. Several other studies have also
374 found that smaller, more slowly-growing oaks are prone to decline ^{30,50}.

375

376 Since inclusion of the seven level AOD category, incorporating presence/absence of beetle
377 exit holes with AOD type, did not markedly improve the mixed effects model fit, beetles are
378 not simply completing development on the weakest trees, furthest along in the decline
379 syndrome, as might be expected. Other factors than host vigour must influence beetle
380 breeding success, such as bark moisture and individual trees' physical and chemical
381 responses to wounding ^{13,21,51}. Under-bark temperature may also be very important; many
382 parts of England are sub-optimal for beetle development, particularly in cooler years, and at
383 some sites the beetles will have a better chance of developing on more open-grown trees
384 where sunlight hits the stem ^{15,21}. Host selection by the adults is also poorly understood.

385

386 In this study, the mixed effect model forecasts from 2004-2017 provide very little evidence of
387 recently-induced predisposition directly before AOD onset. The relatively similar growth
388 patterns across categories, within each site, do not suggest varying resilience to short-term,
389 inciting factors such as climatic (e.g. drought) stress. This provides further support that
390 differences in long-term vigour, rather than varying responses to recent stress events,
391 predisposes trees to AOD.

392

393 Surprisingly, the model forecasting also does not indicate a divergence between the growth
394 of asymptomatic and symptomatic trees, or trees in remission, after AOD symptom onset
395 and beetle colonisation. At least some trees with AOD symptoms continued to produce
396 broad annual rings. Some of the symptomatic trees may have benefited from a reduction in
397 competition due to the death of other trees. Growth declines may have been masked by the
398 removal of the worst-affected trees through death or as part of woodland management ⁴⁸.
399 Oaks also often do not exhibit sharply reduced stem growth until the years just prior to tree
400 death ⁵².

401

402 The correlation between warm spring and autumn temperatures and larger growth
403 differences between asymptomatic and long-term symptomatic trees suggests that diseased
404 trees are less able to take advantage of favourable growing conditions. In the UK, warm
405 temperatures in early summer have been linked to enhanced oak growth, when moisture is
406 sufficient; in contrast, warm temperatures in winter negatively influence growth, because
407 elevated winter respiration rates increase carbohydrate use ⁵³. Diseased trees may be
408 hampered by changes in their photosynthetic capacity, carbohydrate storage, and fine root
409 anatomy ²⁶. There was no relationship between seasonal precipitation and the growth
410 difference between asymptomatic and long-term symptomatic trees. Interestingly, both
411 asymptomatic and long-term symptomatic trees showed equally reduced growth in years of
412 sudden, unusual warming, suggesting the presence of an upper threshold temperature of
413 optimal growth and a limited adaptability to climatic change, regardless of tree vigour.

414

415 *Conclusions*

416

417 This study gives an insight into the long-term health trends of oak trees, keystone tree
418 species worldwide which are increasingly threatened by pests, pathogens and climatic
419 change ⁶. The novel statistical techniques developed to analyse the dendrochronological

420 data simplify the analysis of stem growth, facilitating its use as a tool to determine the
421 underlying health status of tree populations and to better understand episodes of forest
422 decline. This study provides a valuable insight into how historical episodes of stress may
423 impact trees' future susceptibility to disturbance, and resilience to a changing climate.
424 Despite differing site histories at the five study sites, trees with long-term AOD symptoms
425 appear to have been predisposed to the condition many decades before symptom onset.
426 These trees are likely to have suffered permanent physiological alterations that render them
427 vulnerable to AOD, as well as future potential impacts from other pests and diseases and
428 climatic extremes. Some affected trees also appear to have been suppressed from the
429 beginning of their stem growth record. The best-fit models of stem growth reveal stand-wide
430 growth releases which are probably related to thinning. Opening up stands by removing
431 trees with long-term AOD symptoms and suppressed trees which are predisposed to the
432 syndrome, may increase the resources available to the remaining trees. Whilst the role of *A.*
433 *biguttatus* in AOD is not fully resolved, thinning out suppressed trees may increase beetle
434 developmental rates and breeding success, by opening up a stand and raising under-bark
435 temperatures. However, thinning should reduce host availability if the remaining trees benefit
436 from such management, as well as removing some developing insects. The lack of
437 divergence in symptomatic vs asymptomatic tree growth after AOD onset was surprising and
438 may be due to site-specific conditions influencing growth more strongly than AOD. An
439 examination of the last few years of stem growth of AOD-killed trees might clarify the
440 patterns that lead to ultimate tree mortality.

441

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443

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453

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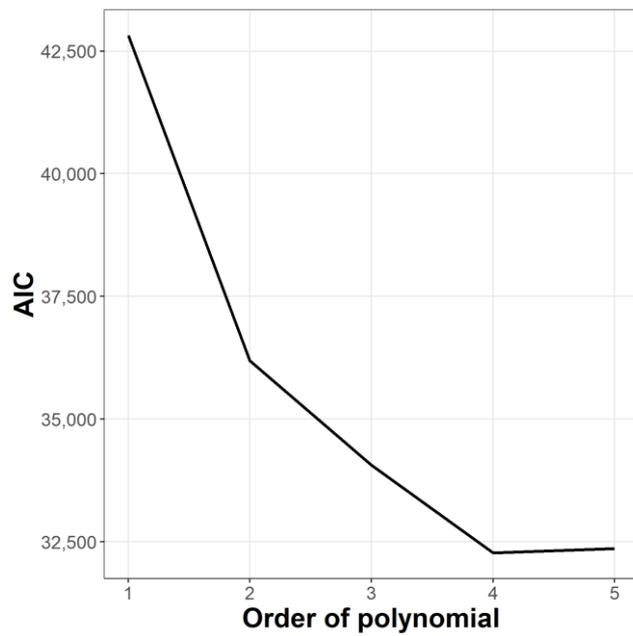
	Hatchlands Park	Langdale Wood	Rookery Wood, Attingham Park	Sheen Wood, Richmond Park	Madingley Wood
Coring date	May, 2015	January, 2018	January, 2018	May, 2015	May, 2015
Location	Guildford, Surrey	Malvern, Worcestershire	Attingham, Shropshire	Richmond Upon Thames, Greater London	Cambridge, Cambridgeshire
Latitude / Longitude	51.263098 / -0.46337962	52.083988 / -2.308511	52.68521325 / -2.66878724	51.455636 / -0.27052781	51.263098 / -0.46337962
Number of oaks within AOD monitoring plot	140	250	100	150	N/A
Elevation (m/asl)	80m	60m	60m	20m	60m
Site description	Discrete <i>Quercus robur</i> shelter belt within parkland	<i>Quercus robur</i> high forest over mowed grass	Discrete <i>Quercus robur</i> plantation within parkland	Discrete <i>Quercus robur</i> plantation within urban parkland	Mixed-age stands of <i>Quercus robur</i> within ancient and secondary ash-maple woodland
Site oak stocking density (stems / ha.)	6.14	30.95	78.50	38.32	24.43
Uniformity	Relatively uniform stand	Relatively uniform stand	Relatively uniform stand	Relatively uniform stand	Trees drawn from 5 compartments; variability in tree age and stand environment
AOD symptoms first recorded	After 2001	Before 2006	2007	1990	2009-2010
Current monitoring began	2009	2009	2010	2010	2014
DBH of study trees (mean \pm SE)	66.0 \pm 2.1	72.4 \pm 1.3	88.8 \pm 3.8	81.6 \pm 2.0	63.8 \pm 2.9
Human disturbance level	Medium	Medium	Medium	High	Low
Other disturbance factors	Chronic Oak Decline	Chronic Oak Decline	Chronic Oak Decline	Chronic Oak Decline; oak processionary moth; deer	Chronic Oak Decline

Four level AOD category	Seven level AOD category	Hatchlands Park boundary belt (HP)	Langdale Wood	Rookery Wood, Attingham (RW)	Sheen Wood, Richmond Park (RP)	Madingley Wood (MW)
Long-term symptoms	Long-term symptoms with exit holes	4	1	3	12	3
	Long-term symptoms without exit holes	7	12	4	5	5
New symptoms	New symptoms with exit holes	2	3	5	5	-
	New symptoms without exit holes	6	11	8	6	-
Remission	Remission with exit holes	0	7	1	4	2
	Remission without exit holes	11	8	8	7	21
Asymptomatic control	Asymptomatic of AOD or exit holes	14	14	15	14	15

599

600 **Table SA2.** Description of AOD symptom and *Agrilus biguttatus* exit hole categories, and numbers of trees within
601 the categories, at each site.

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Figure SA1. Akaike Information Criteria (AIC) values for mixed effects with the basic fixed effects structure

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(Year+...)*Compartment+Tree Age+Aspect and random effects of (Year+...) per tree, where “...” represents

606

additional n order Year polynomial to allow turning points for each individual compartment (fixed effect) and tree

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(random effect) through time. Change in AIC with increasing number of turning points (1 = linear (no turning

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points); 5 = 4 turning points) indicates a fourth order polynomial to be the most efficient structure.

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	Category	Attingham Park	Langdale Wood	Hatchlands Park	Madingley Wood	Richmond Park
Average number of annual rings	LS	251	103	147	160	175
	NS	239	105	152	-	170
	R	238	95	126	138	166
	C	208	100	129	108	160
Minimum - maximum number of annual rings	LS	177-301	70-113	106-239	103-231	127-194
	NS	163-293	89-115	111-218	-	145-189
	R	55-299	71-115	74-202	76-219	118-194
	C	49-288	73-112	91-218	63-200	65-187
Average annual ring width (mm) (\pm SD)	LS	1.2 \pm 0.2	2.6 \pm 0.3	1.5 \pm 0.2	1.8 \pm 0.2	1.6 \pm 0.1
	NS	1.3 \pm 0.3	2.7 \pm 0.3	1.7 \pm 0.1	-	1.7 \pm 0.1
	R	1.3 \pm 0.3	2.7 \pm 0.7	2.0 \pm 0.1	1.8 \pm 0.1	1.5 \pm 0.1
	C	1.4 \pm 0.7	2.8 \pm 0.3	2.2 \pm 0.2	2.1 \pm 0.2	1.8 \pm 0.1

611

612 **Table SA3.** Ring count and ring width summary data by site and four level AOD category (LS = long-term

613 symptoms, NS = new symptoms, R = remission, C = asymptomatic control)

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Site	Hatchlands Park		Attingham Park		Langdale Wood		Richmond Park		Madingley Wood		First and last year	
	CorrC	TT	CorrC	TT	CorrC	TT	CorrC	TT	CorrC	TT	start	end
Bath	0.52	8.6	0.32	5.1	0.57	5.9	0.33	4.4	0.36	5.4	1754	1979
Old Park Wood, Hillingdon, Greater London	0.59	10.5	0.39	6.1	0.61	7.2	0.59	9.5	0.44	7	1786	1994
Scotney Castle, Tunbridge Wells, Kent	0.47	8	0.38	6.4	0.46	5.1	0.52	8.2	0.34	5.3	1752	2003
Hatchlands Park	-	-	0.36	5.9	0.6	7.8	0.57	9.6	0.57	10.5	1776	2014
Attingham Park	0.36	5.9	-	-	0.58	7.6	0.46	7.2	0.52	9.2	1715	2017
Langdale Wood	0.6	7.8	0.58	7.6	-	-	0.55	6.8	0.58	7.5	1903	2017
Richmond Park	0.57	9.6	0.46	7.2	0.55	6.8	-	-	0.63	11.3	1821	2014
Madingley Wood	0.57	10.5	0.52	9.2	0.58	7.5	0.63	11.3	-	-	1797	2014

616

617 **Table SA4.** Correlation coefficients (CorrC) and T-scores (TT) calculated in C-Dendro (Larsson, 2014), between

618 two recent reference chronologies and the five study woodlands (Arnold, Howard, & Litton, 2005; Bridge &

619 Winchester, 2000; Jon R Pilcher & Baillie, 1980)

Model	DF	AIC
Fourth order polynomial	72	32,274
Fourth order polynomial, corARMA(0,1)	73	25,317
Fourth order polynomial, corARMA(0,2)	74	22,905
Fourth order polynomial, corARMA(1,0)	73	22,228
Fourth order polynomial, corARMA(2,0)	74	21,614
Fourth order polynomial, corARMA(1,1)	74	21,475
Fourth order polynomial, corARMA(1,2)	75	21,233
Fourth order polynomial, corARMA(2,1),	105	21,051
Fourth order polynomial, corARMA(2,1)	75	21,050
Fourth order polynomial, corARMA(2,1),	77	21,042
Fourth order polynomial, corARMA(2,1),	83	21,037
Fourth order polynomial, corARMA(2,1),	81	21,031
Fourth order polynomial, corARMA(2,1),	87	21,026
Fourth order polynomial, corARMA(2,1),	81	21,026

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621 **Table SA5.** AIC values for range of corARMA and fixed effects models applied to data.

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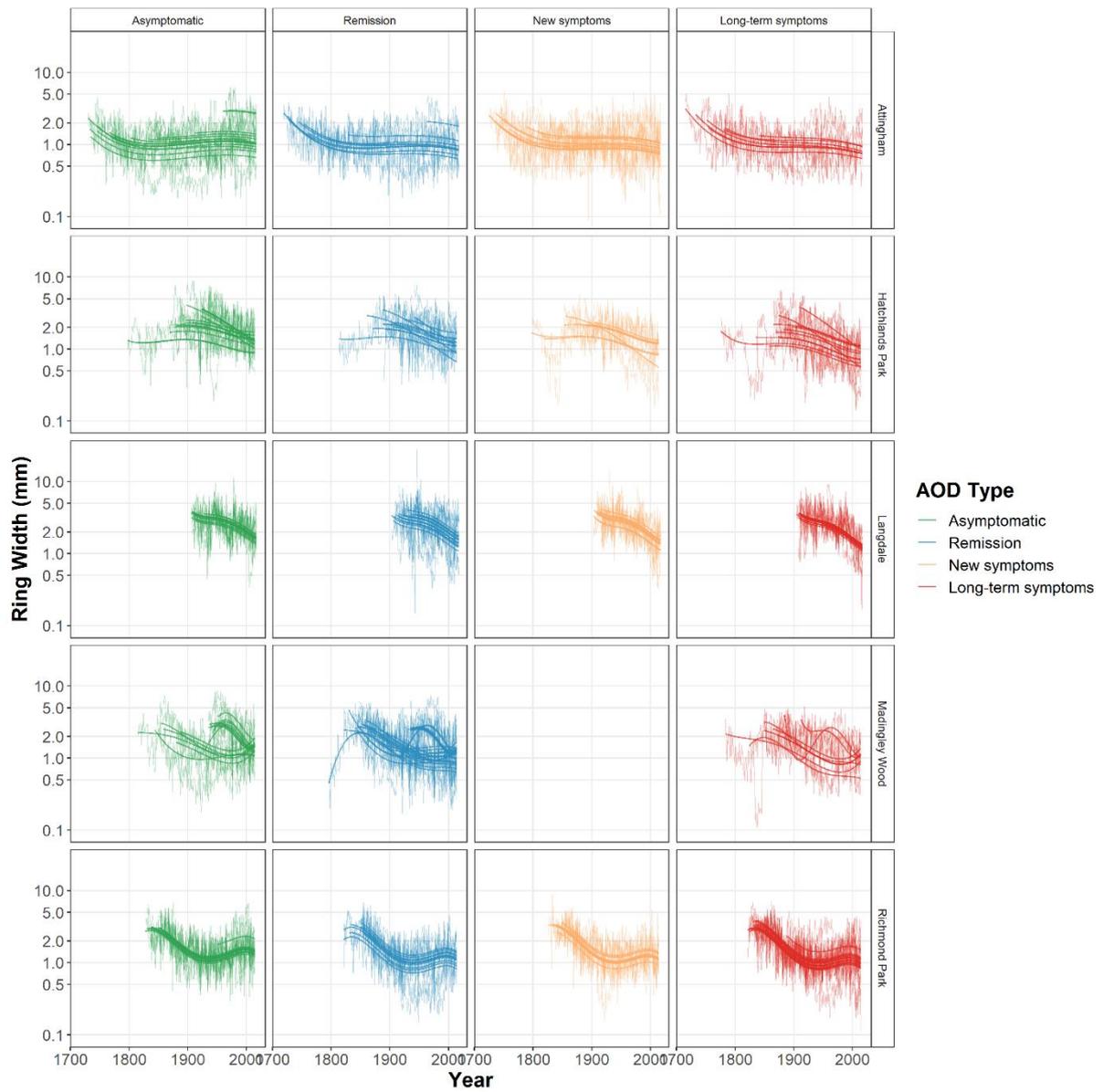
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Fixed Effect	numDF	denDF	F-value	p-value
(Intercept)	1	36,281	764.8	<.0001
Year	1	36,281	174.2	<.0001
I(Year^2)	1	36,281	6.0	0.0142
I(Year^3)	1	36,281	14.9	0.0001
I(Year^4)	1	36,281	4.1	0.0428
Compartment	9	223	50.5	<.0001
Type (4 Treatment)	3	223	6.5	0.0003
final_age	1	223	106.0	<.0001
Aspect	5	223	6.7	<.0001
Year:Compartment	9	36,281	19.6	<.0001
I(Year^2):Compartment	9	36,281	13.0	<.0001
I(Year^3):Compartment	9	36,281	6.3	<.0001
I(Year^4):Compartment	9	36,281	8.5	<.0001
Year:Type (4 Treatment)	3	36,281	4.3	0.0048

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628 **Table SA6.** ANOVA output applied to final model.

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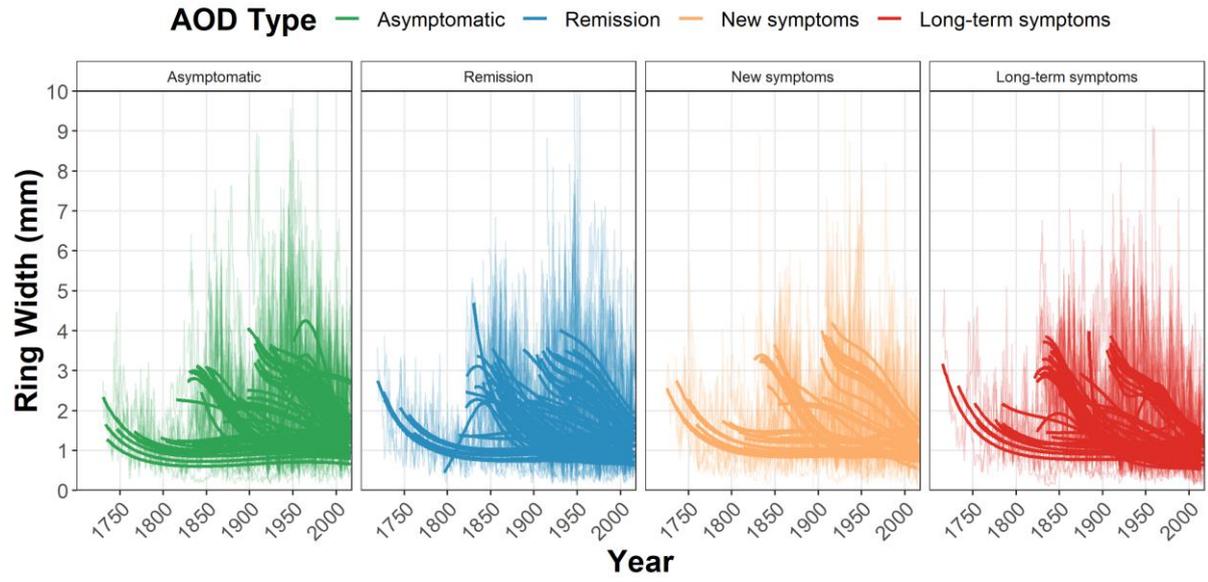


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631 **Figure SA2.** Raw tree ring width data, overlaid with best fit model by tree. Note log10 scaling on y axis.

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635 **Figure SA3.** Raw tree ring width data, overlaid with best fit model by tree. Note log10 scaling on y axis.

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