

Density and climate effects on age-specific survival and population growth: consequences for hibernating mammals

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1 **Density and climate effects on age-specific survival and** 2 **population growth: consequences for hibernating mammals**

3

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20 **Abstract**

21 The impact of factors such as density dependence, food availability, and weather are known to be
22 important for predicting population change in a wide range of species. However, a challenge in
23 ecology is understanding the contributory and interactive role of these drivers on populations. This is
24 necessary to design effective conservation and management strategies. Using data from long-term
25 studies of five hazel dormouse populations in Europe, we tested the relationship between population
26 density and weather and their impact on demographic rates. We used an integrated population
27 modelling approach, estimating age-specific overwinter survival, annual population growth, and
28 fecundity rates. We found strong negative effects of population density, precipitation, and winter
29 temperature on population growth rates. This suggests that warmer and wetter weather negatively
30 affects dormouse survival for both adults and juveniles, but we found subtle differences in these
31 effects between age classes. We also identified an interaction between weather measures and
32 population density on age-specific survival, possibly as a result of weather impacts during
33 hibernation. Although we found low winter temperature was positively associated with population
34 growth, we found evidence consistent with density-dependence. We discuss our results in the context
35 of woodland habitat conservation management.

36 **Introduction**

37 Understanding the mechanisms underlying animal abundance is fundamental to ecology. This
38 understanding is necessary to predict population growth and therefore to inform species conservation
39 management (Krebs, 2002; Hastings, 2010). This is especially true in fragmented landscapes where
40 populations vary in size, demographic composition and connectivity, factors which are of key
41 importance for population persistence (Fahrig & Paloheimo, 1988; Boyce & McDonald, 1999;
42 Mackey & Lindenmayer, 2001). Thus, effective conservation monitoring requires data on abundance,
43 population growth, age specific survival, reproductive success, and dispersal in order to evaluate
44 population trends and identify factors influencing changes in population growth (Magurran et al.,
45 2010; Keith et al., 2015). Population size is expected to show temporal and spatial variance due to
46 extrinsic factors, such as resource availability, predation, and local weather conditions, as well as
47 intrinsic factors such as population density (Bjørnstad & Grenfell, 2001; Melbourne & Hastings,
48 2008). These factors impact populations through their effects on demographic parameters such as
49 fecundity, survival, immigration and emigration, in turn driving variation in population growth rates.
50 Thus, the estimation of demographic rates through population monitoring can play a pivotal role in
51 understanding the complex interactions between demography and the environment in order to predict
52 population change and inform conservation management of at-risk species.

53 Conservation monitoring of populations is a challenge for many species owing to logistic constraints.
54 This is exacerbated for rare or elusive species which may be relatively difficult to detect or identify,
55 or which occur at low density (Lomba et al., 2010; Harris, Combe, & Bird, 2015). Further, some
56 species exhibit variation in their life history or conservation status over a wide geographical range,
57 leading to impediments in the collection of basic data, or spurious extrapolation due to difficulty in
58 long term monitoring of populations or sampling biases from small population sizes. As a result of
59 these issues, the basic population abundance for many species of conservation concern is uncertain
60 even when monitoring is conducted, due to lack of long-term data sets (Flowerdew, Amano, &
61 Sutherland, 2017). Because populations are expected to respond to conservation management, it is
62 critical to monitor this response to measure its impact (Buckland et al., 2007). Thus, a basic
63 requirement to measure conservation impact is population monitoring data and the biological and
64 environmental correlates of variation in population growth.

65 Demographic information, for example data collected by mark-recapture studies, is essential in order
66 to assess extinction risk in small or declining populations (Bonebrake et al., 2010). In this context,

67 there is a history of debate surrounding the contribution of multiple environmental and density-
68 dependent processes which act on population vital rates such as survival, recruitment and fecundity
69 (Andrewartha & Birch, 1954; Leirs et al., 1997; Nowicki et al., 2009; Ohlberger, Rogers, & Stenseth,
70 2014). However, ecological theory generally recognises population density dependence as an integral
71 process that often has a role in regulating population abundance (Henderson & Magurran, 2014).
72 Virtually all habitats are subject to environmental stochasticity in temperature and precipitation, often
73 at a local scale (Walther et al., 2002; Wilson et al., 2005), which may sometimes be extreme (Barker,
74 2007). Weather conditions may directly impact survivorship or fecundity due to changes in the
75 availability of food, shelter, or water (Vasseur & Fox, 2007; Loreau & de Mazancourt, 2013). There
76 is evidence that environmental stochasticity can alter the relationship between population density and
77 population vital rates (Miller, 2007; Richard et al., 2014; Manning, Medill, & Mcloughlin, 2015).
78 Such weather impacts may also interact with density dependence, e.g., through availability of
79 resources, leading to increased competition, that can strongly affect population dynamics. Such
80 processes can be complex (Coulson, 2001; Boyce et al., 2006), but may be essential to understand
81 population dynamics (Gamelon et al., 2017). As such, we require an understanding of how weather
82 factors, density dependence and the strength of interaction between these factors influence population
83 vital rates.

84 Weather conditions also may have a large impact on hibernating species (Inouye et al., 2000;
85 Nowack, Stawski, & Geiser, 2017), for example by altering the date of emergence or phenology, and
86 subsequently impacting survival or fecundity. Temperature variation may affect energetic state, water
87 reserves and thermoregulation during hibernation (Seebacher, 2009; Boyles et al., 2011). There is
88 evidence that hibernating species are susceptible to changes in precipitation and through phenological
89 changes in their active season, e.g. affecting mating activities or hibernation survival (Falvo, Koons,
90 & Aubry, 2019; Cordes et al., 2020; Johnston et al., 2021). Thus, in hibernating species it is
91 particularly important to consider the role of environmental conditions in maintaining homeostasis or
92 body mass for reproduction (Humphries, Thomas, & Kramer, 2003; Fietz et al., 2004; Pretzlaff et al.,
93 2021). Finally, spatial variation in population demographics caused by environmental stochasticity is
94 poorly understood, motivating the study of demographic variation in replicated subpopulations that
95 may vary biologically and environmentally.

96 The hazel dormouse (*Muscardinus avellanarius*) is a small, semi-arboreal mammal associated with
97 deciduous and mixed coniferous woodlands in Europe that exhibits a long hibernation period (~ 6

98 months). The duration of hibernation varies geographically, being longer in more northern European
99 populations (Juškaitis, 2014). The hazel dormouse is characterised as having a small home range with
100 low dispersal, low fecundity and occurring at low population density in comparison to other small
101 mammals (Bright, Morris, & Mitchell-Jones, 2006). Because of a decline in its northern range due to
102 climate change and habitat degradation (Goodwin et al., 2017; 2018), it is a species of conservation
103 concern and strictly protected in Europe (Habitat Directive Annex IV, Bern Convention Annex III).
104 Hazel dormice are also known to be sensitive to seasonal variation in weather with high mortality in
105 variable winters, and reductions in activity and food availability during wetter spring and summer
106 periods (Juškaitis, 1999, 2013; Bright, Morris, & Mitchell-Jones, 2006). For these reasons, this
107 species has been the subject of long-term population monitoring and require active management and
108 habitat alteration over a wide geographical range, making it an ideal model to test the role of weather
109 in relation to population growth, survival and reproduction patterns.

110 This study aimed to investigate the importance of population density and weather conditions
111 associated with population size change in the hazel dormouse. We assessed these effects using long
112 term capture mark recapture (CMR), productivity and population count data across five populations
113 in the UK and Lithuania that vary in size, woodland management, and habitat composition.
114 Specifically, we compared variation in population growth and age-specific annual survivorship and
115 investigated the association between population density and climate variation with variation in
116 population size change. We discuss our findings in the context of conservation management for
117 hibernating mammals in the face of ongoing climate change.

118

119 **Materials and Methods**

120 *Study sites and data sources*

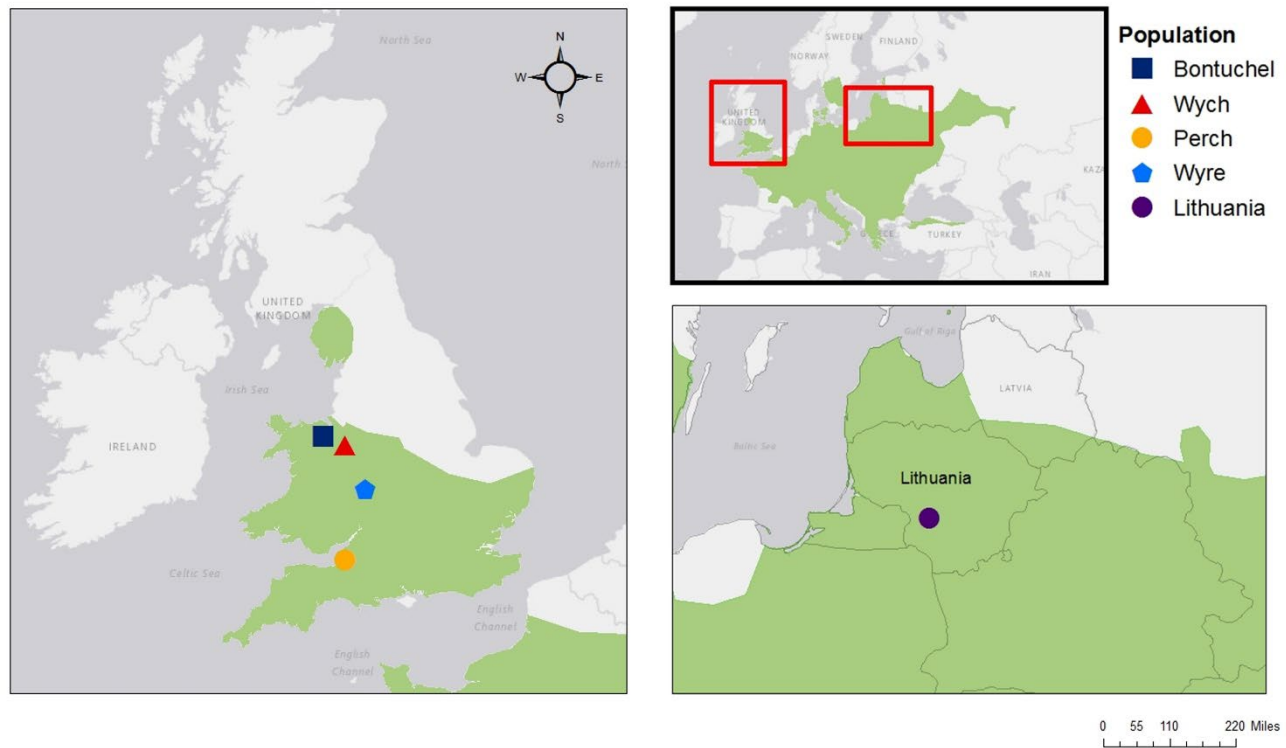
121 CMR data were collected in five hazel dormouse populations: The Perch (Somerset, UK), Wyre
122 Forest (Worcestershire, UK), Bontuchel (North Wales, UK), Wych (reintroduction site; Cheshire,
123 UK) and Šakiai (South West Lithuania, Šakiai district; see Fig.1). The Wych population is an
124 established reintroduced population, part of the UK Species Recovery Program that released captive
125 bred dormice in 1996. Each population contains approximately 250 wooden nest boxes placed at 20
126 to 40-m intervals as part of the UK National Dormouse Monitoring program (NDMP) (White, 2012),
127 whilst the Lithuania boxes were spaced in a grid system at 50-m intervals. Each site was the subject

128 of long-term surveys of nest box occupancy to monitor trends in dormouse number and distribution.
129 Nest boxes were at a height of 2-4m mounted on posts or trees and distributed along transects. In the
130 UK populations, dormice were marked with 8mm passive implanted transponder (PIT) tags and in
131 the Lithuania population, dormice were marked with aluminum leg bands (inner diameter 2.5mm,
132 height 3.5mm). During each survey period nest boxes were checked for occupancy and individual
133 dormice were checked for PIT tag presence. For each captured individual we recorded age-class
134 (adult or juvenile), sex (in adults only), litter size (number of juveniles per female) and weight (g).
135 Unmarked individuals were marked with PIT tags or rings. All sites were monitored between May
136 and October. Wyre data were available for the period 2002-2016, Perch and Lithuania data were
137 available for 2007-2016, and Bontuchel and Wych data were available for 2005-2016. We analyzed
138 capture data for Wyre and Perch from monthly records taken for all 6 months between May and
139 October, inclusive. For Bontuchel and Wych, monthly records were available for the months May,
140 June, September and October. For Lithuania, records were available for the months April-October
141 inclusive. To facilitate direct comparison of the populations, and because the expected lifespan of
142 dormice in the wild is known to reach up to six years (Juškaitis, 2014), capture histories were
143 collapsed to yearly bins.

144

145

146



147
 148 Figure 1. Geographical location of hazel dormouse capture-mark-recapture sites in the UK and
 149 Lithuania. The distribution range of the species is indicated in green.

150 *Bayesian integrated population model*

151 Integrated population modeling (IPM) allows the simultaneous analysis of CMR and nest survey data
 152 consisting of annual counts of dormice (unique individuals), to estimate spatial and temporal
 153 demographic variation, and uncertainty in population parameter estimates (Zipkin & Saunders,
 154 2018). This can compensate for variation in data by reducing biases inherent in small data sets and
 155 can provide more precise estimates of demographic vital rates compared to CMR data alone (Schaub
 156 & Abadi, 2011; Harris, Combe, & Bird, 2015). The nest survey data used in the IPM consisted of
 157 annual number of uniquely identified adults (y), annual number of young produced (J), and the total
 158 number of breeding adults (calculated from the annual total number of nests found, R). We
 159 transformed the CMR data in the m-array format (Burnham et al., 1989) for juveniles (< 6 months
 160 old) and adults (≥ 6 months old). The dormouse nest survey counts and CMR data were modelled
 161 with an IPM for each population to estimate: age-specific annual survival (ϕ), population growth rate
 162 (λ), fecundity (f) and population sizes (N_t) (Supplementary Fig. S1).

163 *Estimation of model parameters*

164 We used the Cormack-Jolly-Seber (CJS) model with a multinomial distribution to estimate time-
165 specific apparent survival probability for juveniles, $\phi_{juv,t}$ and adults, $\phi_{ad,t}$ (Kéry & Schaub, 2012). To
166 estimate the survival probability of juveniles born in year t to become one year old in year $t+1$ $\phi_{juv,t}$,
167 we used all individuals marked as juvenile in the annual encounter histories. After surviving the first
168 winter, a juvenile was assumed to be an adult. Recapture probability was assumed to vary between
169 years.

170 The annual number of young produced were analysed to estimate fecundity (f_t), the number of
171 offspring per adult female, which was considered a latent variable. We assumed a Poisson
172 distribution with the expected value being the product of fecundity and the annual number of
173 surveyed nests (R_t): $J_t \sim \text{Poisson}(R_t f_t)$.

174 Between-year variation in fecundity was modelled as: $\log(f_t) = \log(\mu_f) + \varepsilon_{f,t}$,
175 where $\log(\mu_f)$ denotes the natural logarithm of average fecundity and $\varepsilon_{f,t}$ the year-specific deviation
176 from this value. We model these deviations as random effects and hence $\varepsilon_{f,t} \sim \text{Normal}(0, \sigma_\varepsilon)$, where
177 σ_ε is the temporal variability of fecundity.

178 In order to make the immigration process comparable to survival and fecundity, we specified annual
179 immigration as a rate (i_t), which is the proportion of immigrants in year $t+1$ with respect to the
180 population size in year t . Again, we modelled immigration with temporal random effects where σ_ε is
181 the temporal variability of fecundity.

182
$$i_t = \frac{N_{im,t+1}}{N_{tot,t}}.$$

183 Thus, we assumed that the populations were open to unobserved movement of young individuals
184 (Juškaitis, 1997) to and from the monitored populations (N_{im}) to prevent overestimation when
185 immigration is relatively small (Schaub & Fletcher, 2015).

186

187 To estimate model parameters we used an IPM that was defined by the joint likelihoods of all the
188 available data sets (population counts, CMR, productivity data).

189 We used a pre-breeding census population model that included demographic and environmental
190 stochasticity:

191

$$192 N_{juv,t+1} \sim \text{Poisson}((N_{1,t}+N_{ad,t}+N_{im,t}) \times f_t/2 \times \phi_{j,t})$$

$$193 N_{ad,t+1} \sim \text{Binomial}((N_{1,t}+N_{ad,t}+N_{im,t}), \phi_{ad,t})$$

$$194 N_{im,t+1} \sim \text{Poisson}((N_{1,t}+N_{ad,t}+N_{im,t}) \times i_t)$$

195

196 where the number of 1-year old individuals in the population is denoted with N_{juv} and, the number of
197 adults in the population with $N_{ad,t}$ and the number of immigrants as N_{im} , f_t was the number of
198 offspring produced per adult female at time t , $\phi_{juv,t}$ and $\phi_{ad,t}$ were the apparent survival probabilities of
199 juveniles and adults, respectively, from time t to $t + 1$, and i_t is the immigration rate. The total
200 population size in year t was represented as the sum of individuals in all age classes:

$$201 N_{tot,t} = N_{juv,t} + N_{ad,t} + N_{im,t}$$

202 The annual counts (y_t) were modelled with a Poisson distribution conditional on the estimated
203 populations size, hence:

$$204 y_t \sim \text{Poisson}(N_{tot,t})$$

205 We calculated the annual population growth rate (λ_t) from the estimated total population size (N_{tot}) in
206 year $t+1$ compared to that in year t :

$$207 \lambda_t = (N_{tot,t+1}) / (N_{tot,t})$$

208 *Model implementation*

209 We first ran goodness-of-fit tests on our CMR model using the R package R2ucare version 1.02
210 (Gimenez et al., 2018), with the results suggesting a good fit across sites for use in the IPMs
211 (Bontuchel: $\chi^2 = 10.531$, $df = 6$; $P = 0.105$, Lithuania: $\chi^2 = 2.821$, $df = 6$; $P = 0.508$; Wyre: $\chi^2 = 5.99$,
212 $df = 6$; $P = 0.424$; Perch: $\chi^2 = 17.32$, $df = 10$; $P = 0.098$; Wych: $\chi^2 = 9.483$, $df = 6$; $P = 0.107$). We
213 obtained posterior distributions of population growth rate, fecundity and survival for each population

214 separately from the IPM as defined above, using Markov chain Monte Carlo (MCMC) simulation
215 implemented in JAGS version 3.4.0 (Plummer, 2003) called from R version 4.0.5 (R Core Team,
216 2021) with the R package jagsUI version 1.5.2 (Kellner, 2019). For all parameters we defined vague
217 priors (see code for details). To assess convergence, we ran four independent chains of 400,000
218 MCMC iterations with different starting values, with a burn-in of 50,000 iterations, thinning every
219 100th observation resulting in 3,500 posterior samples. We confirmed model convergence using the
220 Gelman-Rubin statistic (Gelman & Rubin, 1992) and visual inspection of chains (JAGS code for
221 fitting the IPM is available in Appendix S2). The R-hat score ($\hat{R} \leq 1.04$) was evaluated for all
222 parameter estimates and we summarized posterior distributions by their means and 95% credible
223 intervals (CRI).

224 *Density dependence*

225 To evaluate the role of population density and weather variables, we converted population abundance
226 ($N_{tot,t}$) to density of dormice per hectare for each year at each population. To test the effect of density
227 dependence we modelled the population growth rate (λ_t) as a function of population density per
228 hectare for the previous year (Slade, 1977; Hixon & Johnson, 2009). Thus, we interpreted the
229 regression coefficient as the strength of density dependence and evaluated it against zero. Here, a
230 negative coefficient indicates negative density dependence characterised by a decrease in population
231 growth rate as population abundance increases.

232 *Weather data*

233 Annual mean temperature (°C) and precipitation (mm) data (within 10km of each site) were obtained
234 from the R package weatherData version 0.6 (Narasimhan, 2014). We compiled the weather data for
235 spring (March-May), summer (June-August), autumn (September-November) and winter (December-
236 February). Thus, temperature and precipitation data were grouped by season and year. Additional
237 weather variables were compiled for winter months, including temperature range and maximum, and
238 the number of days above 10°C, and NAO (North Atlantic Oscillation) index scores. Explanatory
239 variables did not exhibit significant multicollinearity, and thus they were retained in our analysis (for
240 definition of weather variables see appendix S1).

241

242

243 *Post hoc statistical analyses of weather variables and population density*

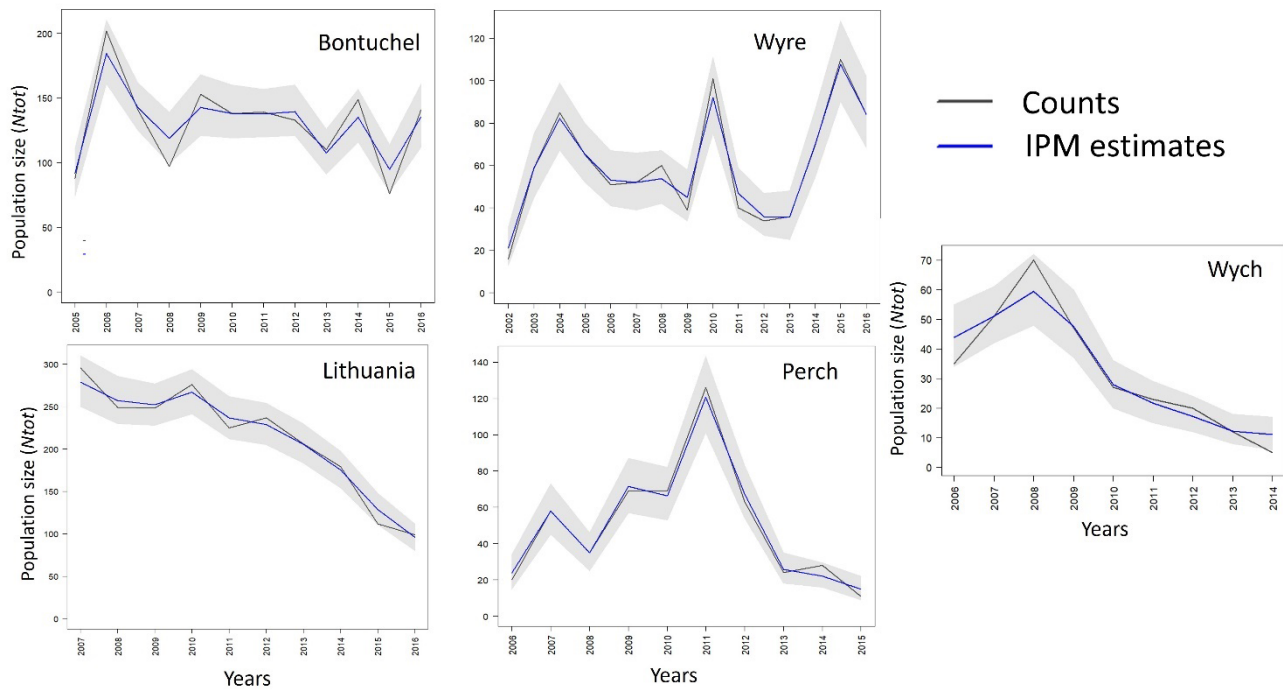
244 We analyzed the association of environmental variables with our estimates of population density (per
245 hectare), fecundity and survival. To identify a subset of variables of high explanatory value, we used
246 the R package RandomForest version 4.7 for variable selection (Genuer, Poggi, & Tuleau-Malot,
247 2010). We ranked weather variable importance against parameter estimates and retained the ten most
248 important ones for further analysis (Supplementary Table S1). We used model averaging on the
249 remaining explanatory variables in a mixed effect framework for all further analyses, using the R
250 package lme4 version 1.1 (Bates et al., 2015) and the dredge and model.avg functions of the package
251 MuMin version 1.47 (Barton, 2015; Burnham & Anderson, 2002; Harrison et al., 2018), treating both
252 year and population as random effects. We included two-way interactions in our models (i.e.,
253 between density and each weather variable) to investigate whether density mediated the effect of
254 weather variables (or vice versa) on demographic rates. We tested each linear model met normality
255 and homoscedasticity testing assumptions using the R package lme4 version 1.1 (Bates et al., 2015)
256 before continuing data analyses (Supplementary Figure S2). We log transformed fecundity and
257 Juvenile survival to meet the test of normality assumptions. Wych and Perch were excluded from
258 analysis of juvenile survival due to low number of captured juveniles. We highlight model estimates
259 with 95% confidence intervals not intersecting zero (e.g. as used in Grueber et al., 2011).

260 **Results**

261 *Demographic estimates from the IPMs*

262 Bontuchel and Lithuania had high numbers of capture events with 2,017 (number of marked
263 individuals = 1216) and 3,265 (number of marked individuals = 2,065) total captures respectively.
264 The other three populations, Wyre, Perch and Wych, had 850 (number of marked individuals = 464),
265 314 (number of marked individuals = 192) and 298 (number of marked individuals = 273) capture
266 events, respectively. Annual estimates of the number of dormice in Bontuchel fluctuated from a high
267 of 181 (2006) to a low of 86 individuals (2015) (Fig. 2). The Wyre population also fluctuated but
268 overall, the number of individuals increased over the study period from a low in 2002 of 23
269 individuals to a high in 2015 of 110. The populations in Perch and Wyre were both increasing in
270 early years, however, in both populations there was a dramatic decline over the study period (Perch,
271 high=126, low=22; Wych, high=63, low=11). In Lithuania the population fluctuated highly across
272 the study period with a rapid decline from a high of 271 in 2007 to a low of 140 in 2016. Population

273 size estimates were relatively similar to the observed population size data. The average population
 274 growth rates over all years showed strong spatio-temporal variation. Populations in Wyre ($\lambda=1.116$,
 275 95% CRI 0.888, 1.824) and Bontuchel ($\lambda=1.05$, CRI = 0.108, 0.129) both increased, while the
 276 Lithuanian ($\lambda=0.910$, 95% CRI 0.778, 1.033), Perch ($\lambda=0.949$, 95% CRI 0.727, 1.102) and Wych
 277 populations ($\lambda=0.840$, 95% CRI 0.565, 1.304) declined. Both Wyre and Perch showed a rapidly
 278 increasing population trajectories in the first two years of study as seen in the growth rates however,
 279 these populations declined steadily thereafter, with declines observed in population sizes (Fig.2).

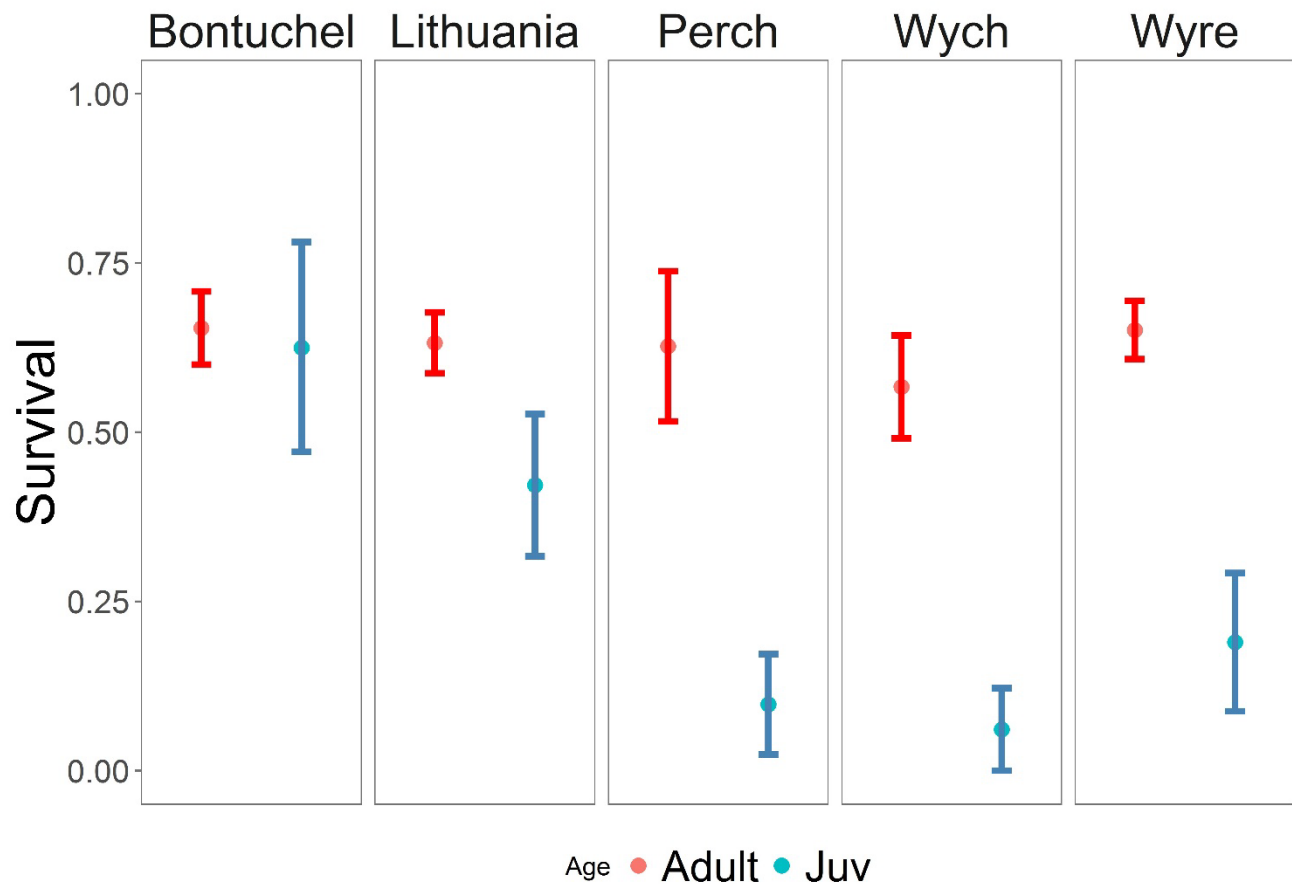


280
 281
 282
 283 Figure 2. Time series of estimated total population size (N_{tot}) of hazel dormouse for four UK
 284 populations (Bontuchel, Perch, Wych and Wyre) and one Lithuanian population, estimated with
 285 Integrated Population Models (IPM) fit to individual and nest count, and capture-mark-recapture
 286 data. The observed count data from surveys is the black line, the solid blue line shows the posterior
 287 median calculated from each IPM, and the shaded grey region indicates the 95% credible interval
 288 (CRI).

289

290 Annual adult ($\phi_{ad,t}$) and juvenile survival ($\phi_{juv,t}$) estimates are shown for each population in Figure 3.
291 Adult survival was similar among populations, while, juvenile survival varied between populations,
292 with much lower estimates in the populations Perch. Mean fecundity (f) was highest in Bontuchel
293 (4.33, CRI=3.02, 4.74) followed by Lithuania (3.21, 95% CRI 3.04, 3.7), Wyre (2.803, 95% CRI
294 2.401, 3.235), Perch (1.830, 95% CRI 1.508, 2.212) and Wych (0.844, 95% CRI 0.589, 0.989).
295 Estimates of immigration rate adult individuals was low among all populations (Bontuchel = 0.490
296 95%, CRI, 0.309, 1.326, Wyre = 0.98 95% CRI, 0.77, 3.803, Perch = 0.178 95% CRI 0.00, 0.810,
297 Wych = 0.165 95% CRI 0.00, 0.493); Lithuania estimates indicated zero immigration over the study
298 period.

299

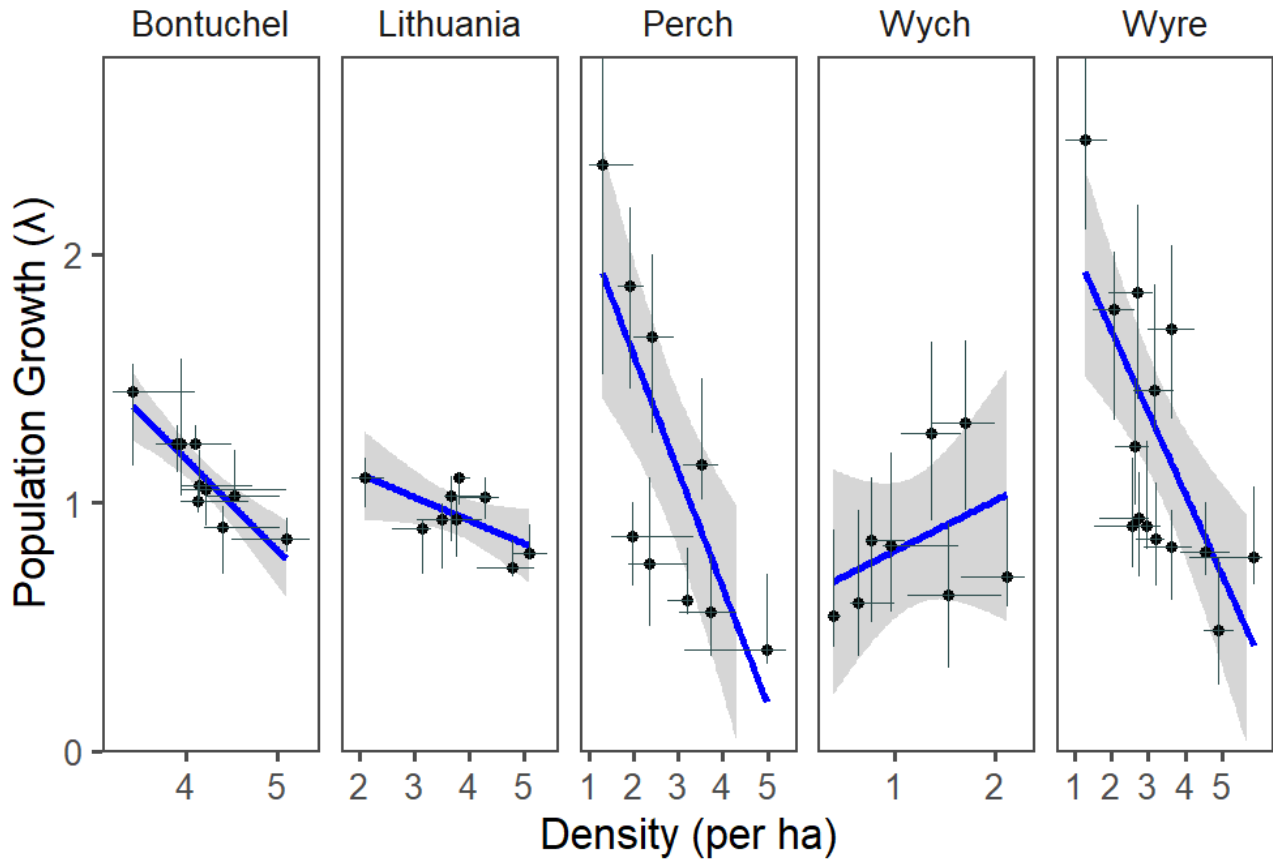


300

301 Figure 3. Juvenile (blue) and adult (red) annual survival probabilities of hazel dormice from four UK
 302 populations (Bontuchel, Perch, Wych and Wyre) and one Lithuanian population estimated with
 303 Integrated Population Models fit to individual and nest count, and capture-mark-recapture data. Dots
 304 show posterior means, the vertical lines show the limits of the 95% credible intervals.

305 *Density dependence in growth rate*

306 Annual population growth rates in relation to density of hazel dormouse (per hectare) are shown in
 307 Fig. 4. Four out of the five populations showed a significant negative relationship between population
 308 growth rate and density. The largest populations, Bontuchel and Lithuania, showed a strongly
 309 negative slope (Bontuchel, Slope = -2.373, P = 0.023; Lithuania, Slope = -2.013, P = 0.004), while
 310 Perch (Slope = -0.456, P = 0.024) and Wyre (Slope = -1.376, P = 0.014) both exhibited a less
 311 pronounced but significant negative relationship between population growth and density (for full
 312 output see Supplementary Table S2). However, the Wych population did not show a significant
 313 relationship between population growth and density (Slope = 0.781, P = 0.329).



314

315

316 Figure 4. Annual population growth rate (λ) as a function of hazel dormouse density (per ha) in
 317 previous year. λ was calculated from Integrated population models fit to individual and nest count,
 318 and capture-mark-recapture data for four UK populations (Bontuchel, Perch, Wych and Wyre) and
 319 one Lithuanian population. Each circle is the annual posterior mean with 95% credible interval bars,
 320 the blue line represents the linear fit and shaded area is the standard error.

321

322 *Observed weather variation*

323 During the study period 2002-2016, yearly average temperature in the UK ranged from 9.2 to 11.1
 324 °C, and in Lithuania from 6.3 to 8.2 °C. In winter, average temperature in the UK was 5.3 °C, and in
 325 Lithuania was -2.3 °C. Winter precipitation in the UK ranged from 176 to 556 mm, and in Lithuania
 326 from 98 to 146 mm. The range of mean winter temperatures varied from 3.7 °C in Bontuchel to a
 327 maximum of 8.5 °C in Perch with a mean range for all populations of 5.2 °C. Annual precipitation
 328 varied widely with the UK populations, ranging from 820 to 1410 mm, and in Lithuania from 425 to

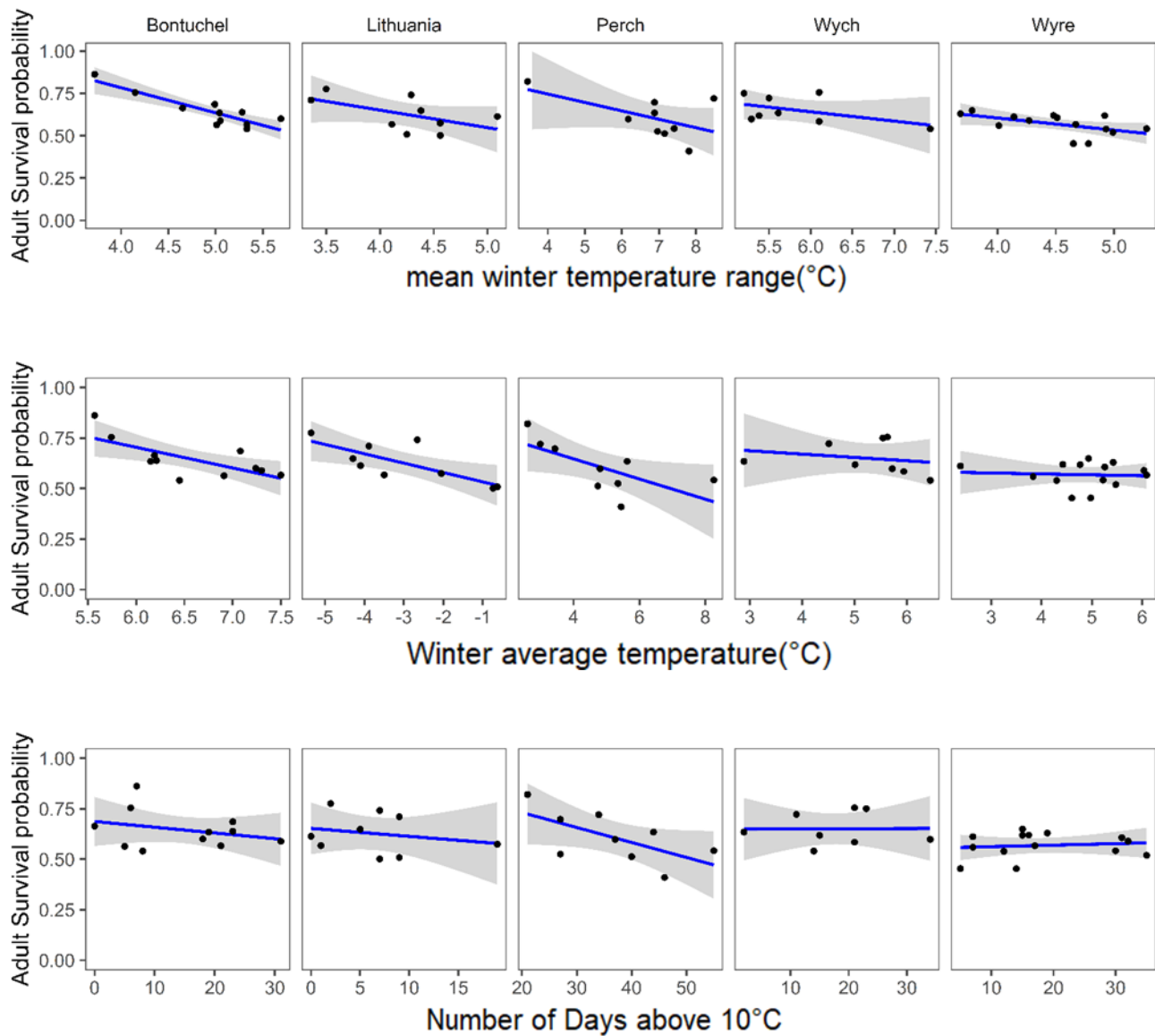
329 820 mm. During the study period, there was a small increasing trend in temperature and precipitation
330 in all populations. For a full summary of weather variables see Supplementary table S3.

331

332 *Weather effects on demography*

333 Population density) and MTR winter (mean temperature range winter) had a negative association
334 with population growth (Table 1). Meanwhile, effect estimates of Winter PRCP and NAO index were
335 negligibly different from zero. Density and Annual temperature were the most important predictors
336 for fecundity (Table 1). Density had a strong negative effect on fecundity whilst annual temperature
337 had a positive effect on fecundity. Annual PRCP and MT winter had only a weak effect. We found
338 no evidence of an interaction effect for population growth or fecundity with weather explanatory
339 variables.

340 Our main results for adult and juvenile survival are as follows (a complete account of results is
341 available in Supplementary Table S4). We found density had a negative effect on adult). We
342 observed a negative association of adult survival with the climatic variables, MTR winter, number of
343 days above 10 °C and Winter temp average (Table 1, Fig. 5). We also found a negative interaction
344 between density and Winter temp average. For juvenile survival Density had a positive effect. We
345 observed a low negative effect of average annual temperature and annual precipitation (Table 1). We
346 also found a low negative interaction effect between Density and Annual temp.



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349 Figure 5. Adult dormouse survival plotted against the significant environmental variables in model
 350 averaging mixed effect models for the four UK populations (Bontuchel, Perch, Wych and Wyre) and
 351 one Lithuanian population. Mean temperature range winter (°C), winter average temperature (°C) and
 352 number of days above 10 °C. Black dots show data points, blue line indicates linear trend and grey
 353 shaded area indicates the standard error.

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357 Table 1. Parameter estimates (β) and 95% confidence intervals from model averaging results using
 358 mixed effect models of each explanatory weather variable for each dependent variable (population
 359 growth (λ), fecundity (f), adult and juvenile survival (ϕ)) and interaction effects between density and
 360 weather variables. Dependant variables were calculated from Integrated population models fit to
 361 individual and nest count, and capture-mark-recapture data for four UK populations (Bontuchel,
 362 Perch, Wych and Wyre) and one Lithuanian population. Model terms with 95% confidence intervals
 363 not intersecting zero are shown in bold. For definition of explanatory variables see Supplementary
 364 table S1.

Explanatory Variable	Dependent Variable			
	Population Growth (λ)	Fecundity (f)	Adult (ϕ)	Juvenile (ϕ)
Density	-0.236 (0.348/-0.121)	-0.182 (-0.367/-0.012)	-0.094 (-0.037/-0.261)	0.219 (0.065/0.372)
Annual temperature	0.061 (-0.061/0.184)	0.156 (0.08/0.023)		-0.031 (-0.065/-0.0003)
MT winter	-0.124 (-0.263/0.001)	-0.0112 (-0.244/0.021)	-0.088(-0.131/-0.039)	
Max temp winter				
N of Days ^10oC			-0.003 (-0.004/-0.001)	
Annual PRCP		0.001 (-0.001/0.001)	0.006 (-0.002/0.009)	-0.004 (-0.0032/-0.0009)
Winter PRCP	0.0004 (-0.001/0.001)			-0.014 (-0.036/0.0001)
Spring PRCP				-0.0058 (-0.010/0.001)
AUT PRCP			0.006 (-0.001/0.0006)	
Winter temp average			-0.031 (-0.049/-0.014)	
NAO index	-0.032 (-0.07/0.006)			
Density: Annual temp				-0.015 (-0.026/-0.07)
Density: Winter temp			-0.016 (-0.026/-0.006)	

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368 Discussion

369 We investigated the effects of population density and weather on the population dynamics in the
 370 Hazel dormouse and found evidence that density dependence is very important for dormouse survival
 371 for both juveniles and adults, and for fecundity. These results suggests that available resources such
 372 as food or overwinter nest sites may limit individual reproduction and survival. We found that
 373 precipitation and winter temperature had strong negative associations with population growth,
 374 suggesting that warmer and wetter winters during hibernation periods have negative impacts on
 375 populations reducing population growth rates. We found a negative interaction between temperature
 376 and population density in association with survival, suggesting that weather may have a particularly

377 strong effect in populations with high density. These findings are consistent with other studies
378 showing population density can impact survival and reproductive rates in complicated ways
379 (Eberhardt, 2002; Fauteux et al., 2021). While understanding the mechanisms underlying these
380 patterns will require further study for the hazel dormouse, we suggest that they may have broader
381 conservation implications, which we discuss below.

382 There is a breadth of research focusing on understanding density dependence in populations
383 (Turchin, 2003; Churcher, Filipe, & Basáñez, 2006; Morris & Maceachern, 2010). However, less
384 well understood is how density dependence may interact with environmental variation, such as
385 climate change or habitat structural complexity, to drive population abundance (Griffith et al., 2016).
386 As long-term and large spatial scale data become available, scientific understanding of causation in
387 population ecology and these environmental interactions is improving. Survival rates often vary
388 between sexes and age classes (Lebreton et al., 1992), e.g. in mammals, (Gaillard et al., 2013) and
389 birds (Payo-Payo et al., 2016). While we found negative effects of population density and
390 temperature on survival in both adults and juveniles, in adults we found that winter temperature and
391 precipitation negatively affected over-winter survival in this hibernating species. In juveniles, we
392 found annual temperature and precipitation to be negatively associated with survival (with a low
393 effect size). These results suggest that weather variation can affect adult and juvenile survival in
394 subtle and complex ways, possibly by imposing negative constraints on life-history traits associated
395 with hibernation. On the other hand, we found an interaction between weather and density in
396 association with survival. As a consequence the cumulative effect of poor weather conditions
397 interacting with periods of high density may have a much stronger negative effect, such as population
398 crashes during these time periods (Fauteux et al., 2021). However, further research on the interaction
399 between climate and density in relation to individual energetic states is required to fully understand
400 the effects of weather variation on individual survival.

401 Population density is recognized as an important factor regulating population growth in small
402 mammal species (Erb, Boyce, & Stenseth, 2001), but it has not previously been studied in dormice.
403 Our results are consistent with the idea that dormouse populations are intrinsically regulated by local-
404 scale density dependence. We found a strong negative effect of density on population growth in all of
405 our populations except Wych. However, Wych is different from the other locations in our study as it
406 is a reintroduced population. Whilst we provide evidence that this site exhibits low productivity, poor
407 breeding conditions and low juvenile survival, Wych also exhibits habitat differences compared to

408 other sites, such as low shrub density and woodland dominated by holly and sycamore that are
409 considered less favorable habitat.

410 *Implications of weather variability*

411 We found that an increase in environmental climatic variation *per se* (e.g. temperature ranges in
412 winter) was negatively associated with population growth rate and that this explained a significant
413 part of the variability of survival and fecundity in the hazel dormouse. While the mean of weather
414 variables has been implicated in negative impacts on vital rates, the mechanisms underlying climate-
415 induced population change are poorly understood (McLaughlin et al., 2002). While our results
416 suggests that warmer winter weather, comprising increased average temperatures and rainfall during
417 winter, negatively affect dormouse survival for adults, for juveniles we found they were negatively
418 impacted by warmer and wetter weather during the annual time period. A cause for this age specific
419 difference may be the energetic expenditure of reproduction and overwintering in adults (Pretzlaff et
420 al., 2021) whilst juveniles may be more susceptible to more sudden local climate variability due to
421 building of energy reserves for their first year. Global warming in the northern latitudes during winter
422 months is thought to have negative consequences for many species, and this trend may continue as
423 mean winter temperatures are predicted to rise and winter periods shorten in duration (Turbill &
424 Prior, 2016) with global warming of 1.5 °C observed since pre-industrial levels (Allen et al., 2018).
425 Under a regime of warming winter temperatures, there are a suite of changes such as the timing of
426 food availability, lengthening growth periods and earlier reproduction. This has implications for
427 hibernating species that may emerge earlier in spring and observe shifts in availability of seasonal
428 food resources (i.e flowering plants) with uncertain effects on reproductive success and survival
429 (Inouye et al., 2000; Koppmann-Rumpf, Heberer, & Schmidt, 2003; Adamík & Král, 2008). Thus,
430 while climate change has been widely shown to affect species range limitations (e.g. Parmesan &
431 Yohe, 2003), climate variation during winter may also have more direct negative consequences for
432 hibernating species, such as the hazel dormouse with a relatively short active season in order to breed
433 and gain enough weight to survive the following winter (Pretzlaff & Dausmann 2012).

434 Over winter survival is considered to be relatively high in hibernating small mammal species,
435 (Turbill, Bieber, & Ruf, 2011) such as garden dormouse (Schaub & Vaterlaus-Schlegel, 2001) and
436 edible dormouse (Lebl et al., 2011). Our results suggest that an increase in rainfall and temperature
437 during the winter months are negatively correlated with adult survivorship. This decrease in
438 survivorship could be attributable to a range of factors, such as a decrease in the length or continuity

439 of dormouse hibernation bouts. Increased energetic expenditure due to hibernation arousal and lack
440 of food resources can cause reduction in fat reserves, increasing starvation risk (Pretzlaff, Rau, &
441 Dausmann, 2014; Pretzlaff et al., 2021). While this increase in energy use over winter may negatively
442 impact survivorship during the early active season, for those individuals that survive it may have a
443 double negative impact of reduced fecundity.

444 Juvenile survival exhibited a significant negative association with weather, yearly average
445 temperature, and annual precipitation. The negative impact of increasing temperature we observed on
446 juvenile survival over their first winter could be due to a reduction in torpor duration and being
447 abnormally active while food is scarce. This is important given the general trend in increased annual
448 temperature across all study sites. Dormice go into torpor during spring and summer when
449 temperatures are less than $\sim 14^{\circ}\text{C}$ and semi-torpor below $\sim 19^{\circ}\text{C}$ (Juškaitis, 2005). Torpor reduces
450 energy expenditure allowing individuals to shorten foraging times and possible exposure to predators
451 (Liow et al., 2009). Thus, as a consequence of climatic changes such as rising temperatures, our
452 results are consistent with the idea that species such as dormice may suffer from a deficit in energy
453 reserves under conditions of erratic or increased winter and spring temperatures leading to a negative
454 impact on fitness related traits.

455 *Management implications*

456 Forest management can impact animal abundance by affecting habitat complexity and the availability
457 of nest sites or food resources (Chaudhary et al., 2016). Thus, management can have a major role in
458 shaping the pattern of population demographics of the species which inhabit these forests
459 (Lindenmayer, Franklin, & Fischer, 2006; Lacerda & Nimmo, 2010). Forest management is
460 considered to have important effects on the population parameters of hazel dormice populations
461 (Juškaitis, 2008; Sozio et al., 2016; Goodwin et al., 2018). Despite sometimes being associated with
462 ancient, mature woodland habitat (Bright et al. 2006), across their range they are also associated with
463 early, successional woodland (Juškaitis, 2014) where there is complex, woody understory and an
464 abundance of food. We found that the Wyre population exhibited an increasing trend in abundance
465 and survival. A former conifer plantation, Wyre has undergone management to restore deciduous
466 woodland during the time period of our study (Trout et al. 2018), possibly creating more favorable
467 conditions and increasing the availability of resources for hazel dormice. Hazel coppicing is a forest
468 management practice that is considered to benefit dormice maintaining successional stasis that is
469 ideal for the species (Bright et al. 2006) and small-scale felling has been shown to have positive

470 impact on hazel dormice abundance in Lithuania (Juškaitis, 2020). However, there is still a lack of
471 good evidence about the effectiveness of these practices for dormice or for other woodland species
472 and, more broadly, there is a lack of evidence for the specific effectiveness of management practice
473 for many specific species (Lindenmayer et al. 2006). Thus, while we identify some factors associated
474 with population regulation in the hazel dormouse, we lack specific understanding for the role forest
475 management practice may have for population change in dormice or other species.

476 Our results suggest that aspects of weather conditions are negatively associated with survivorship and
477 fecundity in the hazel dormouse. While short term weather changes such as these can be shown to
478 have a negative effect, it is important to consider long term patterns. Winter is a key season for
479 hibernating species and fluctuations in temperature during this time can have serious consequences
480 for individual fitness, due to a decreased in hibernation bouts, early emergence, and abnormal activity
481 during periods of lower food availability. Our results are consistent with the expectation of negative
482 impacts of climate change on hibernating species; these effects could be particularly important in
483 species such as dormice that are sensitive to fragmentation, and changes in quality, of habitats that
484 are essential to provide energy resources to survive winter and early spring (Fedyń, Figarski, &
485 Kajtoch, 2021). Local conservation efforts can potentially mitigate these effects. In the hazel
486 dormouse this may include tree felling and coppicing to increase forage and hibernation sites or
487 improving woodland resilience through landscape management such as improving hedgerow quality
488 and connectivity. Our analysis demonstrates that the pattern of population vital rates can broadly
489 differ between populations in space and time, representing a great challenge for the future.

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496 **Author contribution**

497 FJC and EW and JE conceived the ideas and designed methodology; RJ and RT, SB, NF and
498 IW collected the data; FJC and DN and EH analysed the data; FJC and EH led the writing of the
499 manuscript. All authors contributed critically to the drafts and gave final approval for publication.

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